Design and implementation of a computation server for optimization with application to the analysis of critical infrastructure

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DESIGN AND IMPLEMENTATION OF A COMPUTATION SERVER FOR OPTIMIZATION WITH APPLICATION TO THE ANALYSIS OF CRITICAL INFRASTRUCTURE

by

Selcuk Gun

June 2013

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### 12. Abstract
Despite recent advances in the computational performance of decision support tools for Operations Analysis, there remains a persistent challenge in the deployment and use of sophisticated models by analysts who operate in limited computing environments. Recently, there has been a move toward cloud-based computing architectures that attempt to solve this problem by decoupling the user interface from the remote computational resources. The objective of this thesis is to provide a flexible and robust implementation of a server architecture and procedure for rapid development and deployment of decision support tools. This thesis identifies functional requirements, develops an architecture to support those requirements, implements a prototype solution, and demonstrates the effectiveness of the solution for a simplified example of interdependent infrastructure systems optimization.
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DESIGN AND IMPLEMENTATION OF A COMPUTATION SERVER FOR OPTIMIZATION WITH APPLICATION TO THE ANALYSIS OF CRITICAL INFRASTRUCTURE

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Submitted in partial fulfillment of the requirements for the degrees of

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AND
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ABSTRACT

Despite recent advances in the computational performance of decision support tools for Operations Analysis, there remains a persistent challenge in the deployment and use of sophisticated models by analysts who operate in limited computing environments. Recently, there has been a move toward cloud-based computing architectures that attempt to solve this problem by decoupling the user interface from the remote computational resources. The objective of this thesis is to provide a flexible and robust implementation of a server architecture and procedure for rapid development and deployment of decision support tools. This thesis identifies functional requirements, develops an architecture to support those requirements, implements a prototype solution, and demonstrates the effectiveness of the solution for a simplified example of interdependent infrastructure systems optimization.
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Optimization is one class of models used for decision support. This support is often in the form of suggesting a decision to minimize the costs or maximize the flow in a network. Solving an optimization problem requires transforming the problem into a mathematical model and solving this model, often with the help of software-based computational tools.

Optimization is used in wide range of applications in business, government, and the military. More recently, optimization has been applied to problems related to the critical infrastructures. The critical infrastructures have vital functions in modern societies. Their disruption can have significant consequences.

Current practice in solving optimization problems suffers from various shortcomings. Some of these shortcomings are the lack of reusability, the difficulties in debugging, the absence of modular design, a general deficiency of visualization support, etc. When it comes to models representing critical infrastructures, these deficiencies become more significant. Because critical infrastructures do not exist in isolation, they depend on other infrastructures to maintain their intended services. For instance, a natural gas infrastructure often needs electricity to power the pumps that move the gas through the pipe system. The electricity is provided by another critical infrastructure, the power grid which in turn also depends on other infrastructures to operate. The easiest way to model such a system of multiple critical infrastructures is often using a single but large model to be processed at once. This makes the already difficult tasks of designing, running, and debugging the models even more challenging. Moreover, solving real-world applications often requires high computational power that an individual researcher or analyst can hardly provide.

In this thesis, we capture the requirements for a cloud-based computation server to support designing and running such models. We extend these requirements to include support for systems of critical infrastructures.

In response to these requirements, we provide a flexible and robust architecture for a computation server. Based on this architectural design, we implement the TALOS Computation Server.

We demonstrate the efficiency of using the TALOS Computation Server on a scenario consisting of two interdependent infrastructure models. In order to represent these infrastructures, we design stand-alone executable infrastructure models decomposed from a previous model of a
general purpose critical infrastructure system. These stand-alone infrastructure models are con-
structed to have better control over the design, execution, and debugging stages of modeling a
system of critical infrastructures. We propose a two-stage optimization method that exploits the
conveniences provided by the TALOS Computation Server. One of these conveniences is the
TALOS Scripting Language that we created for designing a system of multiple models. The
TALOS Scripting Language is offered on a web-based terminal. We also provide a graphical
user interface to perform the same tasks.

In addition to the support for the critical infrastructure models, the TALOS Computation Server
also performs model integrity checking, meta-data generation, visualization of intermediate and
final results, result reporting, and run-time error handling.

Our analysis of the mentioned scenario reveals that the TALOS Computation Server makes it
much easier to design, run, and debug not only the single models but also the interdependent
infrastructure models compared to the previous solutions.
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I would like to express my very great appreciation to Professor Matthew Carlyle, Professor Thomas Otani, and Professor David Alderson for their patient guidance, enthusiastic encouragement, and constructive suggestions during the design and development of the TALOS Computation Server, and the analysis of critical infrastructures.

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CHAPTER 1:
Introduction

Decision-making in the 21st century is becoming increasingly challenging because of uncertainties, massive data sets, the need for real-time decisions, and the increased size and scope of problems. In the face of these challenges, the use of computational decision support tools can have a significant impact on the quality of the decisions we make.

One class of models used for decision support is based on the mathematics of optimization. Optimization models use decision variables to represent problem choices and search for values that maximize or minimize objective functions of the decision variables. The decision variables are subject to constraints on variable values expressing the limits on available sources or possible decision choices (Rardin, 1998, p. 4-5).

Optimization is used in a wide range of applications to solve problems in business, government and the military. Some of these applications in different domains are:

- Scheduling Coast Guard district cutters (Brown et al., 1996),
- Optimizing Army basing (Dell, 1998),
- Optimizing military capital planning (Brown et al., 2004),
- Reducing the fuel consumption of Navy ships (Brown et al., 2007),
- Optimizing force positioning (Dell et al., 2008),
- Solving the pallet loading problem (Martins and Dell, 2008), and
- Optimizing assignment of Tomahawk Cruise Missiles (Newman et al., 2011).

More recently, optimization has been applied to problems related to critical infrastructures that can have a dramatic impact on society.

Modern societies depend on infrastructure systems (e.g. energy, communication, transportation) for vital functions, and their disruption can have significant consequences. For example,

in California, electric power disruptions in early 2001 affected oil and natural gas production, refinery operations, pipeline transport of gasoline and jet fuel within California and to its neighboring states, and the movement of water from northern to central and southern regions of the state for crop irrigation. The disruptions also
idled key industries, led to billions of dollars of lost productivity, and stressed the entire Western power grid, causing far-reaching security and reliability concerns (Rinaldi et al., 2001).

The U.S. Department of Homeland Security (DHS) defines critical infrastructure as:

The assets, systems, and networks, whether physical or virtual, so vital to the United States that their incapacitation or destruction would have a debilitating effect on security, national economic security, public health or safety, or any combination thereof. Key Resources are publicly or privately controlled resources essential to the minimal operations of the economy and government (Department of Homeland Security, 2010, p. 46).

Critical infrastructures can be disrupted by two factors: natural events or adversary actions. Natural events such as an earthquake, flood, tornado, etc. occur at random and lend themselves to probabilistic models.

However, adversaries do not act randomly but make deliberate decisions. This has led to the development of optimization-based models known as attacker-defender models.

An attacker-defender model is basically an optimization model of a system whose objective represents the system’s value or cost while it operates. For instance, the maximum throughput of a pipeline network contributes to that system’s value, while power-generation costs, plus economic losses resulting from unmet demand, could represent the cost of operating an electric power grid (Brown et al., 2006).

An attacker-defender model is based on the sequential actions of adversaries. First, we consider a defender who operates the infrastructure with optimal configuration in terms of choosing how to route the flow over a network. An attacker, who is aware of this configuration, chooses the optimal point of attack to cause the greatest damage with limited force. The defender responds to this action by choosing the optimal configuration for the disrupted system.

The key assumption here is that the attacker has perfect knowledge of how the defender will optimally operate his system, and the attacker will manipulate that system to his best advantage. This is equivalent to a strong but prudent assumption for the defender: He can suffer no worse if the attacker plans his attacks using a model different from that of the defender’s system (Brown
et al., 2005).

An attacker-defender model reveals what to protect among the components of a critical infrastructure. Assuming limited resources to protect the infrastructure, this information is useful for prioritizing the strengthening efforts before any attack. The secondary output of this model is a contingency plan for the operator to maintain the services optimally after any attack.

Some applications of attacker-defender models include:

- The analysis of electricity grid security under terrorist threat (Salmeron et al., 2004, 2009),
- The D.C. subway system, improving airport security, and supply chains (Brown et al., 2005),
- The strategic petroleum reserve, border patrol, and electric power grids (Brown et al., 2006),
- The interdiction of a nuclear weapons project (Brown et al., 2009),
- The tri-level optimization of western U.S. railroad resilience (Babick, 2009),
- The optimization of port radar surveillance (Brown et al., 2011), and
- Multi-modal delivery of coal (Alderson et al., 2012).

The domain-specific details of each application typically require customized models, output reports, and visualization. For example, the Vulnerability of Electrical Power Grids Analysis (VEGA) identifies optimal or near-optimal attacks on electricity infrastructure and requires sophisticated visualization and animation of results to facilitate communication and understanding (Brown et al., 2005).

Despite the recent advances in computational performance of decision support tools for Operations Analysis, there remains a persistent challenge in the deployment and use of sophisticated models by analysts who operate in limited computing environments.

In real-world applications, optimization models can have thousands, even millions of variables and constraints (Rardin, 1998, p. 4-5). In order to handle this complexity we use commercially available software systems known as solvers. We define the optimization model in a specialized optimization language and run the solver via an interpreter to obtain a solution.

Among the problems we are facing, critical infrastructure analysis is one of the most demanding optimization problems in terms of sophisticated modeling and necessary decision support tools.
One reason is the massive size of the infrastructure systems. For instance, the optimization of large scale electric power grids in Salmeron et al. (2009) with large, real-world data sets has been unsolvable until the introduction of a global Bender’s decomposition algorithm. This algorithm includes well defined process steps for solving the problem. The algorithm also includes a cyclic sequence of model runs which is terminated using a termination gap.

Another reason that the study of infrastructure is difficult is because infrastructures do not exist in isolation from one another. For instance, communication networks depend on electricity, transportation networks usually require computerized control and information systems, the generation of electricity depends on fuels etc. (Rinaldi, 2004). We can define the interdependency as a mutual relationship between two infrastructures through which the state of each infrastructure affects or has correlation with the state of the other. We can say that two infrastructures are interdependent when each has a dependency on the other (Rinaldi et al., 2001).

Understanding the nature of these interdependencies is essential to developing generalizable solutions for the analysis of critical infrastructure.

1.1 Current Practice in Optimization-Based Computational Decision Support

The first step in solving any optimization problem is to transform the problem into a mathematical model using a suitable notation. We use NPS Notation at Naval Postgraduate School (Brown and Dell, 2006). This notation facilitates communication and understanding among researchers.

Next, this mathematical representation is implemented in an optimization language. At Naval Postgraduate School we use the Genaral Algebraic Modeling System (GAMS) interpreter for solving optimization problems. This interpreter comes with its own scripting language; GAMS Language for implementing models. This software is a commercial product bundled together with the solvers. Depending on the type of optimization problem different solvers are selected by default or user’s choice.

Running the model generates some output that needs to be perused and visualized depending on the amount of data. The visualization of output is usually performed by transferring the output data to a statistical tool to generate some meaningful plots.

The data flows associated with the sequence of generating, running, and visualizing an optimization model are shown in Figure 1.1. Input files and parameters are used to define objective
function and constraints. The diagnostics report basically gives us information about the problems encountered by the interpreter. Reports are the output files on which the interpreter writes the solution information.

This straightforward sequence may be interrupted by a logical error in model, syntax error in script, license expiration, or formatting error in input files. Multiple runs of a model usually require frequent manipulation of input files which might be tabular or textual.

![Diagram of GAMS process]

Figure 1.1: Input elements for an optimization model are input data files, parameters, and model script file. These input elements are fed into a solver via the GAMS interpreter. Finally the GAMS interpreter converts the output of the solver into output reports and diagnostic reports.

When solving complex optimization problems, an interface is very useful for facilitating data entry, running multiple models sequentially, generating reports, and visualizing the results. Deploying the solution with a user interface is also the preferred way when the problem needs to be solved again and again with updated data and constraints by a non-expert user.

In the current environment, Microsoft Excel and its scripting language Visual Basic for Applications (VBA) are often used for building a user-interface and automating the model runs. The choice of this application is based on the favorable learning curve of implementation with Excel/VBA and an assumed familiarity with the platform by most users.

However, this solution suffers from some serious shortcomings:

- **Local computation**: Obtaining a solution relies on the existence of licensed GAMS and
solvers on each system. This means each user needs a computer for heavy-weight com-
putation during model runs which may sometimes take hours or days.

• **Platform dependency**: Excel/VBA runs on Microsoft Windows operating systems and
sometimes demonstrates non-standard behavior on the Apple Mac OS. Applying this so-
lution on open-source operating systems requires purchasing necessary licenses and using
virtual machine.

• **Lack of reusability**: Data entry and visualization are dependent on the implementation of
the model. It is typically not possible to reuse the interface for another model.

• **Monolithic Models**: Complex models of system operation often require an understanding
of one or more subsystems. In the current computing environment, it is often the easiest
to implement all submodels in a single GAMS file. This type of monolithic model is
conceptually straightforward but can be very complicated. Because it requires the analyst
to understand all the details of every submodel this type of implementation can become
untenable for large systems. While using a monolithic model, it is hard to debug the script
and see the intermediate results which might be an early warning of a mistake or success.

• **Lack of debugging**: Due to the limitations of the programming environment it is hard to
detect the faults and repair them.

• **No repository for models**: This solution does not offer any tagging for models since it is
intended for a specific problem. It is infeasible to build a repository of solutions owing to
the non-standard approaches adopted.

• **No access control**: This solution does not keep track of the people modifying the data,
and their modification. The generated reports may not be the result of the original input
data.

In addition to these shortcomings, the optimization has some inherent difficulties. Optimization
languages are not general purpose languages, so we usually do not expect much flexibility
from the language and support from a user community. Collaboration options such as version
control systems, user forums, open-sourcing of the model scripts and bug tracking are almost
completely ignored while using these languages.

Moreover, the optimization model resides in the researcher’s hard drive in a script file bundled
with a number of input and output files. Accessing these implementations usually require con-
tacting the author of an article and requesting the script. The difficulty in finding the model
among many others depends on the author’s arrangement on his hard drive. Trying to under-
stand a researcher’s implementation is often challenging due to the lack of documentation.
Depending on the performance of the algorithms and solvers used, and the scale of the problem, the execution time of a model can change from a few milliseconds to hours. Considering the overhead of running these models on personal computers in terms of software acquisition cost, execution time, and installation troubles, recently there has been a move toward "cloud-based" computing architectures that attempt to solve this problem by decoupling the user interface from the remote resources. Computation servers are the physical elements responsible for minimizing this overhead on the platform.

There are various projects to move the solvers and interpreters to the cloud. Among these projects, the Network-Enabled Optimization System (NEOS) provides the most advanced facilities to its users. Dolan et al. (2008) describe the NEOS server as the most ambitious realization of the optimization server concept. "Operated by the Optimization Technology Center of Argonne National Laboratory and Northwestern University, it represents a collaborative effort involving over 40 designers, developers, and administrators around the world" (Dolan et al., 2008). The NEOS server provides a high level of flexibility to its users in choosing the optimization language. Using the translators located on the server-side the server translates the given script into the format that is specifically needed for the chosen solver.

The apparent motivation of the designers of the NEOS server looks to be serving a large number of users using a wide range of optimization languages. As a result of this endeavor they have managed to gather data sets containing valuable information about user and model profiles in terms of problem type, solver type, and optimization language ranges of execution time for different classes of problems. The experience gathered with the NEOS server is very significant considering the monthly average number of submissions to the NEOS Server which is more than 40,000 since 1996. The NEOS Server also provides the Application Programming Interface (API) to submit jobs and receive results using XML-RPC (XML formatted file based remote procedure call). This API is compatible with various languages such as C/C++, Java, Python, Perl, PHP and Ruby, etc.

The NEOS server and similar projects help users by providing remote computation power and free access to granted solvers. Unfortunately the needs associated with error handling, visualization, storing the models with well-defined tags, collaboration tools, and support for designing interdependencies among models are not addressed in present solutions.

As expressed in Rinaldi (2004), modeling and simulating infrastructure interdependencies are far from easy exercises. Developing appropriate tools is technically challenging, with numerous
hurdles to overcome (Rinaldi, 2004). Making use of the foundations software engineering and operations research, it is possible to design and implement a computation server that provides support for building and running interdependent infrastructures.

### 1.2 Objectives of This Thesis

In this thesis we identify the functional requirements for a cloud-based computation server and present a design and implementation of a computation server that may run any model script written in GAMS on the cloud. Our design is intended to facilitate the analysis of interdependent critical infrastructure systems. We have tried to provide the useful capabilities that a researcher would expect from a computation server in terms of optimization. Some of these features are:

- **Model integrity checking**: Every model uploaded to the system is parsed to find its dependent input and expected output files. The user is notified to complete any missing item prior to model runs.
- **Meta-data generation**: The server automatically generates meta-data which will be useful for storing and searching the models in a repository.
- **Visualization**: Line, bar and pie charts are supplemented with our network graph implementation to visualize intermediate and final results.
- **Reporting**: Users will be able receive continuous progress information together with user-defined output files.
- **Error Handling**: Errors arising from GAMS implementation are displayed in a user-friendly way for easy debugging
- **Graphical user interface**: A web browser is the only software needed for accessing the server and designing and running the models.
- **Scripting on terminal**: A terminal embedded on the web interface accepts the commands written in a customized scripting language that we have developed.
- **Super-system design**: The user may design and run a super-system which represents interdependent infrastructures either using command line instructions on terminal or web-interface. This capability allows the users to define sequential and even cyclic model runs together with automated data manipulation.

To our knowledge, this is the first time that a computation server has provided support for critical infrastructure analysis together with other tools to facilitate optimization-based decision making. In this thesis we also demonstrate the effectiveness of the solution for interdependent infrastructures which are the basis for critical infrastructure analysis.
Ultimately, this solution works if it supports all previous models implemented in GAMS. The efficiency of the support provided for critical infrastructure analysis will depend on the level at which the monolithic models are decomposed into modular units.

The primary contribution of this thesis is providing a standardization of optimization models so that the models can be stored, searched, run, and understood easily, showing the efficiency of using well-designed decision support tools on optimization, especially on the challenging analysis of critical infrastructures.

In what follows, we explain the requirements and architecture of the TALOS Computation Server we have implemented in Chapter 2. We provide the implementation details in Chapter 3, and finally we demonstrate the analysis of interdependent infrastructures using the TALOS Computation Server and discuss the effectiveness of using our solution in Chapter 4. We conclude with a summary and discussion of future work in Chapter 5.
CHAPTER 2: 
Requirements and Proposed Architecture

In this chapter, we perform requirements analysis in order to capture the expectations of the users. Based on these requirements, we define the TALOS Computation Server and classify the users of the TALOS Computation Server. And finally, we propose an architectural design using the 4+1 view model.

2.1 Usage Scenario

Although there are many potential users of a computation server, we limit our attention to operations research students and faculty using optimization in their research at the Naval Postgraduate School.

These users have different levels of familiarity with optimization methods and optimization languages. Moreover, their expectations for a computation server can differ greatly. For instance, model integrity checking, run-time error checking, and graphical user interfaces are valued by students, while the ability to conduct multiple model runs, data file manipulation, and a command-line interface are valued by faculty researchers working in the field of optimization.

Considering these differences in the level of familiarity and expectations, we classify users in two groups:

- **Basic users:** The users with minimum or average familiarity with optimization and the GAMS language, mostly running single optimization models with small problem scope;
- **Advanced users:** The users with advanced knowledge or familiarity with optimization and the GAMS language, running both single and multiple optimization models ranging from small scale to large scale (real world) problems.

For both groups of users, the basic interaction with the TALOS Computation Server consists of two parts. First, the user loads the model on to the server. To do this, the user logs in to the server with provided credentials and uploads the files that form an optimization model using a web interface. The server locates the main script file among the received files and parses this file to detect the input files needed for running the model. If any missing file is detected, the user is notified about the missing file. The user is expected to complete the necessary input files. The TALOS Computation Server automatically generates meta-data which includes the user name,
date and time, input and output files. Moreover, the TALOS Computation Server creates an archive including the meta-data and model files. The user may download this archive from the repository maintained by the server. The next step for the basic users is to run the model.

Advanced users may prefer uploading multiple models by repeating the previous steps. In order to run multiple models, the user must specify a run sequence. We call the run sequence a super-system design. The user can design the super-system making use of the web interface or command line interface. A super-system design allows the user to define global variables to keep track of model parameters and data flow to perform interaction between models. After completing the super-system design, the user runs the super-system.

The second part of using the TALOS Computation Server involves executing the model. The run request is queued by the TALOS Computation Server. The user may prefer getting the results later or monitoring the progress immediately. The basic user running a single model receives the optimization results or error messages translated by the TALOS Computation Server. The advanced user requesting a multiple-model run receives intermediate results for model runs and monitors how the super-system run is progressing by looking at the interactive plots and results. This allows the advanced user to pause the system before running a model in the sequence, to modify the super-system, and to resume the super-system run.

### 2.2 Requirements

An important step in the software development life cycle is to capture and analyze the concerns and the needs of the potential users. Traditionally these requirements are classified in two groups:

- **Functional requirements:** The expected behaviors and particular results from the system that is being designed.
- **Non-functional requirements:** Non-behavioral features mostly referring to the evolution of the system such as maintainability, scalability, etc.

There are various methods for documenting the requirements. We describe the requirements in a goal hierarchy as in Berzins and Luqi (1991, p. 154). The following subsections summarize the expectations from the TALOS Computation Server, making use of the goal hierarchies for functional and non-functional requirements. The architectural design and implementation are performed in accordance with these requirements.
2.2.1 Functional Requirements

1. The TALOS Computation Server must run on a remote machine/cluster
2. Users must access the TALOS Computation Server using their browsers
   2.1. System must allow uploading of models using browsers
   2.2. System must allow designing of super-system using browser
      2.2.1. System must provide web-based GUI for designing super-system
      2.2.2. System must provide command-line interface embedded on web-application for designing super-system
   2.3. System must allow downloading of the model packages
3. System must check the integrity of the model files upon uploading
   3.1. User must be warned about the missing files
   3.2. User must be allowed to add the missing files
4. System must support running models written in GAMS language
   4.1. System must support running a single model
   4.2. System must support running multiple interdependent models
      4.2.1. System must support defining interdependencies
      4.2.2. System must support defining run sequence
      4.2.3. System must support running cyclic sequence of models
         4.2.3.1. System must allow defining termination condition
5. System must generate meta-data for each model uploaded
   5.1. Meta-data must include name of the model, author, date created
   5.2. Meta-data must include input file names used by the model script
   5.3. Meta-data must include parameters defined in model script
   5.4. Meta-data must include output file names
   5.5. Meta-data must be JavaScript Object Notation (JSON) based
6. System must pack the model script, files, and generated meta-data
7. System must provide a verification option for each model after uploading
8. System must provide error-handling for model runs
   8.1. Displaying the error message
   8.2. Displaying the location of error on script file
   8.3. Providing modification option on error location
9. System must provide editing service for the files of a model
   9.1. GAMS files must be displayed on the web application if requested
   9.2. GAMS files must be editable on the web application
9.3. Data files (.csv) must be displayed in a table on web application if requested

10. Progress must be displayed
   10.1. User must be notified about which model is being run
   10.2. User must be able to see the intermediate results (for multiple model runs)

11. User must be given control over the model runs
   11.1. User must be able to pause the model runs
   11.2. User must be able to terminate the model runs
   11.3. User must be able to reset the model runs
   11.4. User must be able to rewind any sequence of runs

12. Results must be displayed
   12.1. Results must be displayed numerically
   12.2. Results must be visualized
       12.2.1. User must be able to see Network Problem results on Network Graph
       12.2.2. User must be able to see the other results on line plots
       12.2.2.1. Tabular output files must be displayed if requested
       12.2.2.2. Optimal Values of a Model that was run multiple times must be displayed if requested

13. User must be notified about the end of model runs
   13.1. User must be notified via e-mail if non-interactive mode is selected
   13.2. User must be notified via animations if interactive mode is selected
   13.3. Intermediate results must be kept for only interactive mode

2.2.2 Non-Functional Requirements

1. System must be secure
   1.1. Access control must be applied for each user
   1.2. User inputs must be validated

2. System must be scalable
   2.1. System must be responsive for a maximum of 100 users accessing the server
       2.1.1. Queuing must be based on FIFO (first-in first-out) protocol
   2.2. System must be making use of Torque Resource Manager for Cluster Computing
   2.3. System must be adaptable to any other resource manager

3. System must be maintainable
   3.1. System must be dependent on one COTS (commercial off-the shelf): GAMS&Solvers
   3.2. Non-stable libraries must be avoided
3.3. Comprehensive documentation must be prepared and updated with every build

2.3 Proposed Architecture

The *architecture* of a software system refers to the set of principal design decisions in accordance with the requirements for this system. The most convenient way of designing and explaining the architecture of a software system is using view diagrams. These view diagrams include mostly the *components* as the building blocks of the architecture and the *connectors* which stand for the interaction services among the components. Connectors are primarily responsible for the abstraction and the separation of concerns while providing interaction services between components and/or connectors in software architecture (Taylor et al., 2009). Traditionally, the interactions between the connectors and/or components have been in the form of function calls, association classes, class inheritance and shared memory.

The *TALOS Computation Server* we develop in this thesis is a distributed software system that provides tool sets for optimization services to the analysts, scientists, and decision makers.

A *distributed system* is a system consisting of several computers that communicate through a network that uses a common set of distributed protocols to provide the coherent execution of distributed activities (Amirat and Ouussalah, 2009).

To satisfy the requirements presented in section 2.2, we propose the architecture shown in Figure 2.1. The architectural design is presented at a high level to highlight the interactions between the distributed components clearly.

The components in the proposed architecture are the Browser, Apache Server, Agent, Database Server, Computation Server, and GAMS & Solver Modules. The main challenge in the architecture is to provide content-rich services in primal connectors.

In describing the connectors in the proposed architecture, we use the connector types presented in Taylor et al. (2009, p.164). There are eight connector types. Four of them are relevant in this thesis:

- Procedure call: Performs data transfer among interacting components using the parameters and return values,
- Event: In this connector type, the flow of control is initiated by an event. Event connector notifies all interested parties about the event by sending them messages and passing the
control.

- Data Access: This connector prepares data, allows other components to access data and finally performs clean-up.
- Stream: Stream connectors facilitate data flow between autonomous processes via Unix pipes, TCP/UDP communication sockets, etc.

We often use these connectors together in the form of composite connectors.

The interactions among different pairings of components are as follows:

**Browser - Apache Server:** Interaction services include uploading/downloading (stream and data access) operations, notifying ready state (event), and delivering result (distributor). We use a composite connector here. Event-based data distribution connector is appropriate for facilitating the interaction services.

**Apache Server-Agent:** The Apache Server being a commercial off-the shelf (COTS), as such, the interaction service is implemented with a stream connector.

**Agent – Computation Server:** These components share the following interaction services: Job request (procedure call), query results (data access), get/send results (stream), provide access
to requestee (distributor). These interactions imply using client-server based data distribution; Remote Procedure Call (RPC) and Remote Method Invocation (RMI). Exploring the present frameworks for RMI revealed Pyro (Python Remote Objects) as a promising candidate capable of facilitating the implementation of such services.

Computation Server – GAMS & Solvers: Here again due to COTS, the interaction service is based on Unidirectional Data Stream and the connector is a stream connector (based on Pipe-Filter Style).

After evaluating the connectors and interaction services in accordance with the formal procedure for selecting connectors, we may see that the last stream connector will not provide satisfactory system design in terms of reliability. We need to regard the models in the super-system as components rather than data resources. But here the difficulty is that we do not know much about the structure of the optimization model. Part of architectural responsibility is given to the user (which is going to be mitigated by providing templates and ‘drag and drop’ super-system design). Super-system configuration is carried within an “attachment” including the sequence of running models and data flow relations. In the following parts, we use “attachment” to be synonymous with the super-system configuration.

Straight-forward solutions designed to provide interaction services for the components encapsulating the optimization model can suffer from coupling issues.

Coupling defines the level of dependence between objects. When components are tightly coupled it is hard to reuse them in other systems since they depend on each other. Tight coupling also leads to monolithic systems, where one cannot change or remove a class without understanding the dependency of other classes (Sanatnama et al., 2008).

Software maintenance in software engineering is the modification of a software product after delivery to correct faults, to improve performance or other attributes (Mccheick et al., 2011). In this sense high coupling will cause difficulties in maintaining the software system.

As an example, "network service should be decoupled from specific data transport technologies so that new features or services can be deployed freely" (Feng et al., 2011). Moreover, low coupling will also facilitate scalability.

Design patterns play a significant role in facilitating the interaction services among components. For example, a mediator pattern provides decoupling between objects by encapsulating
the communication between components with a mediator object. With the mediator pattern, objects do not communicate directly with each other any more, instead they communicate through the mediator. This reduces the dependencies between communicating objects, thus, reducing the coupling. However, keeping the components as the origin of control still leads to tight coupling (Sanatnama et al., 2008).

As a solution to address the challenge posed by dynamic model interaction services, we have used mediator connectors inspired by the mediator pattern.

Mediator connector parses the attachment file and builds up the system by initiating all the components and the connections (method calls) described in each interaction. The sequence of method invocations in the form of interactions can be performed by invoking the run method in the mediator connector using the name of interaction as an input parameter (Sanatnama et al., 2008).

![Mediator Connector](image)

Figure 2.2: Mediator Connector.

The attachment is also used to generate the wrappers around the dynamic optimization models. These components, connectors and wrappers, are not directly instantiated by the mediator connector; they are generated using the factory pattern.
One immediate advantage of automatic connector construction at runtime is the reduction in human intervention (Pahl and Yaoling Zhu, 2009).

In this design, components (optimization model and wrapper) are not allowed to interact directly with the other components. Such a design will make interception of faults and handling faults more practical. A more traditional way would rely on reading the script file and then logging the failure.

Moreover, a mediator connector provides low coupling among the components. The integration of the mediator connector in the preliminary logical view is shown in Figure 2.3.

![Figure 2.3: Logical View with Mediator Connectors.](image_url)

In order to measure the coupling between components, the Coupling Between Objects (CBO) metric, developed by Chidamber and Kemerer, can be used. We can say that two components are coupled if and only if at least one of them acts upon the other. In other words, since coupling is the degree of interaction between classes, the basic idea underlying all coupling metrics is the number of interclass interactions in the system. Multiple accesses to the same class are counted only once (Sanatnama et al., 2008). Consider the case where five optimization models are to
be run within a loop; we can observe the difference in CBO values between using the mediator connector and not using it in Figure 2.4.

![Without Mediator Connector (CBO = 10) vs With Mediator Connector (CBO = 6)](image)

Figure 2.4: Coupling values with and without mediator connector.

So far we have focused on the non-functional properties such as reliability, scalability, and maintainability. There is usually a trade-off between performance and maintainability in software architecture. Generating connectors and wrappers on the fly can decrease the performance of the system, but this is justifiable considering the different language (GAMS language) in the optimization model and the presence of COTS software (GAMS&Solver). Performance concerns are prevalent in a design encompassing all native components as in Pahl and Yaoling Zhu1 (2009) and Sanatnama et al. (2008).

To provide more details of our architecture, we present our design using the ‘4+1 view model’ (Kruchten, 1995). It consists of four main views each of which represents a different perspective of stakeholders, and a complementary view as follows:

- Logical view,
- Process view,
- Physical view,
- Development view, and
- Scenarios.
2.3.1 Logical View

A logical view demonstrates the object model of the design. We have decomposed the system into a set of key abstractions which primarily support the functional requirements. As a guideline we have tried to maintain a single and coherent object model across the system and avoid premature specialization of classes as suggested in Kruchten (1995).

We have shown that the expected behaviors from the TALOS Computation Server can be addressed using the three main class groups shown in Figure 2.5. These class groups are:

- **Agent**: Agent is responsible for responding to the queries sent by the users.

- **Utility Packages**: This group includes the utilities shared by other groups. These utilities are given below:
  - *fileCtrl*: This module is responsible for checking the integrity of model files. Any missing file among the model files is detected by this module.
  - *mdlPck*: This module is responsible for generating the meta-data and packing the files with generated meta-data.
  - *mdlUnpack*: Extraction of the model packages is performed by this model.

- **Computation server daemon and utilities**: The daemon is responsible for responding to the agent requests, running the models, and performing the tasks defined in the super-system configuration (attachment file) using its numerous utility classes.

2.3.2 Physical View

The physical view serves as a mapping from the software to the hardware.

In order to address the non-functional requirements such as maintainability and scalability, GAMS and solvers must be detached from the TALOS Computation Server machine. The motivation is to consider the future need for more CPU power with the increasing number of clients. In this way, making a seamless transition to a cluster of workers will be possible. This allows us to run the machine executing the GAMS and solvers very close to its maximum processor load while giving the daemon machine less load in order to decrease response time.

A physical view of the TALOS Computation Server is given in Figure 2.6. Users connect to the TALOS Computation Server from numerous machines and then communicate with the Apache server located in the daemon machine. Similarly, each of the GAMS and solver machines communicates with a resource manager located in daemon machine. In order to increase the capacity of the TALOS Computation Server, adding more machines with GAMS and solvers
installed is sufficient. The physical view of the TALOS Computation Server also represents
the level of freedom to manipulate the internal structure of each entity with black, grey or
white colors. Entities shown in black give us no chance to interfere with the internal structure
while the grey one (resource manager) provides us with limited options to manipulate internal
behavior.

2.3.3 Process View
Some of the non-functional requirements such as performance and availability are taken into
account by the process view. Concurrency and distribution are also addressed by the process
view (Kruchten, 1995).

Concurrency is one of the critical issues to maintain the services expected from the TALOS
Computation Server. Maintaining a responsive system requires making use of multi-processing
or multi-threading. We can define the process as a set of instructions that form an executable
unit. We define thread as a lightweight process.

We identify the processes that require concurrency in the TALOS Computation Server architec-
ture making use of the process view shown in Figure 2.7. The Agent process is initiated by the
user or the daemon on demand as a short-lived process which terminates upon completing its task.
The daemon process is an infinitely running process as its name suggests. Inside the daemon process we have designed listener and attachment instances which are initiated by the daemon. Listener and attachment instances are long-lived separate threads. The rationale for designing such threads is the need for communicating with the TALOS Computation Server while it is running a super-system. This communication can be about instant information about the progress, pause request, terminate request sent by the user, or run-time error in the model script.

2.3.4 Development View

We have demonstrated the actual software module organization in the software development environment using the development view. This view reveals all the subsystems of the software and gives an idea about the planning and time allocation for each subsystem.

We have partitioned the object model of the design given in the logical view to include every single class and data structure to facilitate implementation. Before implementation it is very difficult to define all software elements but it is possible to list the rules that provides guidance to software development. The development view is given and explained in Chapter 3.
2.3.5 Scenarios

Scenarios form the additional view in the ‘4+1 view model’. We may consider scenarios as the abstraction of requirements that complements the other views. Using scenarios facilitates discovering the architectural elements, validating and illustrating the architectural design. Scenarios are a small set of use cases based on general use cases (Kruchten, 1995).

We have defined a general use case shown in Figure 2.8. The user in this scenario is assumed to be an advanced user. The scenario is as follows:

1. User logs into his account using browser or mobile application.
2. User selects uploading a model.
3. Server-side ‘Agent’ detects the .gms file and associated files in the remote directory that is allocated to the user.
4. If all of the files are present, the files are accepted by the server and packed to form a model file on the server. If there is any missing file, the user is notified to provide missing files.
5. If ‘verify’ is selected by the user, ‘Agent’ runs the packed model using the GAMS and verifies expected results are generated. If verification reveals errors in the model script, error text is parsed to return a notification to the user. Verification is only performed for models with short-duration (Agent is also responsible for terminating blocked processes).
6. If there are any other models to upload, steps 2 to 5 are repeated.
7. User designs a super-system and submits to the server;
(a) Run sequences (steps) are specified as from model A to model B (in order to run model B after A).
(b) For each run step data file/parameter modifications and interactions are defined.
(c) Two previous steps are repeated until a desired super-system is generated.

8. User selects “Run super-system”,
9. Agent adds the request to the queue,
10. The TALOS Computation Server initiates the processes defined in the first super-system file at the top of the queue. The server will be always running and grabbing the request from the queue as long as it is idle. Agent runs on demand sent by user.
11. The TALOS Computation Server provides information about the progress of the processes (model runs, present cycle numbers, etc).
12. Agent sends the results to the client via Apache Server.
13. Results are displayed in client’s web-GUI.

Using the scenario view in Figure 2.8 we can follow the sequence of interaction between the processes.

Subsets of this general use case can include one or more of the following actions:

- Updating one or more models,
- Changing the initial parameters of the model(s),
- Changing the termination conditions,
- Creating another super-system,
- Rerunning the model with above updates.

The investment in requirements analysis and architectural design with the application of 4+1 view model pays back during the rest of software development life cycle. In accordance with our requirements analysis and architectural design, we explain the details of the TALOS Computation Server implementation in Chapter 3.
Figure 2.8: Scenario View of The TALOS Computation Server.
CHAPTER 3:
The Implementation of the TALOS Computation Server

The TALOS Computation Server is implemented to run on Unix based operating systems capable of running Python 2.x and Apache Server. We have designed the TALOS Computation Server to be accessed via a web browser. In this sense, our implementation is divided into server side and client side to achieve a client-server architectural design pattern. The development view of the TALOS Computation Server is shown in Figure 3.1. This view basically reveals the implementation details of the components introduced in Chapter 2.

3.1 Server-Side Implementation

The core tasks of the TALOS Computation Server are authentication, request queuing, model verification, upload/download, super-system creation, and interaction with GAMS solvers. These tasks are implemented by the following Python packages:

- agent,
- fileCtrl,
- mdlPack,
- mdlUnpack, and
- mediator.

These packages are implemented in an object-oriented manner. Each package is composed of several classes. A detailed description of the packages and their elements is given in the remainder of the section.

3.1.1 The agent Package

This package contains the functions that facilitate the communication between the Apache server and the TALOS Computation Server. The agent is called automatically when the user accesses the TALOS Computation Server, generates or modifies a super-system, sends a run request to the TALOS Computation Server. If the user chooses to see the progress of the super-system run, the agent will publish feedback on the progress back to the user. During the feedback, the user will have an option to interrupt the running sequence and modify the GAMS script or input files.
The agent processes the ‘POST’ data sent by client-side JavaScript modules whenever the user modifies the model/super-system, runs the super-system or asks for feedback. The ‘POST’ data include two elements:

- **process**: Name of the process initiated by the user. This ‘process’ will be either ‘check server’, ‘generate model’ or ‘run super’.
- **user**: Name of the user accessing the server. Authorized users’ models are transferred into their unique directory. A unique directory name is generated using the MD5 hash and a salt value.
The agent package contains a helper function getMdls which is responsible for fetching the automatically generated meta-data during the ‘generate model’ process. The content of each model’s meta-data is used to generate a graphical representation of each model in the web GUI (client side).

The agent accepts the following process requests:

- **‘check server’ Process:** In this process the agent checks for a valid model in the unique (hashed) directory provided to the user using getMdls function.

- **‘generate model’ Process:** This process is initiated automatically after every model is uploaded to the server. When the user uploads a model script and input files, they are transferred into a temporary upload directory of the user. Integrity checking of the model script, meta-data generation and packing tasks are performed by making use of the functionalities provided by fileCtrl and mdlPack packages in this process. Finally, the temporary upload directory is cleaned for new uploads.

- **‘run super’ Process:** This process is initiated when the user completes the design of a super-system. The agent requires another post data element ‘stepList’ which contains the super-system design data in order to start this process. Super-system design data is generated on the client by transforming the users’ graphical design or command-line instructions into JSON data. The super-system is run making use of the handlers provided by mediator package in this process.

### 3.1.2 The fileCtrl Package

This package contains the UCtrl class with its member functions for getting input files, parameters, and output files and for checking file integrity. Member functions implemented in the UCtrl class are given in Appendix A.

### 3.1.3 The mdlPack Package

This package contains the MdlPack class with its member functions for detecting GAMS files in a given directory, generating meta-data and packing the model files.

The mdlPack package also extracts the model script filename using the provided arguments. If no filename is provided, the package detects the GAMS script file in the given directory using detectGms function.

Member functions implemented in the MdlPack class are given in Appendix A.
3.1.4 The mdlUnpack Package
This package contains the functionality for extracting the model files from the archive. Member functions implemented in this package are shown in Appendix A.

3.1.5 The mediator Package
This package contains the core functionality of the TALOS Computation Server. Instead of treating the super-system design file as a set of instructions to be performed line by line and forgetting the previous processed lines, the mediator package provides an encapsulation mechanism over the model script, pretasks and posttasks. Pretasks and posttasks are the tasks used for performing the data flow between models and assignments to the user-defined variables. In this way, each model run is named as step that is state-aware. Stateful steps facilitate implementing a feedback mechanism to understand the current state of multiple model runs. Data flow between models is achieved by overriding input files with new values, another models’ output files, or the partition of a file. These mechanisms are implemented in the following classes:

The Mediator Class
This class creates components (models) and connectors using the attachment. There will be one mediator instance for each super-system created. This instance stays alive until the super-system is solved and the user is satisfied with the results.

The Mediator is the main controller of all other classes in this package. When the Mediator class is initialized, global dictionaries and lists are created for all models the user has uploaded previously. These dictionaries and lists are:

- **Model Dictionary**: This dictionary is populated with mappings from model name to model object generated using the Factory class.

- **Variables Dictionary**: The TALOS Computation Server captures the objective function value of each model by default. This value is represented as “modelName$Z” where the prefix before the dollar sign represents the model name. By default “modelName$Z” maps to an empty list. This list is appended with new objective function value after each run of a model.

  The user may define a new global variable during super-system design to facilitate interactions between models.

- **Model Parameters Dictionary**: This dictionary is populated with single-value (scalar) parameters and multi-dimensional parameters. Parameter names, in the form of “mod-
elName$paramA”, are mapped to user-defined values in order to run the model with a specific parameter.

- **Function Dictionary:** The TALOS Computation Server provides several commands, such as `inc`, `dec`, and `override`, to facilitate bindings between models. These commands are mapped into the object address of their associated functions. While the override function is used for almost every pretask or posttask, the other functions are expected be more useful while running some models in a loop.

- **Input List:** This list is populated with the input filenames generated by the fileCtrl Package. If the user prefers to use the command-line interface this list is used to verify that the files associated in pretask or posttask are valid.

- **Output List:** This list is populated with the output file names generated by the fileCtrl Package. Similarly this list is used to verify the model bindings while defining pretask or posttask on the command-line interface.

Member functions implemented in the Mediator class are given in Appendix A.

**The Model Class**

This class encapsulates the model and the single-valued parameter information.

After initialization, the model name and model directory information are assigned to class variables, and finally the parameters list is populated with parameters. These data are the only information necessary to run a model, provided that the input files are ready or modified properly.

Member functions implemented in the Model class are given in Appendix A.

**The Connector Class**

This class implements the connectors between two optimization models, posttasks and pretasks using the attachment and finally performs the tasks and runs the model. The struct Task is also implemented as a data structure in this class.

Task struct contains func, var and value properties. This struct may be considered as a statement consisting of an assignment statement. That is, ‘var’ is assigned the returning value of ‘func’ with ‘value’ as its argument. In Python using the named tuple is an efficient way to implement a struct.

When a Connector instance is constructed, pretask and posttask lists are initialized. The con-
nector is given the previous model name as ‘from’ and present model name as ‘to’. The other information needed to generate the connector and run through this connector is provided by a Mediator instance. For running the first model, a virtual previous model called ‘#start’ is assigned. The ‘#’ sign at the beginning of this model name is used to ensure that this model name will not be looked up at Model Dictionary populated in Mediator.

Member functions implemented in the Connector class are given in Appendix A.

The Loop Class
This class encapsulates the cyclic run information if a loop is detected in the super-system design. The user interacting with the command-line interface needs to define the loop with associated keywords while the user with the GUI based super-system design is asked to either accept cyclic sequence or keep designing unique steps. Cyclic sequence is detected using DFS (Depth First Search) on the client. Specification for a cyclic sequence requires the definition of the termination condition. The termination condition is defined using the variables defined in the Global Variables Dictionary (Z values or user-defined) or values in output files. The Loop class allocates a connector list called conList and assigns a terminate condition when initialized.

Member functions implemented in the Loop class are given in Appendix A.

The Factory Class
In accordance with the factory design pattern this class generates components, connectors and cyclic connectors.

Member functions implemented in the Factory class are given in Appendix A.

The Attachment Class
This class generates the attachment file JSON and connectors from the attachment file and provides command-line interface. The Attachment class initializes Factory, the Mediator instances and attachment dictionary, and the jsonData object.

Member functions implemented in the Attachment class are given in Appendix A.

The command-line interface that is used to design and run the super-system is also implemented in this class. The command-line interface accepts the scripting language we developed to facilitate super-system design and running. This scripting language has the following keywords:
• step mdl1->mdl2: Starts a new step to run mdl2 (mdl1 is used for tracking the trace of the multiple model run)
• end step: Finalizes the step design
• pretask: Defines pretask
• posttask: Defines posttask
• param: Defines parameter if parameter does not exist, otherwise it assigns value to existing ones
• run: Runs the designed super-system
• quit: Quits the application

The functions that can be used within pretask and posttask instructions:

• override(): Overrides parameter, file or part of a file (.csv format supported)
• inc(): Increments given value
• dec(): Decrements given value

3.2 The Client-Side Implementation

The users interact with the TALOS Computation Server using their browsers. JavaScript function calls embedded in the start page communicate with the server. The web pages are rendered in HTML and HTML5.

Functions are triggered during the following actions of the user:

• Uploading a model to the server
• Designing a super-system
• Running the super-system
• Modifying the model scripts and input files
• Downloading packed model with meta-data.

Client-side implementation consists of one web page and two JavaScript modules:

• dashBoard.html
• SGNet.js
• csHandler.js
3.2.1 dashBoard.html
This page provides the dashboard user interface that allows the user to communicate with the TALOS Computation Server and see the results visually.

3.2.2 SGNet.js
This package implements the visualization of the super-system design graph and network graph. The main difference between the super-system design graph and network graph is that the design graph provides representation of a model and its components. It also provides interaction services to create steps, where each step represents a single run sequence from one model to another. Network graph displays a directed multi-graph consisting of nodes, arcs and interdictions in read-only mode. It does not support user interactions.

SGNet.js consists of two main objects: CNode and Element Objects.

CNode Object
CNode object is the graphical representation of a node or model. This object contains the dynamic step object “stepArc” that is drawn from the center of one model to another to represent the step (single run sequence). Drawing is performed when the design mode is activated, the node is clicked and dragged to the desired node. StepArc will be valid only if the mouse is released on a valid node or model object. Right clicking on the stepArc will display the elements ready for interaction design. This object has its own mousedown event implemented to facilitate this visualization.

The properties, functions and events implemented in CNode are given in Appendix B.

Element Object
If the CNode is representing a model, each input or output element of the model is shown as Element Object. Input elements are positioned on the left side of the model representation (CNode). Blue colored input elements represent the input files (.csv,.gms,.gm, etc), magenta colored input elements represent the parameters included in the model script while the output files are displayed in green on the right side of the model representation. The visualization of input and output elements of a sample model is shown in Figure 3.2.

The properties, functions, and events implemented in Element Object are given in Appendix B.
3.2.3 csHandler.js

This package contains the handler functions to send requests to the server and process the response.

The properties, functions and events implemented in the csHandler package are given in Appendix B.
CHAPTER 4:
The Analysis of Critical Infrastructure

The initial step in modeling infrastructure operation encompasses transforming a massive (network) problem into a mathematical model. One of the challenges in modeling these infrastructures is that they typically do not exist in isolation of one another as mentioned in Chapter 1. For instance, the operation of natural gas infrastructure is dependent on electricity to pump the natural gas through the pipe network. Similarly, electricity infrastructure depends on natural gas to produce electricity. We can say that these two infrastructures are interdependent. So in order to build operational models of infrastructure systems, we need to understand the nature of these interdependencies clearly.

Real-world infrastructures demonstrate certain attributes in their interdependencies. Rinaldi (2004) evaluates these attributes and classifies the interdependencies. Based on this classification, Dixon (2011) suggests several formulations that are proved to be useful for modeling critical infrastructures with and without isolation.

According to Rinaldi (2004) there are four classes of interdependencies:

- **Physical Interdependency**: Interdependency for two infrastructures when the state of each depends upon the material output(s) of the other. We can observe the physical interdependencies in the form of physical linkages or connections among elements of the infrastructures.

- **Cyber Interdependency**: An infrastructure has a cyber interdependency if its state depends on the data flow through the information infrastructure. The computerization and automation of modern infrastructures have led to pervasive cyber interdependencies.

- **Geographic Interdependency**: Geographic interdependency exists if a local environmental event can create state changes in the collocated elements of two infrastructures.

- **Logical Interdependency**: There exists a logical interdependency if the state of each depends upon the state of the other via some mechanism out of the former interdependencies. For instance, various political decisions and legal issues can give rise to a logical linkage among two or more infrastructures.

It is possible to formulate these interdependencies using various formulations. We can see the model formulations for various interdependent infrastructure types each of which also includes...
an attacker and defender problem formulation in Dixon (2011):

- **Multiple Independent Operators:** In this formulation, the modeler assumes that each infrastructure is independent and operated by a separate system operator who tries to optimize his own infrastructure. The concept of a global manager is introduced to minimize the cost of operating the entire collection of infrastructures. This is basically achieved by using a common objective function with different weights assigned to each infrastructure to represent the importance of associated infrastructure.

- **Direct Cost-Based Dependence:** This formulation takes into consideration the impact of disrupted arcs or nodes in one infrastructure. Generic values are used to represent the cost effect of interdicted nodes and arcs.

- **Indirect Commodity Flow Dependence:** This formulation mainly deals with the physical dependency mentioned before. This dependency is also divided into five types (*input, shared, exclusive-or, mutual and co-location*) which intuitively describes the mathematical relations to be applied to input and output elements that are related to another infrastructure.

In this research, we have limited our scope to include physical interdependencies making use of the indirect commodity flow dependence formulation.

When we examine the formulations and scripts in Dixon (2011), we can estimate the workload associated with representing all infrastructures in one script file and numerous input files. We have noticed that most of the models in Dixon (2011) can be decomposed in a useful level. We have built scripts that take advantage of the decomposable structure in these models.

### 4.1 Decoupled Infrastructure Models

The critical infrastructure models in Dixon (2011) and other research are formulated using one objective function to solve the problem at once. We call these models *monolithic* models. Monolithic models suffer from various problems as mentioned before. In order to deal with these problems, we decompose infrastructure models to create stand-alone models. We decouple these models by implementing their own objective function and incorporating the dependency commodities as input and output elements of the model. In this way, each decoupled infrastructure model (DIM) becomes executable on its own.

After decoupling the models, we have used the following notation which is common to other infrastructure models:
Indices and Sets
\[ r \in R \] infrastructures in a super-system
\[ n \in N \] nodes in an infrastructure (alias \( i, j, k, l \))
\[ (i, j) \in A \subseteq N \times N \] directed arcs

Data [units]
\[ b_{rn} \] ‘internal’ supply of commodity at node \( n \) of infrastructure \( r \) [units]
\[ sPen_{rn} \] penalty for ‘internal’ commodity shortage at node \( n \) of infrastructure \( r \) [cost/unit]
\[ u_{rij} \] capacity of arc \( (i, j) \in A \) of infrastructure \( r \) [commodity/unit]
\[ c_{rij} \] cost per-unit of operating arc \( (i, j) \in A \) of infrastructure \( r \) [cost/unit]
\[ V_{rn} \] ‘external’ demand of commodity at node \( n \) of infrastructure \( r \) [commodity units]
\[ vPen_{rn} \] penalty for ‘external’ commodity shortage at node \( n \) of infrastructure \( r \) [cost/unit]
\[ q_{rij} \] additional cost per-unit of operating interdicted arc \( (i, j) \in A \) of infrastructure \( r \) [cost/unit]
\[ W_{rij} \] =1 if arc \( (i, j) \in A \) is available, = 0 otherwise on infrastructure \( r \) [binary]

Decision Variables [units]
\[ Y_{rij} \] flow of commodity on arc \( (i, j) \in A \) of infrastructure \( r \) [commodity units]
\[ SHORT_{rn} \] ‘internal’ shortage of commodity at node \( n \) of infrastructure \( r \) [commodity units]
\[ VSHORT_{rn} \] ‘external’ shortage of commodity at node \( n \) of infrastructure \( r \) [commodity units]

In what follows, specifically we have infrastructure 1 and infrastructure 2.

The Formulation of DIM1

The operator’s model for infrastructure 1 is as follows:

\[
\begin{align*}
\min \sum_{(i, j) \in A} & \left( c_{1ij} + q_{1ij} \right) Y_{1ij} + \sum_{n \in N} \left( sPen_{1n} SHORT_{1n} + vPen_{1n} VSHORT_{1n} \right) \\
\text{s.t.} \quad & \sum_{j: (i, j) \in A} Y_{1nj} - \sum_{i: (i, n) \in A} Y_{1in} - SHORT_{1n} - VSHORT_{1n} = b_{1n} - V_{1n} \quad \forall n \in N \\
& 0 \leq Y_{1ij} \leq u_{1ij} W_{1ij} \quad \forall (i, j) \in A \\
& SHORT_{1n} \geq 0, VSHORT_{1n} \geq 0 \quad \forall n \in N
\end{align*}
\]
The objective (4.1) calculates the total cost of operating the infrastructure, including penalties for using infrastructure components that have been interdicted, as well as penalties for shortfalls in satisfying demand. Constraints enforce balance of flow (4.2), only allowing the use of arcs that have been ‘turned on’ (4.3), and non-negativity of arc flows (4.4).

The terms $\hat{V}_{1n}$, $\hat{vPen}_{1n}$, $\hat{q}_{1ij}$, and $\hat{W}_{1ij}$ appear in boxes because their data is “external” in the sense that it comes from infrastructure 2.

Using the notation in Dixon (2011), the value of the penalty cost $q_{1ij}$ can be calculated as $\hat{q}_{1ij} = \sum_{(k,l) \in A} q_{1ijkl}X_{1kl}$, where $q_{1ijkl} = 1$ means that the interdiction of arc $(k,l) \in A$ affects the operation of arc $(i,j) \in A$, and $X_{1kl} = 1$ if arc $(k,l) \in A$ has been interdicted ($X_{1kl} = 0$ otherwise). Also from Dixon(2011), we have: $\hat{W}_{1ij} = 1$ if $\sum_{n \in N} T_{1ni} \geq \text{min\_required}$ and $T_{1ni} = 1$ if $V_{1ni} \geq \text{threshold}_{1ni}$.

**The Formulation of DIM2**

The operator’s model for infrastructure 2 complements that of infrastructure 1:

$$\min_{Y, \text{SHORT}, \text{VSHORT}} \sum_{(i,j) \in A} (c_{2ij} + \hat{q}_{2ij})Y_{2ij} + \sum_{n \in N} (sPen_{2n}\text{SHORT}_{2n} + \hat{vPen}_{2n} \text{VSHORT}_{2n}) \quad (4.5)$$

$$s.t. \sum_{j: (i,j) \in A} Y_{2nj} - \sum_{i: (i,n) \in A} Y_{2in} - \text{SHORT}_{2n} - \text{VSHORT}_{2n} = b_{2n} - \hat{V}_{2n} \quad \forall n \in N \quad (4.6)$$

$$0 \leq Y_{2ij} \leq u_{2ij}\hat{W}_{2ij} \quad \forall (i,j) \in A \quad (4.7)$$

$$\text{SHORT}_{2n} \geq 0, \text{VSHORT}_{2n} \geq 0 \quad \forall n \in N \quad (4.8)$$

where now the terms $\hat{V}_{2n}$, $\hat{vPen}_{2n}$, $\hat{q}_{2ij}$, and $\hat{W}_{2ij}$ come from infrastructure 1.

Similarly, the objective (4.5) calculates the total cost of operating the infrastructure together with the penalties for using the interdicted components, as well as penalties for shortfalls in satisfying internal and external demand. Constraints enforce balance of flow (4.6), only allowing the use of arcs that have been ‘turned on’ (4.7), and non-negativity of arc flows (4.8).
4.2 The Optimization of DIMs Using The TALOS Computation Server

We have analyzed an example where infrastructure 1 depends on infrastructure 2, which does not depend on anything. These infrastructures are shown in Figure 4.1. Each infrastructure consists of three nodes which are partitioned into $p$ and $pp$ internal nodes. Node splitting is a common practice in network flow optimization. It allows us to put a capacity on a node, expose a dependency at a node on another infrastructure, and expose a node as vulnerable in an interdiction model, where we typically target arcs for interdiction.

![Figure 4.1: Decoupled Infrastructure Model 1 and 2.](image)

Solving for the combined solution works as follows:

1. Determine appropriate penalties on unmet requirements
   1.1. Update $\hat{W}_{1ij} = 1$ (all arcs available)
   1.2. Solve DIM1
   1.3. Record result as best value
   1.4. Solve DIM1 in a loop
      - Update $\hat{W}_{1ij}$ (a unique combination of dependent arcs’ state for each cycle)
      - Solve for optimal solution
      - Calculate difference from best and record as penalty

2. Run super-system in a loop
   2.1. Solve DIM2
      - Initialize $\hat{q}_{2ij} = 0$ (no arcs are interdicted)
- Initialize $\hat{W}_{2ij} = 1$ (all arcs are available)
- Update $\hat{V}_{2n}$ to satisfy all dependent arcs of DIM1
- Increment $\hat{vPen}_{2n}$
- Solve for optimal solution
- Notify DIM1 about the supported external arcs

2.2. Solve DIM1

- Initialize $\hat{q}_{1ij} = 0$ (no arcs are interdicted)
- Update $\hat{W}_{1ij}$ using the notification sent by DIM2
- Initialize $\hat{V}_{1n} = 0$ (no external demand)
- Increment $\hat{vPen}_{1n} = 0$ (no external demand)
- Solve for optimal solution
- Notify DIM2 about the redundant support

2.3. Solve DIM2

- Initialize $\hat{q}_{2ij} = 0$ (no arcs are interdicted)
- Initialize $\hat{W}_{2ij} = 1$ (all arcs are available)
- Update $\hat{V}_{2n}$ to satisfy only needed arcs of DIM1
- Solve for optimal solution
- Calculate and record total penalty paid
- Record DIM1 and DIM2 objective values

The model scripts implemented in GAMS language and associated data files are uploaded to the TALOS Computation Server. The TALOS Computation Server generates the following representation for each model.

![Figure 4.2: Decoupled Infrastructure Model 1.](image1)

![Figure 4.3: Decoupled Infrastructure Model 2.](image2)

The elements on the left of each model represent the input elements and the ones on the right represent expected output files.
Table 4.1: Node Data For Decoupled Infrastructure 1.

<table>
<thead>
<tr>
<th>dim1$nodeData.csv</th>
<th>dim1$nodeDataExtern.csv</th>
</tr>
</thead>
<tbody>
<tr>
<td>n</td>
<td>b_n</td>
</tr>
<tr>
<td>r1n1p</td>
<td>3</td>
</tr>
<tr>
<td>r1n1pp</td>
<td>0</td>
</tr>
<tr>
<td>r1n2p</td>
<td>0</td>
</tr>
<tr>
<td>r1n2pp</td>
<td>0</td>
</tr>
<tr>
<td>r1n3p</td>
<td>0</td>
</tr>
<tr>
<td>r1n3pp</td>
<td>-10</td>
</tr>
</tbody>
</table>

Table 4.2: Arc Data For Decoupled Infrastructure 1.

<table>
<thead>
<tr>
<th>dim1$arcData.csv</th>
<th>dim1$arcDataExtern.csv</th>
</tr>
</thead>
<tbody>
<tr>
<td>i</td>
<td>j</td>
</tr>
<tr>
<td>r1n1p</td>
<td>r1n1pp</td>
</tr>
<tr>
<td>r1n2p</td>
<td>r1n2pp</td>
</tr>
<tr>
<td>r1n3p</td>
<td>r1n3pp</td>
</tr>
<tr>
<td>r1n1pp</td>
<td>r1n2p</td>
</tr>
<tr>
<td>r1n1pp</td>
<td>r1n3p</td>
</tr>
<tr>
<td>r1n2pp</td>
<td>r1n3p</td>
</tr>
</tbody>
</table>

Table 4.3: Node Data For Decoupled Infrastructure 2.

<table>
<thead>
<tr>
<th>dim2$nodeData.csv</th>
<th>dim2$nodeDataExtern.csv</th>
</tr>
</thead>
<tbody>
<tr>
<td>n</td>
<td>b_n</td>
</tr>
<tr>
<td>r2n1p</td>
<td>3</td>
</tr>
<tr>
<td>r2n1pp</td>
<td>0</td>
</tr>
<tr>
<td>r2n2p</td>
<td>0</td>
</tr>
<tr>
<td>r2n2pp</td>
<td>0</td>
</tr>
<tr>
<td>r2n3p</td>
<td>0</td>
</tr>
<tr>
<td>r2n3pp</td>
<td>-10</td>
</tr>
</tbody>
</table>

4.2.1 Determining appropriate penalties on unmet requirements

The penalty values $vPen_{2n}$ are supposed to represent the cost to DIM1 of unsatisfied requirements that need to be met by DIM2. These penalties are not easy to determine, because they depend on the state of DIM2. For instance, assuming that we have two alternative paths for our flow and both paths have two distinct dependent arcs as in DIM1, the penalty of not satisfying
both of the dependent arcs is not simply the total of penalty values for not satisfying each dependent arc. Total penalty should be much higher than the summation of each penalty value, because the flow in DIM1 is totally blocked.

If we enumerate a range of possible penalty values, it is possible to calculate the total penalty values for all possible states of dependent arcs. At this point, the TALOS Computation Server is useful for generating total penalty values exhaustively. The number of model runs required for generating these values is $2^d$ where $d$ is the number of dependent arcs and nodes. This number will grow very quickly for the models with a higher number of dependent arcs.

In order to generate the total penalty values DIM1 needs, we have written the following script. The global variable `best` represents the optimal cost of operating the system with all dependent arcs satisfied. And the global variable `vPenTotal` is used for storing the total penalty values. Variables that are used to keep track of `optimalcost` and `totalpenalty` are initialized with `var` keyword.

A single run is performed for DIM1 with pretasks to turn on the dependent arcs and a posttask to assign the $Z$ value to the global variable `best`.

```plaintext
1  var vPenTotal = 0
2  var best = 0
3  var i = 0, j = 0
4  step #start->dim1
5  pretask dim1$arcDataExtern.csv[4,3]<-override(1)
6  pretask dim1$arcDataExtern.csv[5,3]<-override(1)
7  posttask best<-dim1$Z
8  end step
```
We have implemented the loop structure to be used with ‘loop while(condition)’ and ‘end loop’
keywords. Nested loops are used to change the values of global variables \( i \) and \( j \) and run DIM1
four times. The script needed for defining the loops and global task is given below.

```python
9 loop while(i<2)
10 task j<-0
11 loop while(j<2)
12 step #start->dim1
13 pretask dim1$arcDataExtern.csv[4,3] <- override(i)
14 pretask dim1$arcDataExtern.csv[5,3] <- override(j)
15 posttask vPenTotal <- dim1$Z-best
16 posttask inc(j)
17 end step
18 end loop
19 task inc(i)
20 end loop
```

Having defined the nested loop structures, a step to run DIM1 is defined with pretasks to update
the state of dependent arcs with \( i \) and \( j \) values and a posttask to assign \( vPenTotal \) the difference
between the \( Z \) value of DIM1 and the value of \textit{best}. The global variables \( i \) and \( j \) are reset and
incremented with global tasks. After initializing the global variables we define the steps in the
form of ‘step from->to’ in which \textit{to} represents the actual model to be run (\textit{from} is provided to
keep track of the sequences). Pretasks and posttasks are defined using the \texttt{pretask} and \texttt{posttask}
keywords.

At this point, we can type the command \texttt{write} to record the super-system design we have created.
This will give us an opportunity to run the \texttt{performJSON} command which runs the saved super-
system design. Finally we use the \texttt{run} command to execute the super-system design.

```python
21 run
```

Running the script generates the repeated sequences of the following segment:

```
running with param:
-----------------------
*** Running step #start->dim1 ***
```
** performing preTasks **
  overriding values in dim1$arcDataExtern.csv
  overriding values in dim1$arcDataExtern.csv
**** Normal completion ****
  objective: 123.000000
  mipSol: None
  finalSol: None
** performing postTasks **
  best<-123.0

In each segment, we can observe the intermediate objective value of the step and changing
global variables under the tasks title.

When a model is run and a global variable is changed multiple times, no information is lost.
Past values of each variable are stored by the TALOS Computation Server. Using the trace
command, we can get all values assigned to the global variables including the Z value of DIM1.

```
trace
```

```
------------------------------------------------------------
**** Trace Record ****
i$trace: [0.0, 1.0, 2.0]
best$trace: [0.0, 123.0]
vPenTotal$trace: [0.0, 27.0, 6.0, 0.0, 0.0]
j$trace: [0.0, 0.0, 1.0, 2.0, 0.0, 1.0, 2.0]
dim1$Z: [123.0, 150.0, 129.0, 123.0, 123.0]
------------------------------------------------------------
```

The trace record reveals that failing to satisfy the dependent arcs \((r1n1pp, r1n2p)\) and \((r1n1pp, r1n3p)\) incurs 27 units of penalty. Similarly, we can see that failing to satisfy only the dependent
arc \((r1n1pp, r1n2p)\) requires 6 units of total penalty. However, satisfying all dependent arcs or
failing to satisfy the dependent arc \((r1n1pp, r1n3p)\) requires no penalty. Total penalty values
are shown in Figure 4.4.

Failing to satisfy both dependent arcs yields a totally blocked flow as shown in Figure 4.5 and
failing to satisfy either one of the dependent arcs yields the network flows given in Figure 4.6
Generating total penalty values for DIM1 is very useful for various reasons. Entering the penalty values on DIM2 for all different states of satisfying dependent arcs of DIM1, and running DIM2 with this information will yield an optimal super-system decision with the optimal operating costs. Avoiding the complexity of entering conditional penalty values, we have chosen to increase penalty values gradually within the range of total penalty values generated here to find a near-optimal or optimal policy.
4.2.2 Designing and running the super-system with different $vPen_n$ values

For this example, considering the different total penalty values ranging from 0 to 27, and running the super-system with $vPen_n$ values assigned to DIM2 ranging from 1 to 14, we can achieve a near-optimal or optimal policy to minimize operating costs of overall system. Using an upper limit of 14 will make sure that failing to satisfy both dependent arcs on DIM1 is punished with 28 units of total penalty which includes the maximum total penalty we have generated before. However, DIM2 is overcharged with 14 units of total penalty when it fails to satisfy the dependent arc $(r1n1pp, r1n3p)$ while the actual total penalty generated during the previous stage is zero.

Total penalty has different meanings for operators of DIM1 and DIM2, and the manager of super-system. The operator of DIM1 will consider the total penalty as a way of motivating the operator of DIM2 to satisfy his dependent arcs. The operator of DIM1 is the one to decide the value of total penalty. This value should not be higher than what is needed to maintain overall system optimality. According to the operator of DIM2, total penalty is a value he needs to pay to the operator of DIM1 in case he fails to satisfy any external dependency. For the manager of the super-system, total penalty is an artificial cost that does not effect the operating cost of the super-system in the sense that it is transferred from one infrastructure to another; thus, it stays inside the super-system.

The initial configuration of DIM1 necessarily reflects these assumptions; $\hat{q}_{ij} = 0$ (no arcs are interdicted), $\hat{W}_{ij} = 1$ (all arcs are available), $\hat{V}_{1n} = 0$ (no external demand), $v\hat{Pen}_{1n} = 0$ (no shortfall penalty for external demand).
After running DIM1 with these parameters we can generate the file ext1.csv which includes the arcs that are needed for an optimal minimum-cost flow. Next, we need to update the value of the parameter $v_{2n}$ in nodeDataExtern.csv shown in Table 4.3 which belongs to DIM2. Initial penalty value $v_{Pen2n}$ for not satisfying external demand at $r2n1pp$ is 1. Now we are ready to run DIM2 with updated values. Running DIM2 will generate ext2.csv which includes the binary value $Provided$ which yields 1 when node $r2n1pp$ satisfies the external demand. This value needs to be written on the value of $w_{1ij}$ of arc $(r1n1pp,r1n2p)$ located in arcDataExtern.csv shown in Table 4.2. Objective function value of DIM2 must be recorded. After running DIM2 we will be able observe if the operator of DIM2 is convinced to satisfy the external demand.

Finally we need to run DIM1 with the updated configuration to see the improvement after the DIM2’s decision to satisfy the external demand or not. As we can perceive, the value of $v_{Pen2n}$ is the immediate motivation for the operator of DIM2. The amount of this penalty and the decision of DIM2’s operator will determine the value of $vPenPaid$ located in ext2.csv. $vPenPaid$ is an artificial penalty used to influence the behaviour of one operator analogous to the total penalty. We may consider the value of $vPenPaid$ as the amount taken from the operator of DIM2 and given to DIM1. The equation for $vPenPaid$ is given in 4.9.

$$vPenPaid = \sum_{n \in N} (\hat{v}_{Pen2n}V_{SHORT2n})$$

(4.9)

And we can define $netCost$ as follows:

$$netCost = Z_{DIM1} + Z_{DIM2} - vPenPaid_{DIM2}.$$  

(4.10)

Using either the scripting language on the command-line interface or the web-GUI of the TA-LOS Computation Server it is possible to define and perform these tasks. As we have mentioned before the value $vPen2n$, determined by the operator of DIM1, will have a significant impact on the super-system optimization. In order to run the sequence of DIM1, DIM2 and DIM1 again with different values of $vPen2n$ we use the loop structure implemented in the scripting language.

```plaintext
1  var vPenn = 0
2  var vPennPaid = 0
3  var totalCost = 0
4  var netCost = 0
5  loop while(vPenn<15)
```
The first step in the loop is step #start->dim2. In this step, vPenn is incremented and written into DIM2’s vPenn values in nodeDataExtern.csv file of DIM2, and vPenn values of DIM2 are initialized with value 1 as pretasks. After running DIM2 with these pretasks, binary values Provided in ext2.csv file of DIM2 is written into w2ij values of DIM1 as posttasks.

```
6 step #start->dim2
7 pretask inc(vPenn)
8 pretask dim2$nodeDataExtern.csv[2,1]<-override(1)
9 pretask dim2$nodeDataExtern.csv[6,1]<-override(1)
10 pretask dim2$nodeDataExtern.csv[2,2]<-override(vPenn)
11 pretask dim2$nodeDataExtern.csv[6,2]<-override(vPenn)
12 posttask dim1$arcDataExtern.csv[4,3]<-override(dim2$ext2.csv[2,1])
13 posttask dim1$arcDataExtern.csv[5,3]<-override(dim2$ext2.csv[6,1])
14 end step
```

The next sequence includes the step from DIM2 to DIM1 in which DIM1 is executed. In this step DIM1 is solved with the provided support from DIM2. After running DIM1, the TALOS Computation Server performs posttasks to check if DIM1 is not using a dependent arc that has been supported by DIM2. Such a case will yield a redundant cost on DIM2 and the overall system.

Formulating an equation to satisfy the conditions shown in Table 4.5 is necessary in order to address this problem.

<table>
<thead>
<tr>
<th>Inputs</th>
<th>Output</th>
</tr>
</thead>
<tbody>
<tr>
<td>W_{ij}</td>
<td>isNeeded_{ij}</td>
</tr>
<tr>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 4.5: Condition Table For Finding Redundancy.

When the availability of a dependent arc (W_{ij}) is 1 and it is needed for an optimal flow on DIM1, V_{2n} must be assigned 1. When the availability of a dependent arc (W_{ij}) is 1 and it is not needed for an optimal flow on DIM1, V_{2n} must be assigned 0. However, when the availability of a dependent arc (W_{ij}) is 0, we do not have any information if that arc is needed or not, and DIM2 keeps paying a penalty for that dependent arc. The formulation constructed to avoid redundant penalty is given in 4.11.
\[ V_{2n,ij} = (1 - W_{ij}) + isNeeded_{ij} \] (4.11)

```r
# In the last step of the loop, DIM2 is run again with some posttasks. These posttasks are assigning the total of \( Z_{DIM_1} \) and \( Z_{DIM_2} \) to global variable `totalCost`, assigning the total penalty paid by DIM2 to `vPenPaid`, and finally assigning the net cost to the global variable `netCost` which is calculated by subtracting `vPenPaid` from `totalCost` global variable.

```r
# A segment of the output generated by the TALOS Computation Server is shown below. This segment shows the last step of the first loop cycle which consists of three steps. We can observe the step objective value and the updated global variables under posttasks title.

```
```
** performing postTasks **

```
finalSol: None

totalCost<-281.0
vPennPaid<-2.0
netCost<-279.0
```

We display the trace information to obtain the values of global variables.

```
26 trace
```

The following plots are generated using the output of command `trace`.

As we can see in Figure 4.10, the operator of DIM2 is not convinced to satisfy external demand until the 7th run. The Z value (objective function value) of DIM2 is gradually increasing until the 15th loop (29th DIM2 run). We can observe that DIM2 is run twice in each loop. The second run of each loop yields the Z value that is updated using the equation in 4.11. Until the 29th DIM2 run there is no redundancy in DIM2's supporting external arcs. However, before the 30th DIM2 run, DIM1 detects a redundancy caused by DIM2’s prior decision to support both dependent arcs during the 29th DIM2 run. The network flow demonstrating this redundancy is
shown in Figure 4.15. DIM1 notifies DIM2 by performing the posttasks and DIM2 gets rid of the additional cost of supporting the arc \((r1n1pp, r1n3p)\) which is not needed by DIM1 in the presence of the arc \((r1n1pp, r1n2p)\).

Network flows until the 7th cycle and after the 7th cycle of loop are shown in Figure 4.13 and Figure 4.14. It is worth noting that DIM2 is charged for not satisfying the external demand for the arc \((r1n1pp, r1n3p)\) until DIM2 satisfies the demand for this arc at 15th cycle.
When we have a look at total cost value on Figure 4.9 we can see that it is increasing gradually until the 7\textsuperscript{th} cycle and decreasing dramatically at the 8\textsuperscript{th} cycle. This is because the operator of DIM2 is convinced to support one of the dependent arcs \((r1n1pp, r1n2p)\) which saves DIM1 from blocked state and decreases DIM1 operating cost by 27. After this point, \(vPen_{2n}\) is still being increased and DIM2 is charged with the penalty of not satisfying the arc \((r1n1pp, r1n3p)\) until the 14\textsuperscript{th} cycle. We observe another dramatic decrease in total cost at the 15\textsuperscript{th} cycle which is caused by DIM1’s notification about the redundant support for the arc \((r1n1pp, r1n3p)\).

As mentioned before, the super-system operator must focus on the net cost which also decreases after convincing the operator of DIM2 to satisfy the external demand and is fixed at the same level. At the 15\textsuperscript{th} cycle totalcost converges to netcost. At this point DIM2 is not charged with a penalty (\(vPenPaid = 0\)).

The result reveals that the optimal super-system decision is to declare a penalty of 14 only for failing to satisfy the arc \((r1n1pp, r1n2p)\). This policy will keep the arc \((r1n1pp, r1n2p)\) on despite the increased cost of operating DIM2. This result is generated assuming equal weights for the cost values of operating each infrastructure.
The methodology for solving a super-system optimization problem consisting of more than two interdependent infrastructures with a large number of nodes and arcs is not basically different from the technique we have used on the TALOS Computation Server.

The crucial issue about making use of the TALOS Computation Server is implementing the models with well-formatted input and output files so that the model can be reused.
We conclude by summarizing our work and suggesting several ideas for future research and development on the TALOS Computation Server and the analysis of critical infrastructures.

5.1 Conclusions
In this thesis, we examine the steps followed by researchers while solving an optimization problem. We explain the challenges associated with modeling and solving interdependent infrastructure systems. Evaluating the current practices, we detect the deficiencies in current use of computational tools. As a result of this evaluation, we capture and analyze the requirements for a cloud-based computation server. Some of the features expected from a computation server are model integrity checking, meta-data generation, visualization, reporting, error handling, graphical user interface, scripting on terminal and super-system design to model interdependent infrastructure systems.

We propose an architecture to address the requirements for a computation server. In accordance with our principal design decisions, we implement the TALOS Computation Server.

We present decoupled infrastructure models that can be generalized and used for real-world infrastructure systems. Decoupled infrastructure systems are stand-alone, executable optimization models decomposed from monolithic infrastructure systems model. We demonstrate the analysis of interdependent infrastructures using the TALOS Computation Server. The ease and efficiency of designing, running and debugging the super-system representing the critical infrastructure systems on the TALOS Computation Server for our analysis reveals that the requirements are correctly captured and mapped into the architectural design.

5.2 Future Work
We have designed the TALOS Computation Server to be used with the GAMS optimization language considering the needs of operations research students and faculty at Naval Postgraduate School. However, improving the user experience and extending the optimization interpreters to include other optimization languages will allow analysts, decision makers and researchers from other departments to make use of the services provided by our computation server.
Security related requirements which are crucial for a distributed system are not addressed in this research. Security means correct behavior in the face of an intelligent adversary. Three important properties in a secure system are confidentiality, integrity, and availability (Salehi et al., 2007). The most important tactic to be used at the architectural level will be improving the access control of the TALOS Computation Server. In this way, it will be possible to store valuable models and data sets.

We have presented decoupled infrastructure models and proposed a two-phased method for optimizing the cost of operating the overall system. In this way, we have managed to overcome the problems associated with the monolithic models. Similar decomposition methods must be evaluated and tested on the TALOS Computation Server. Every model run on the TALOS Computation Server is tagged with metadata and becomes reusable.

Similarly, most common optimization models can be made available to the registered users by hosting them on the TALOS Computation Server. This will help minimize the duplicated efforts in the optimization community.

Promoting the TALOS Computation Server to a widely used system will require implementing APIs so that the developers can make use of the services provided by the server in their own applications.

Optimization is also commonly used with simulation tools. Incorporating lightweight simulation tools such as Sigma (Schruben, 1990) in the TALOS Computation Server will be helpful for facilitating the related analyses.
A.1 agent Package
The agent package contains a helper function getMdls which is responsible for fetching the metadata that was automatically generated during the ‘generate model’ process. The content of each model’s metadata is used to populate a graphical representation of each model in the web GUI (client side).

A.2 fileCtrl Package

getInputFiles: This function extracts input files by parsing through the GAMS script. Parsing is performed by making use of the ‘$INCLUDE’ statements in the GAMS script. Python’s Standard Regular Expression library provides conveniences to detect the occurrence of these statements and filter out the files included as input files. The input file list will be useful while checking the model integrity, generating metadata and finally binding model elements with those of another.

Parameters
fName: File name

Return values
inputFList: Input file list

getParams: This function extracts Parameters by parsing through the gams script. Parsing is performed by making use of the ‘PARAMETER(S)’ statements in the GAMS script. Both lowercase and uppercase statements are detected to extract Parameters. In GAMS parameters may be a single-value (scalar) or multi-dimensional. Both types are detected by this function.

Parameters
fName: File name

Return values
params: Parameter list
checkFiles: This function checks if all files specified in the file list exist. This function is implemented to find missing files by comparing existing input files in the model directory and the file list given as a parameter. Empty list returned by this function is the desired result in terms of file integrity.

Parameters
fList: File list

Return values
missing: Missing file list

getOutFiles: Extracts output files by parsing through the GAMS script. Parsing is performed by making use of the statement ‘FILE’ both in upper and lowercase. Similarly returned output file list will be useful while generating metadata and binding model elements with those of another.

Parameters
fName: File name

Return values
outputFList: Output file list

getAllFiles: Extracts all files by parsing through the GAMS script. This function calls getOutFiles and getInFiles to populate all files list.

Parameters
fName: File name

Return values
allF: All files list

displayOutput: This function displays the content of the file specified as argument.

Parameters
fName: File name

Return values
None.
A.3 mdlPack Package

A.3.1 MdlPack Class

MdlPack class contains the following member functions:

**Constructor:** Extracts the model script file name using the provided arguments. If no filename is provided detects the GAMS file in the given directory using detectGms function.

Parameters
fAddress: GAMS script file address
targetDir: Target directory (working directory by default)

**writeJSON:** This function writes the metadata in JSON format. Metadata of a model contains model name, input files, output files, author name and date created. These data are written as metaData.json file in model directory (which has the identical name as the model script file excluding the extension). Input/Output files are extracted using the functionalities provided fileCtrl Package.

Parameters
None

Return values
None

**getPackName:** Generates a package file name with .tar extension using the model script file name.

Parameters
None

Return values
Package: Package name with .tar extension

**createTar:** Creates `.tar` file from the files in a given directory. The `.tar` file stands for archive file which is useful for restoring a model from its initial state and providing the user with a reusable model with its metadata if the user prefers downloading the `.tar` package.

Parameters
None
Return values
None

cleanDir: Deletes all files in a temporary upload directory. This functionality is used after the packing (createTar) is completed.
Parameters
None
Return values
None

detectGms: Detects a GAMS file by searching for .gms or .gm extension and certain patterns in this file. In case no file is specified in Constructor, initially this function searches for the files with ‘gm’ and ‘gms’ extension. If there are more than one file with these extensions then the main model script file is determined using the $TITLE and SOLVE statements which are expected to be found in the main script file.
Parameters
None
Return values
fName Main model script file name

A.4 mdlUnpack Package
extract: This function extracts the files of a compressed archive into working directory.

Parameters
None
Return values
None

A.5 mediator Package
A.5.1 Mediator Class
Constructor: When Mediator class is initialized global dictionaries and lists are created for all models the user has unloaded previously. These dictionaries and lists are:
**Model Dictionary:** This dictionary is populated with mappings from model name to model object generated using Factory Class. Global Variables Dictionary: The TALOS Computation Server captures the objective function value of each model by default. This value is represented as ‘modelName$Z’ where first part before dollar sign represents the model name. By default ‘modelName$Z’ maps to an empty list. This list is appended with new objective function value after each run of a model.

The user may define a new global variable during super-system design to facilitate interactions between models.

**Model Parameters Dictionary:** This dictionary is populated with single-valued (scalar) parameters and multi-dimensional parameters. Parameter names in the form of ‘modelName$paramA’ are mapped into user-defined values in order to run the model with a specific parameter.

**Function Dictionary:** The TALOS Computation Server provides some functions to facilitate bindings between models. These functions are inc, dec and override. These keywords are mapped into the object address f of their associated functions. While override function is used for almost every pretask or posttask, the other functions are expected be more useful while running some models in a loop.

**Input List:** This list is populated with the input file names using the fileCtrl Package. If the user prefers to use command-line interface this list is used to verify that the files associated in pretask or posttask are valid.

**Output List:** This list is populated with the output file names using the fileCtrl Package. Similarly this list is used for verification of binding while defining pretask or posttask on command-line interface.

The following member functions give the Mediator Class desired functionalities:

**override:** Overrides a target file using the source file/file items. 

**Parameters**
- target: Target file or file item (selected rows and columns only for .csv files)
- source: Source file or file tem (selected rows and columns only for .csv files)

**Return values**
- None
**inc**: Increments the given value

*Parameters*

x: Numerical value

*Return values*

x+1

**dec**: Decrements the given value

*Parameters*

x: Numerical value

*Return values*

x-1

**getValByRef**: Extracts the values from .csv value using getValues function after parsing the reference.

*Parameters*

ref: Reference pointing the row col range

*Return values*

modelName: Model Name

fileName: input/output file name

rowRange: translated row range

colRange: translated column range

matrix: values from .csv file

**getValues**: Extracts the specified values from .csv file.

*Parameters*

rowCol: Statement showing the columns and rows intersection

fName: File name

fDir: Directory name

*Return values*

rowRange: translated row range

colRange: translated column range

matrix: values from .csv file
`stripList`: Strips off the whitespaces for a given list.

*Parameters*

lst: List to be processed

*Return values*

tmp: Stripped list

`numeric`: Evaluates if the given value is numerical.

*Parameters*

s: String value

*Return values*

Boolean True means numerical

### A.5.2 Model Class

**Constructor:** After initialization model name, model directory information are assigned to class variables, and finally the parameters list is populated with parameters. These data are the only information needed to run a model given that the input files are ready or modified necessarily.

**getSetFile:** Extracts set filename from the GAMS Script using the set name. This function searches the SET statement in order to extract set file name.

*Parameters*

setName: Set name

fName: GAMS file name

*Return values*

setFile: Associated set file (csv)

**modifyParams (Experimental):** Modifies the main GAMS script to make parameters useful for Mediator while using the complete parameter list. Modification consists of transforming the parameter into a control variable in GAMS script.

*Parameters*

pList: Parameter list include single and vector parameters
Return values:
None

getParams: Extracts parameters from the GAMS file using PARAMETER(S) statement both uppercase and lowercase.
Parameters
None
Return values
params : Parameter list containing single and vector parameters

run: Runs the model with the given key-worded arguments. Key worded arguments consist of parameter and numerical value pairs. Running with parameters is only available if parameters are successfully transformed using modifyParams and the given parameter is a single-valued parameter included in the parameter list of Mediator Class.
Parameters
**kwargs: Key-worded arguments Return values
msg: Message Normal or Error
objective: Objective value
mipSol : MIP solution value
finalSol: Final solution value

A.5.3 Connector Class
Task struct contains func, var and value properties. This struct may be considered as a statement consisting of an assignment statement. That is, ‘var’ is assigned the returning value of ‘func’ with ‘value’ as its argument. In Python using a named tuple is efficient way to implement a struct.

Constructor: When Connector Class is initialized pretask and posttask lists are initialized. Connector should be given the previous model name as ‘from’ and present model name as ‘to’. The other information needed to generate the connector and run through this connector is provided by Mediator instance. The first step that requires running the first model will have a virtual previous model called ‘#start’. The ‘#’ sign at the beginning of this model name is
used to ensure that this model name will not be looked up at Model Dictionary populated in Mediator.

**Parameters**

frm: Previous model
to: Present model
mediator: Mediator instance to get necessary values and functions

**addPre:** This function adds a pretask to the pretask list.

**Parameters**
task: Task struct containing task information

**Return values**

None

**addPost:** This function adds a posttask to the posttask list.

**Parameters**
task: Task struct containing task information

**Return values**

None

**perform:** This function performs the pretasks in pretask list, runs the model (given as to) and finally performs the posttasks in posttask list. After running the model, the objective function value is appended to the Z value list of the model located in Global Variable Dictionary of Mediator.

**Parameters**

**kwargs: Key-worded Arguments for model run

**Return values**

None

**performTask:** This function performs single task either pretask or posttask. This functionality is needed in the function perform while performing the tasks.

**Parameters**
task: Task struct containing task information
Return values
None

createTask: Generates a Task struct with given task information.
Parameters
var: Variable/Area to be modified
value: New value or label for values
func: Modification function
Return values
Task: struct

A.5.4 Loop Class
Constructor: Initializes a connector list called conList, assigns a terminate condition to the terminate variable.
Parameters
termCondition: Termination Condition Statement
evalFunc: Evaluation function to evaluate termCondition

addCon: Adds a connector to the connector list.
Parameters
con: Connector
Return values
None

perform: This function keeps performing the steps defined in connectors until the termination condition is met.
Parameters
None
Return values
None
A.5.5 Factory Class

createModel: Creates Model instance

Parameters
name: Model name
mDir: Model directory

Return values
Model: Instance

createConnector: Creates Connector instance.

Parameters
frm: Previous model
to: Present model
mediator: Mediator instance to get necessary values and functions

Return values
Connector: Instance

createLoop: Creates Loop instance.

Parameters
termCondition: Termination Statement

Return values
Loop: Instance

A.5.6 Attachment Class

Constructor: Initializes Factory, Mediator instances and attachment dictionary and jsonData object.

Parameters
None

writeJSON: Writes the populated jsondata into json file called superSystem.json.

Parameters
None
Return values
None

**runJSON**: Creates the connectors described in the given attachment data, runs the sequence of models with given pretasks, posttasks, and parameters.

*Parameters*

sModel: Super-system information in JSON format

*Return values*

None

**performJSON**: Creates the connectors described in the default attachment file (superSystem.json) and runs the sequence of models with given pretasks, posttasks and parameters.

*Parameters*

None

*Return values*

None

**transform**: Transforms provided key-worded arguments into tuple.

*Parameters*

**kwargs: Keyworded arguments

*Return values*

Dictionary containing the pairs to be transformed into JSON

**cmdLine**: Provides the command-line interface to design and run the super-system. The command-line interface accepts the scripting language we developed to facilitate super-system design and running.

This scripting language has the following keywords:

**step mdl1->mdl2**: Starts a new step to run mdl2 (mdl1 is used for tracking the trace of multiple model run)

**end step**: Finalizes the step design

**pretask**: Defines pretask

**posttask**: Defines posttask
**param**: Defines parameter if parameter does not exist otherwise assigns value to existing ones

**run**: Runs the super-system created

**quit**: Quits the application

The functions that can be used within pretask and posttask instructions:

**override()**:Overrides parameter, file or part of a file (.csv format supported)

**inc()**: Increments the given value

**dec()**: Decrements the given value

**resolveFunc**: Resolves the elements of a task function.

*Parameters*

- line: Row containing the pretask/posttask statement

*Return values*

- var: Variable
- func: Function name
- value: New value

**getFromTo**: Resolves the previous model and present model in step statement.

*Parameters*

- line: Row containing the step statement

*Return values*

- frm: From model
- to: To Model (From Model used as follow-up information)

**resolveParam**: Resolves the parameter assignment information in param statement.

*Parameters*

- line: Row containing the param statement (multiple parameters separated by comma)

*Return values*

- rParam: Dictionary containing parameter names and its value

**process**: Processes a single line of attachment (either step, end step, pretask, posttask, param or run)

*Parameters*
line: Row containing a valid statement in attachment file or JSON data
APPENDIX B:  
Client-Side Implementation Details

Client-side implementation consists of three page/packages:

**B.1 dashBoard.html**
dashBoard.html provides the web interface for the Talos Computation Server. The visual elements displayed on the web interface during the super-system design are shown in Figure B.1.

![Figure B.1: The Web Interface For the TALOS Computation Server.](image)

**B.2 SGNet.js**
SGNet.js consists of two main objects: CNode and Element Objects.

**B.2.1 CNode Object**
Graphical representation of a node/model.
CNode Object contains the following properties:
- **arcIn**: Array of arcs from CNode to each of input elements.
- **arcOut**: Array of arcs from CNode to each of input elements.
**el:** Array of all Element Objects  
**elIn:** Array of all Input Elements  
**elOut:** Array of all Output Element  
**group:** Holder object to aggregate node, node icon and node label  
**info:** Node/Model Information  
**main:** Main Circle object representing the node  
**name:** Node/Model name  
**numElIn:** Total number of input elements  
**numElOut:** Total number of output elements  
**setIcon:** Icon showing that the node represents a model. When the model is running the icon is animated with rotation until the model run is completed.  
**stepArc:** Dynamic step object that is drawn from the center of one model to another to represent the step (single run sequence). Drawing is performed when the design mode is activated, the node is click and dragged to the desired node. StepArc will be valid only if the mouse is released on a valid node/model object. Right clicking on the stepArc will display the elements ready for interaction design. This object has its own mousedown event implemented to facilitate this visualization.

The following methods are implemented in CNode Object:  
**Constructor:** Creates a new CNode Object.  

*Parameters*  
- x: X coordinate  
- y: Y coordinate  
- rad: Radius  
- model: Flag indicating if the node is representing a "model"  
- info: Node information

**Element:** If the CNode is representing a model, each input or output element of the model is shown as Element Object. Considering the significance and complexity of this object, detailed information is provided after CNode Events.  

*Parameters*  
None
**getCirIn:** Yields the x or y coordinate for each output element by calculating the angle of each element to y axis of Cnode.

*Parameters*
- eInd: Element Index
- tElem: Total number of Elements
- giveX: True if X is requested o/w False

*Return values*
- x or y coordinate (depending on giveX flag)

**getName:** Yields the name of the node

*Parameters*
- None

*Return values*
- name of the node

**hideEl:** Hides the elements of the node.

*Parameters*
- None

*Return values*
- None

**highlight:** Highlights the outer curve of circle

*Parameters*
- None

*Return values*
- None

**highLightOff:** Turns off the highlight for the outer curve of circle

*Parameters*
- None
Return values
None

**move**: Move callback function for drag event

*Parameters*

dx: Change in x axis

dy: Change in y axis

*Return values*

None

**showEl**: Shows the elements of the node

*Parameters*

None

*Return values*

None

**up**: Up callback function for drag event

*Parameters*

None

*Return values*

None

**updateConnection**: Updates the path of stepArc object and redraws the stepArc object

*Parameters*

dx: Change in x axis

dy: Change in y axis

*Return values*

None

The following events are implemented for CNode Object:

**drag**: Drag event for Cnode.
Parameters
move: Move callback function up: Up callback function down: Down callback function

mousedown: Mousedown event for CNode
Parameters
None

mouseover: Mousedown event for CNode
Parameters
None

mouseup: Mousedown event for CNode
Parameters
None

B.2.2 Element Object:
If the CNode is representing a model, each input or output element of the model is shown as Element Object. Input elements are positioned on the left side of the model representation (CNode). Blue colored input elements represent the input files (.csv, .gms, .gm, etc.), magenta colored input elements represent the parameters included in the model script while the output files are displayed in green on the right side of the model representation.

Element object contains the following properties:
bindNode: Connection point of an element. This object also shows the type of element with different colors. This object contains the following property, methods and events to facilitate the interaction between the elements of different Models.

Properties:
overrideArc: Arc representing the override process (from source to destination)

Methods:
down: Callback function for drag function
highlight: Highlights the bindNode.
highlightOff: Turns off the highlight for the bindNode.
move: Callback function with parameters dx (Change in x axis), dy (Change in y axis) for drag
**event**

*up*: Callback function for drag function

**updateConnection**: Updates and redraws the connector between the bindNode to another to represent overriding. This method has the parameters dx (Change in x axis), dy (Change in y axis).

**Events:**

*drag*: Drag event for bindNode.

**Parameters**

*move*: Move callback function

*up*: Up callback function

*down*: Down callback function

*mousedown*: Mousedown event for bindNode

**Parameters**

None

*mouseover*: Mousedown event for bindNode

**Parameters**

None

*mouseup*: Mousedown event for CNode

**Parameters**

None

**eText**: Element’s label object which contains either the name of the file or parameter implemented in model script. This object has the following events implemented:

*mouseout*: Mouseout event for CNode

**Parameters**

None

mouseover: Mouseover event for CNode

**Parameters**

None

**group**: Container object holding the Element, its eText(label) and bindNode.
Element object has the following constructor:

**Constructor:**
Initializes the Element instance with given parameters.

*Parameters*
- x : X coordinate
- y : Y coordinate
- txt : Label
- fontSize : Font size
- ref : Reference
- col : Color
- mName : Model Name

The following events are implemented for Element Object:

**mouseout:** Mouse out event for the Element

*Parameters*
None

**mouseover:** Mouse over event for the Element

*Parameters*
None

**B.3 csHandler.js**
Properties implemented in csHandler package:

- **hH:** Height of super-system design div.
- **hW:** Width of super-system design div.
- **modelArcs:** List holding arcs information (step information)
- **modelNodes:** List holding models information
- **myGraph:** Temporary network (SGNet) object to visualize results.
- **ph:** Super-system Network(SGNet) object
**query:** Process type sent to the TALOS Computation Server

**status:** Name of the status div

**totalModel:** Number of total models displayed

Methods implemented in csHandler package:

**checkServer:** Sends ‘checkserver’ request to Agent on the TALOS Computation Server

*Parameters*
None

*Return values*
None

**errResponse:** Displays error message (AJAX error) on status div

*Parameters*
None

*Return values*
None

**generateMdl:** Sends ‘generateMdl’ request to Agent on the TALOS Computation Server

*Parameters*
None

*Return values*
None

**getResults:** Temporary function to display the results

*Parameters*
None

*Return values*
None

**isEmpty:** Evaluates if the given dictionary object is empty or not

*Parameters*
Dictionary Object

*Return values*
Boolean: True means empty
**processResponse**: Processes the response sent by Agent on the TALOS Computation Server, displays updates and messages on status div

*Parameters*
data: Data containing request and user info etc.

*Return values*
Boolean: None

**runSuperMdl**: Sends 'runSuper' request with populated stepList to Agent on the TALOS Computation Server

*Parameters*
None

*Return values*
None

**setSuperSystem**: Sets super-system network object calculating given holder div dimensions and assigns status div name to status variable.

*Parameters*
holderDiv : Name of the div holding super-system network
statusDiv : Name of the div holding status information

*Return values*
None
REFERENCES


Initial Distribution List

1. Defense Technical Information Center
   Ft. Belvoir, Virginia
2. Dudley Knox Library
   Naval Postgraduate School
   Monterey, California