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# Interactions between electron beams and fully ionized plasmas 

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# HWTRRACTIONS BETWEEN ELECTRON BEAMS AND FULLY IONIZED PLASMAS <br> STANLEY D. COX 

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(2)

INTERACTIONS BETWEEN ELECTRON BEAMS

AND FULLY IONIZED PLASMAS

*     *         *             *                 * 

Stanley D. Cox

# INTERACTIONS BETWEEN ELECTRON BEAMS 

AND FULLY IONIZED PIASMAS
by

Stanley D. Cox

Captain, United States Marine Corps

Submitted in partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE
IN
ENGINEERING ELECTRONICS

United States Naval Postgraduate School
Monterey, California

$$
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\end{aligned}
$$

# INTERACTIONS BETWEEN ELECTRON BEAMS AND FULLY IONIZED PLASMAS 

by

Stanley D. Cox

This work is accepted as fulfilling the thesis requirements for the degree of MASTER OF SCIENCE

IN

## ENGINEERING ELECTRONICS

from the

United States Naval Postgraduate School

## ABSTRACT

Interaction between an electron beam and a fully ionized plasma has been studied with a view towards its application in a structure-less traveling wave tube. Three basic approaches, of varying degree of rigor, to the problem have been pursued and analytical solutions for the circularly symmetric case obtained. Comparisons between the methods of analysis are made.

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## TABLE OF CONTENTS

Section Title Page

1. Introduction ..... 1
2. Method I, Trivelpiece's "slow wave" Approximation ..... 6
3. Method II, Extension of Brewer s Method ..... 16
4. Method III, Kales' Solution ..... 34
5. Comparison of Methods ..... 50
Appendix A, Derivation of Tensor Dielectric Constants ..... 55
Appendix B, Solution of Boundary Value Problem, Method I ..... 60
Appendix C, Solution of Boundary Value Problem, Method II ..... 71

## LIST OF ILLUSTRATIONS

Figure ..... Page

1
Geometry of the Problem ..... 2

## 1. Introduction

Investigations into wave propagation in plasmas have been conducted by a number of workers (1), (2), (3) with a view towards employment in plasma diagnostics and traveling wave tubes. Extensive bibliographies are contained in these works and the reader is referred to these for previous work in the field.

Basically, the problem is a boundary value problem in cylindrical coordinates ( $\mathrm{r}, \theta, \mathrm{z}$ ) as depicted in Fig. l with a static magnetic field parallel to the z-axis. Throughout this paper, the case of coupling to a helix will be considered as this is a common method of coupling into or out of a traveling wave tube. The mathematical model used for the helix is the simplest of those that have been developed, that of the "sheath helix"。 The reader is referred to any standard work, such as Pierce (4) for details on this point. The results will be used without comment in this paper.

The mathematical model used for the plasma will be that customarily used in studies of this nature unless specifically stated otherwise. This is the "cold" plasma wherein effects of collisions, recombinations, neutrals. and thermal motion are ignored and the electrons are considered as forming a "cloud" against a background of positive ions which provide overall (DC) neutrality of charge, but do not otherwise appreciably contribute to the problem due to the relatively large mass of the ions which renders them practically stationary.

Three approaches to the problem are presented and compared. These


Figure 1
Geometry of the Problem
are presented in order of increasing complexity, if not rigor, and the results are compared using the third method as the standard of comparison

The first method is an extension of the works of Boyd. Gould and Trivelpiece and has, as the major distinguishing features, the assumptions that the magnitude of the longitudinal propagation constant is much greater than that of free space and that the electric field may be represented as derived from a scalar potential. This is Trivelpiece's "slow wave" or "quasi-static" approximation. This method has the advantage of simplicity albeit at the price of rigor, but the extreme simplicity alone is of considerable practical value provided, of course, that the results provide a reasonable approximation to the true case. A feature of this "slow wave" approximation is that a TE mode of propagation is denied by the first assumptions. While of no great consequence when dealing with drift tubes or waveguides, this is troublesome when one attempts to derive expressions for interactions with a helix. Matching boundary conditions at the "sheath helix" requires both TE and TM types of solutions as is stated in Hutter (5) and can quickly be demonstrated. Thus, a dilemma presents itself. In attempting to extend this simple method, a free space TE solution will be assumed within the electron beam and plasma regions and the inconsistency ignored.

The second method is an extension of the works of Rigrod and Lewis (6). and Brewer (7), the latter being essentially a generalization of the former. This method, as developed for electron beam studies, solves Maxwell ${ }^{\text {s }}$ equations in a region containing charge, and, through a perturbational
approach, takes the effect of the charge into consideration in the boundary value problem by replacing the rippled beam by an equivalent smooth beam with a surface current density. Brewer's model for the electron beam is simply a beam of electrons and does not postulate positive ion neutralization of the beam as some other common analyses do. The plasma。in this type of analysis, is treated as the limiting case of an electron beam in a plasma with zero charge density. Brewer, in his paper on the subject (7) o obtains only a TM solution although a TE solution is not negated as in Trivelpiece's analysis. In this paper, an approximate TE solution is obtained, and interestingly, is shown to be coupled to the TM solution in such a manner that, if the TE solution is identically zero, then the TM solution is also zero, with the converse not necessarily true.

The third method of analysis is considered the most rigorous and is the most complex, mathematically. It consists of solving Maxwell ${ }^{\circ}$ s equations in an anisotropic media using Kales ${ }^{\circ}$ (8) method of solution. This method provides an exact solution of the mathematical model as described above and further amplified in appendix A. Interesting features of this method are the requirement of coupled TM and TE modes of propagation which cannot be zero independently, and a mode degeneracy with non-orthogonal modes.

In this paper, the subscript $\circ$ will be used to denote dc (static) quantities and the subscript l, time varying quantities. Unless noted to the contrary, all quantities will be assumed to vary as

$$
\bar{F}=\bar{F}_{0}(r, \theta, z)+\bar{F}_{1}(r, \theta, z) e^{j \omega t}
$$

with a z-dependence such that

$$
\begin{equation*}
\frac{\partial \bar{F}}{\partial g}=-\gamma \bar{F}_{1}(r, \theta, g) \tag{1,2}
\end{equation*}
$$

Following the usual procedure, the factor $e^{j \omega t}$ will be understood and not written explicitly.
2. Method I, Trivelpiece's "Slow Wave" Approximation

Following Trivelpiece (1), it is assumed that

$$
\begin{equation*}
\nabla \times \bar{E}_{1}=-j \omega \bar{B}_{1} \approx 0 \tag{2.1}
\end{equation*}
$$

which then allows us to represent the electric field vector as derived from a scalar potential, i.e.

$$
\begin{equation*}
E_{1}=-\nabla \phi_{1} \tag{2,2}
\end{equation*}
$$

From Maxwell's equations, we have

$$
\begin{equation*}
\nabla \cdot \bar{D}_{1}=\nabla \cdot\left(\underline{\underline{\epsilon}} \cdot E_{1}\right)=\nabla \cdot \underline{\epsilon} \cdot \nabla \phi_{1}=0 \tag{2.3}
\end{equation*}
$$

where $€$ is the tensor dielectric constant given by

$$
\epsilon=\epsilon_{0}\left\|\begin{array}{ccc}
\epsilon_{11} & j \epsilon_{12} & 0  \tag{2,4}\\
-j \epsilon_{12} & \epsilon_{11} & 0 \\
0 & 0 & \epsilon_{33}
\end{array}\right\|
$$

A simple derivation of equation (2.4) is given as Appendix A although it is worth noting, in passing, that the same result for the case of a plasma alone may be obtained from the Boltzmann transport equation (10) with far fewer restrictions upon the derivation.

Proceeding formally, one then obtains

$$
\begin{gather*}
\frac{1}{r} \frac{\partial}{\partial r}\left[r \epsilon_{11} \frac{\partial \phi_{1}}{\partial r}+j \epsilon_{12} \frac{\partial \phi_{1}}{\partial \theta}\right]+\frac{1}{r} \partial \theta\left[-j \epsilon_{12} \frac{\partial \phi_{1}}{\partial r}+\epsilon_{11} \frac{1}{r} \frac{\partial \phi_{1}}{\partial r}\right] \\
\quad+\frac{\partial}{\partial g}\left[\epsilon_{33} \frac{\partial \phi_{1}}{\partial g}\right]=0 \tag{2.5}
\end{gather*}
$$

If a product type of solution is assumed,

$$
\begin{equation*}
\phi_{1}=R(r) \circledast(\theta) e^{-\gamma g} \tag{2.6}
\end{equation*}
$$

the following differential equation is then obtained

$$
\begin{equation*}
\frac{\partial^{2} \phi_{1}}{\partial r^{2}}+\frac{1}{r} \frac{\partial \phi_{1}}{\partial r}+\frac{1}{r^{2}} \frac{\partial^{2} \phi_{1}}{\partial \theta^{2}}+\frac{\epsilon_{33}}{\epsilon_{11}} \gamma^{2} \phi_{1}=0 \tag{2.7}
\end{equation*}
$$

the solution of which is

$$
\begin{equation*}
\phi_{1}=\left[A J_{n}\left(T_{r}\right)+B N_{n}\left(T_{r}\right)\right][C \cos (n \theta)+D \sin (n \theta)] e^{-\gamma g} \tag{2.8}
\end{equation*}
$$

where $A, B, C$, and $D$ are arbitrary constants. Following the usual procedure in waveguide type propagation problems this will be expressed as

$$
\begin{equation*}
\phi_{1}=\left[A J_{n}\left(T_{r}\right)+B N_{m}\left(T_{r}\right)\right] e^{-j n \theta-\gamma_{g}} \tag{2,i}
\end{equation*}
$$

where

$$
\begin{equation*}
T^{2}=\gamma^{2} \frac{\epsilon_{33}}{\epsilon_{11}} \tag{2.10}
\end{equation*}
$$

We then have

$$
\begin{align*}
& E_{l n}=-\left[A T J_{n}^{\prime}\left(T_{\Omega}\right)+B T N_{m}^{\prime}\left(T_{\Omega}\right)\right] e^{-j n \theta-\gamma_{g}}  \tag{2.11}\\
& E_{l \theta}=\left[A_{\frac{j}{\Omega}} J_{n}\left(T_{r}\right)+B_{j n} N_{n}\left(T_{r}\right)\right] e^{-j n \theta-\gamma_{g}} \tag{2.12}
\end{align*}
$$

$$
\begin{equation*}
E_{12}=\left[A \gamma J_{m}\left(T_{r}\right)+B \gamma N_{n}\left(T_{r}\right)\right] e^{-j n \theta-\gamma g} \tag{2.13}
\end{equation*}
$$

where the prime indicates differentiation with respect to the total argument While it is implicit in the assumption behind equation (2.2) that the time varying magnetic field is essentially zero with respect to the eleciric field, the curl $H$ equation

$$
\begin{equation*}
\nabla \times \bar{H}_{1}=j \omega \epsilon \cdot E_{1} \tag{2.14}
\end{equation*}
$$

will be used to obtain approximate values of the magnetic field componen's $\mathrm{H}_{\mathrm{z}}$ will be set equal to zero, otherwise the existence of a nother mode of propagation would be allowed which would contradict the basic assumpiton equation (2.2). Taking the components of equation (2.14), one obtains

$$
\begin{equation*}
\frac{1}{r} \frac{\partial H_{12}}{\partial \theta}-\frac{\partial H_{1 \theta}}{\partial g}=j w \epsilon_{0}\left[\epsilon_{11} E_{1 r}+j \epsilon_{12} E_{1 \theta}\right] \tag{2.15}
\end{equation*}
$$

$$
\begin{equation*}
\frac{\partial H_{1 r}}{\partial g}-\frac{\partial H_{12}}{\partial r}=j \omega \epsilon_{0}\left[-j \epsilon_{12} E_{1 r}+\epsilon_{11} E_{1 \theta}\right] \tag{2.16}
\end{equation*}
$$

$$
\begin{equation*}
\frac{1}{r} \frac{\partial}{\partial r}\left(r H_{1 \theta}\right)-\frac{\partial H_{1 g}}{\partial r}=j w \epsilon_{0} \epsilon_{33} E_{1 g} \tag{2.17}
\end{equation*}
$$

We then obtain (where the primes again denote differentiation with respect to the total argument)

$$
\begin{align*}
B_{/ n}= & -\frac{j \omega \mu \epsilon_{0}}{\gamma}\left[f \epsilon_{12}\left(A T J_{n}^{\prime}(T r)+B T N_{m}^{\prime}\left(T_{n}\right)\right)+\right. \\
& \left.\epsilon_{33} \frac{j n}{\Omega}\left(A J_{m}\left(T_{n}\right)+B N_{m}\left(T_{n}\right)\right)\right] \\
B_{/ \theta}= & \frac{j \omega \mu \epsilon_{0}}{\gamma}\left[-\epsilon_{1 /}\left(A T J_{n}^{\prime}\left(T_{n}\right)+B T N_{m}^{\prime}\left(T_{n}\right)\right)+\right. \\
& \left.\frac{\epsilon_{12 f} f n}{r}\left(A J_{m}\left(T_{n}\right)+B N_{n}\left(T_{n}\right)\right)\right] \tag{2.19}
\end{align*}
$$

From this point on, only the axially symmetric case ( $n=0$ ) will be considered. Also, only the time varying field quantities are involved so the subscript 1 will be omitted to simplify the notation.

$$
\begin{equation*}
E_{z}=A \gamma J_{0}\left(T_{r}\right)+B \gamma N_{0}\left(T_{r}\right) \tag{2.20}
\end{equation*}
$$

$$
\begin{equation*}
E_{\Omega}=A T J_{1}\left(T_{\Omega}\right)+B T N_{1}\left(T_{\Omega}\right) \tag{2.21}
\end{equation*}
$$

$$
\begin{equation*}
H_{\vartheta}=f \frac{w \epsilon_{0}}{\gamma}\left[\epsilon_{11}\left(A T J_{1}\left(T_{r}\right)+B T N_{1}\left(T_{r}\right)\right)\right] \tag{2.22}
\end{equation*}
$$

$$
H_{1 / 2}=-\frac{j w \epsilon_{0}}{\gamma}\left[-j \epsilon_{12}\left(A T J_{1}\left(T_{n}\right)+B T N_{1}(T r)\right)\right]
$$

Equations (20) through (23) are the field quantities derived from equations 1 and (14), to be used in the boundary matching problem.

As was mentioned in the introduction, it is impossible to match a 1 M solution alone to the "sheath helix" using the standard boundary condi tions as given by Pierce (4) or Beck (9). Some form of the TE solution must also be used. For this analysis, a free space TE solution will be assumed with more to be said upon this assumption later. It is to be noted that up to this point, no assumptions, other than those made by Trivelpiece (1) have been made. For a detailed justification of these assumptions the reader is referred to Trivelpiece's work. Extracting。 the appropriate TE solution from Beck (9), the boundary value problem then becomes (referring to Fig. 1)

Region $I \quad 0 \leq r \leq a$ (Electron beam within a plasma)
From Appendix A,

$$
\xi=\epsilon_{0}\left\|\begin{array}{ccc}
\epsilon_{1} & j \epsilon_{2} & 0  \tag{2.24}\\
-j \epsilon_{2} & \epsilon_{1} & 0 \\
0 & 0 & \epsilon_{3}
\end{array}\right\|
$$



$$
E_{2}=A_{1} \gamma J_{0}\left(T_{1} r\right) \quad H_{2}=A_{2} I_{0}(p r)
$$

$$
H_{3}=\frac{j \omega \epsilon_{0} \epsilon_{1}}{\gamma} A_{1} T_{1} J_{1}\left(T_{1} r\right) \quad E_{\theta}=-\frac{j \omega \mu}{p} A_{2} I_{1}(p r)
$$

Region II $\mathrm{a} \leq \mathrm{r} \leq \mathrm{b}$ (Plasma region)

## From Appendix A

$$
\begin{aligned}
& \underline{\epsilon}=\epsilon_{0}\left\|\begin{array}{ccc}
\epsilon_{11} & j \epsilon_{12} & 0 \\
-j \epsilon_{12} & \epsilon_{11} & 0 \\
0 & 0 & \epsilon_{33}
\end{array}\right\| \\
& E_{2}=B_{1} \gamma J_{0}\left(T_{22} r\right)+B_{2} \gamma N_{0}\left(T_{2} r\right) \\
& H_{\theta}=\frac{\gamma \omega \epsilon_{0} \epsilon_{11}}{\gamma}\left[B_{1} T_{2} T_{1}\left(T_{2} r\right)+B_{2} T_{2} N_{1}\left(T_{2} r\right)\right] \\
& H_{2}=A_{2} I_{0}(p r) \\
& E_{\theta}=\frac{-j \omega \mu}{\rho} A_{2} I_{1}(p r)
\end{aligned}
$$

Region III $b \leq r \leq c$ (Free space)

$$
\begin{align*}
& E_{2}=C_{1} I_{0}(p r)+C_{2} K_{0}(p r) \\
& H_{\theta}=\frac{f w \epsilon_{0}}{p} C_{1} I_{1}(p r)-j \frac{\omega \epsilon_{0}}{p} C_{2} K_{1}(p r) \\
& H_{2}=C_{3} I_{0}(p r)+C_{4} K_{0}(p r)  \tag{2.28}\\
& E_{\theta}=-\frac{f \omega \mu}{p} C_{3} I_{1}(p r)+\frac{f \omega \mu}{p} C_{4} K_{1}(p r)
\end{align*}
$$

Reqion IV $r \geq$ (Free space)

$$
\begin{align*}
& E_{z}=D_{1} K_{0}(p r) \\
& H_{\theta}=-\frac{j w \epsilon_{0}}{p} D_{1} K_{1}(p r)  \tag{2.29}\\
& H_{z}=D_{2} K_{0}(p r) \\
& E_{\theta}=\frac{j \omega \mu}{p} K_{1}(p r)
\end{align*}
$$

The boundary conditions at the helix are:

$$
\begin{align*}
& E_{z}^{i}=E_{z}^{e} \\
& E_{\theta}^{i}=E_{\theta}^{e} \\
& E_{z}^{i, e}=-E_{\theta}^{i, e} \operatorname{COT} \psi  \tag{2.30}\\
& H_{z}^{i}+H_{\theta}^{i} \operatorname{COT} \psi=H_{z}^{e}+H_{\theta}^{e} \operatorname{COT} \psi
\end{align*}
$$

As the general problem is rather complex, several simpler cases will be considered first. These are

Case I, the case of an electron beam completely filling the interior of the plasma and the helix, i.e., a region I and IV problem.

Case II, the case of an electron beam of radius a, less than the diameter of the helix, with the plasma filling the helix, i.e., a region $\mathrm{I}_{\mathrm{o}} \mathrm{II}$, and IV problem.

Case III, the case of an electron beam of radius $\underline{b}$, passing through a plasma of radius $\underline{b}$, surrounded by a free space region and a helix at radius c . with free space outside the helix, i.e., a region I, III and IV problem. Case IV, the case of an electron beam of radius a passing through a plasma
of radius $\underline{b}$ surrounded by free space and a helix at radius $\underset{c}{ }$ with free space outside the helix, i.e. a region I, II, III, and IV problem.

Determinantal relationships are obtained for all four of these cases with the algebra relegated to Appendix B The determinantal relationships are given below.

## Case I

(2.31)
$\frac{-1}{j \gamma c}+\frac{\operatorname{coT}^{2} \psi k^{2} I_{1}(p c)}{p^{2}}\left[\frac{K_{1}(p c)}{K_{0}(p c)}+\frac{j \gamma \epsilon_{3} \epsilon_{1} J_{1}\left(T_{1} c\right)}{J_{0}\left(T_{1} c\right)}\right]=0$
CASE II

CASE III

CASE II
(2.35)
(2.36)
(2.34)

$G=J_{0}\left(T_{2} a\right) J_{1}\left(T_{1} a\right)-\sqrt{\frac{\epsilon_{1}, \epsilon_{3 j}}{\epsilon_{1} \epsilon_{3}}} J_{1}\left(T_{2} a\right) J_{0}\left(T_{1} a\right)$
$H=N_{0}\left(T_{2} a\right) J_{1}\left(T_{1} a\right)-\sqrt{\frac{\epsilon_{1} \epsilon_{33}}{\epsilon_{1} \epsilon_{3}}} N_{1}\left(T_{2} a\right) J_{0}\left(T_{1} a\right)$


WHERE
3. Method II, (Extension Of Brewer's Method)

This method is an extension of the method of Rigrod and Lewis 6) and Brewer (7). Attention is also invited to $\operatorname{Beck}^{\circ} \mathrm{s}$ (9) excellent treatment from which this presentation proceeds.

Let us consider an electron beam with no angular variation in charge density, fields or electron motion, i.e..

$$
\frac{\partial}{\partial \theta}=0
$$

We may then write the Lorentz force equation in cylindrical coordinates as

$$
\begin{equation*}
\ddot{r}-r \dot{\theta}^{2}=-\eta\left[E_{r}+B_{2} r \dot{\theta}\right] \tag{3,1}
\end{equation*}
$$

$$
\begin{equation*}
\frac{1}{r} \frac{d}{d t}\left(r^{2} \dot{\theta}\right)=-\eta\left[-B_{j} \dot{r}+B_{r} \dot{j}\right] \tag{3,2}
\end{equation*}
$$

$$
\begin{equation*}
\ddot{g}=-\eta\left[E_{g}-B_{g} r \dot{\theta}\right] \tag{3,3}
\end{equation*}
$$

provided we assume as does Beck and Brewer, that the contribution of $E_{1 \theta}$ to the right side of $(3.2)$ is small with respect to $B_{z} \dot{r}$. More will be said of this approximation later. Using Busch's theorem from electron optics. we may write

$$
\begin{equation*}
r^{2} \dot{\theta}=\frac{\eta}{2}\left[B_{\gamma} r^{2}-r^{2} B_{0}\right] \tag{3,4}
\end{equation*}
$$

Where $r_{c}$ is the initial radius of each electron and $B_{0}$ the $z$-directed
magnetic field at that point (assumed constant). This may be stated as

$$
\begin{equation*}
\dot{\theta}=\frac{1}{2}\left[\omega_{c}-\omega_{0} \frac{r_{c}^{2}}{r^{2}}\right] \tag{3,5}
\end{equation*}
$$

Substituting this into (3.1), one obtains

$$
\begin{equation*}
\ddot{r}=-\eta E_{r}+\frac{r}{2}\left[\omega_{0}^{2} \frac{r_{c}^{4}}{r^{4}}-\omega_{c}^{2}\right] \tag{3,6}
\end{equation*}
$$

Let us now perturb (3.5) by letting

$$
\dot{\theta}=\dot{\theta}_{0}+\dot{\theta}_{1} \quad r=r_{0}+r_{1}
$$

to obtain

$$
\begin{equation*}
\dot{\theta}_{1}=\frac{r_{1}}{r_{0}} \cdot \frac{\omega_{0} r_{c}^{2}}{r^{2}}=\frac{r_{1}}{r_{0}} \Omega^{2} \tag{3.7}
\end{equation*}
$$

Next, assuming that the DC ripple or scallop on the beam is small。 let

$$
\begin{equation*}
\ddot{r}=-\eta E_{r_{0}}+\frac{r}{2}\left[\omega_{0}^{2} \frac{r_{c}^{4}}{r^{4}}-\omega_{c}^{2}\right] \approx 0 \tag{3,8}
\end{equation*}
$$

Perturbing this expression as before yields

$$
\begin{equation*}
r_{1}=\frac{\eta E_{\mu_{1}}}{\left(\omega+\jmath \gamma u_{0}\right)^{2}-\Omega^{2}} \tag{3.9}
\end{equation*}
$$

Perturbing (3.2) and disregarding the effects of AC magnetic fields on electron motion compared with electric field effects

$$
\begin{equation*}
g_{1}=\frac{\eta E_{z}}{\left(w+j \gamma U_{0}\right)^{2}} \tag{3,10}
\end{equation*}
$$

Applying the continuity equation

$$
\begin{equation*}
\nabla \cdot \bar{J}=-\frac{\partial \rho}{\partial t} \tag{3.11}
\end{equation*}
$$

to the AC charge density, one obtains

$$
\begin{equation*}
\rho_{1}=\frac{j \rho_{0} \nabla \cdot \bar{v}_{1}}{\left(\omega+j \gamma u_{0}\right)}=\frac{j \rho_{0}}{\left(\omega+j \gamma u_{0}\right)}\left[\frac{1}{r} \frac{\partial}{\partial r}\left(r v_{i r}\right)+\frac{\partial v_{i g}}{\partial g}\right] \tag{3.12}
\end{equation*}
$$

At this point, let us examine what has been done. The equilibrium relation (3.8) has been perturbed to obtain (3.9). As stated in the introduction, electrons are assumed to interact only through the electric field and the above relations are derived as if the individual electrons compose a stream with continuity of charge in this stream maintained through equation (3.11). If a second group of charged particles were present, such that the DC equilibrium condition remained valid。comparable expressions for the time varying quantities could also be written for the second group of charged particles. The model of the plasma set forth in the introduction fits these conditions quite well since overall DC neutrality from the plasma ions and electrons is maintained. Assuming that the continuity equation holds (which it must as no mechanism for loss of charged particles has been assumed in the model), one can write expressions comparable to (3.9), (3.10), and (3.11) (with $\left.U_{0}=0\right)$ for both ions and electrons, but, since the mass of the ions is so much greater than that of the electrons their effects are extremely small at any frequency considerably above one megacycle per second and will be ignored. Designating the beam electrons
by subscript $\underline{b}$ and the plasma electrons by subscript $\underset{a}{ }$ and dropping the subscript 1 from field quantities since only ac fields are to be treated the following relations are obtained.

$$
\begin{equation*}
P_{l b}=\frac{j \rho_{0 b}}{\left(w+j \gamma u_{0}\right)}\left[\frac{1}{r} \frac{\partial}{\partial r}\left(r v_{\text {in ct }}\right)+\frac{\partial v^{(z s}}{\partial g}\right] \tag{3,13}
\end{equation*}
$$

$$
\begin{equation*}
P_{1 a}=\frac{j p_{o a}}{\omega}\left[\frac{1}{\pi} \frac{\partial}{\partial \Omega}\left(\Omega v_{i n a}\right)+\frac{\partial v_{1} a}{\partial g}\right] \tag{3,14}
\end{equation*}
$$

$$
\begin{equation*}
r_{/ b}=\frac{\eta E_{\Omega}}{\left(\omega+j \gamma U_{0}\right)^{2}-\Omega_{b}^{2}} \tag{3.15}
\end{equation*}
$$

$$
\begin{equation*}
r_{1 a}=\frac{\eta E_{\Omega}}{\omega^{2}-\Omega_{a}^{2}} \tag{3,16}
\end{equation*}
$$

$$
\begin{equation*}
q_{b}=\frac{\eta E_{q}}{\left(w+j \gamma u_{0}\right)^{2}} \tag{3.17}
\end{equation*}
$$

$$
\begin{equation*}
q_{1 a}=\frac{\eta E_{q}}{w^{2}} \tag{3.18}
\end{equation*}
$$

$$
\begin{equation*}
v_{i r b}=\frac{j\left(\omega+j \gamma u_{0}\right) \eta E_{\Omega}}{\left(\omega+j \gamma u_{0}\right)^{2}-\Omega \Omega_{b}^{2}} \tag{3,19}
\end{equation*}
$$

$$
\begin{equation*}
v_{i r a}=\frac{j \omega \eta E_{r}}{\omega^{2}-\Omega_{a}^{2}} \tag{3.20}
\end{equation*}
$$

Assuming that

$$
\begin{equation*}
J_{1 r}=\rho_{o a} v_{i r a}+\rho_{o b} v_{i r b} \tag{3.21}
\end{equation*}
$$

and, from Maxwell's equations in a media containing charge

$$
\begin{equation*}
E_{r}=\frac{\gamma}{p^{2}} \frac{\partial E_{x}}{\partial r}-\frac{j \omega \mu}{p^{2}} J_{1 r} \tag{3,22}
\end{equation*}
$$

From (3.13)。(3.14). (3.19) and (3.20)

$$
\begin{equation*}
J_{i r}=\frac{\rho_{0 a} j \omega \eta E_{r}}{\omega^{2}-R_{a}^{2}}+\frac{\rho_{0 b} j\left(\omega+j \gamma u_{0}\right) \eta E_{r}}{\left(\omega+j \gamma u_{0}\right)^{2}-\Omega_{b}^{2}} \tag{3.23}
\end{equation*}
$$

It will be convenient to write

$$
\begin{equation*}
J_{1 \Omega}=F_{1} E_{\Omega} \tag{3.24}
\end{equation*}
$$

From (3.22) and (3.23)

$$
\begin{equation*}
E_{r}=\frac{\gamma}{p^{2}} \frac{\partial E_{z}}{\partial r}-f \frac{\omega \mu}{p^{2}} F_{1} E_{r} \tag{3.25}
\end{equation*}
$$

At this point, Beck shows that the second term of the last relationship is small with respect to the first for electron beams and may be ignored. This approximation is not as clearly well taken in this analysis and will not be made. Comment on the effect of making it will be made later. Solving for $E_{r}$

$$
\begin{equation*}
E_{r}=\frac{\gamma}{\rho^{2}+j \omega \mu F_{1}} \frac{\partial E_{2}}{\partial r} \tag{3}
\end{equation*}
$$

Small DC beam scalloping has already been assumed and since the assumption that the magnitude of the perturbation is small is inherent in the perturbational approach, ${ }_{C} \approx{ }^{r}$. Further, if we assume that the dc magnetic field strength is everywhere constant and the cathode is not shielded, $\mathrm{B}_{\mathrm{O}} \approx \mathrm{B}_{\mathrm{z}}$ and

$$
\begin{equation*}
Q^{2} \approx w_{c}^{2} \tag{3,27}
\end{equation*}
$$

Making this approximation and writing

$$
\begin{equation*}
J_{12}=p_{0 a} v_{12} a+\rho_{0 \&} v_{12 b}+p_{1 b} u_{0} \tag{3.28}
\end{equation*}
$$

one obtains

$$
\begin{align*}
& J_{12}=E_{2}\left[\frac{j \eta \rho_{0 a}}{\omega}+\frac{j n \rho_{0 f}}{\omega+j \gamma u_{0}}+\frac{\gamma \rho_{0 q} \eta u_{0}}{\left(\omega+j \gamma u_{0}\right)^{2}}\right]- \\
& \frac{\gamma \rho_{0 A} \eta u_{0}}{\left.\left[\left(\omega+j \gamma u_{0}\right)^{2}-\omega_{c}^{2}\right]\left[\rho^{2}+j \omega \mu\right)^{1}\right]} \frac{1}{r} \frac{\partial}{\partial r}\left(r \frac{\partial E_{z}}{\partial r}\right) \tag{3,29}
\end{align*}
$$

Writing this as

$$
\begin{equation*}
J_{13}=L E_{2}-F_{3} \frac{1}{\pi} \frac{\partial}{\partial r}\left(r \frac{\partial E_{2}}{\partial r}\right) \tag{3.30}
\end{equation*}
$$

and

$$
\begin{equation*}
J_{1 r}=F_{1} E_{r}=\frac{\gamma E_{1}}{\left(p^{2}+\partial \omega F_{1}\right)} \frac{\partial E_{z}}{\partial r} \tag{3.31}
\end{equation*}
$$

and from Maxwell's equations in a media containing charge

$$
\begin{equation*}
\frac{1}{r} \frac{\partial}{\partial r}\left(r \frac{\partial E_{2}}{\partial r}\right)-p^{2} E_{2}=\frac{p^{2}}{j \omega \epsilon_{0}} J_{12}-\frac{f \gamma}{\omega \epsilon_{0}} \frac{1}{r} \frac{\partial}{\partial r}\left(r J_{/ r}\right) \tag{3.32}
\end{equation*}
$$

there results

$$
\begin{equation*}
\frac{1}{r} \frac{\partial}{\partial r}\left(r \frac{\partial E_{2}}{\partial r}\right)+\gamma_{r}^{2} E_{z}=0 \tag{3.33}
\end{equation*}
$$

where

$$
\gamma_{r}^{2}=-\frac{p^{2}\left(j \omega \epsilon_{0}+L\right)\left(p^{2}+\jmath \omega \mu F_{1}\right)}{\left(p^{2}+\jmath \omega \mu F_{1}\right)\left(j \omega \epsilon_{0}+p^{2} F_{3}\right)-\gamma^{2} F_{1}}
$$

The solution of this equation is.

$$
\begin{equation*}
E_{z}=C_{1} J_{0}\left(\gamma_{\Omega} r\right)+C_{2} N_{0}\left(\gamma_{\Omega} r\right) \tag{3.35}
\end{equation*}
$$

The notation at this point has become rather cumbersome. For clarity of presentation and ease of manipulation, some arbitrarily defined constants must be used. Although the use of the components of the dielectric tensors as derived in Appendix $A$, is objectionable in that an equivalent dielecirsc is not being used in this analysis, these quantities are familiar to workers in the field and their use will facilitate comparison of this method with the other two methods presented in this paper. Introducing these expressions and a quantity

$$
\begin{equation*}
p_{h}^{2}=p^{2}+j \omega \mu F_{1} \tag{3.36}
\end{equation*}
$$

the significance of which will appear later, we have

$$
\begin{align*}
& F_{1}=j \omega \epsilon_{0}\left(\epsilon_{1}-1\right)+\gamma u_{0}\left(\epsilon_{11}-\epsilon_{1}\right) \epsilon_{0}  \tag{3.37}\\
& L=j \omega \epsilon_{0}\left(\epsilon_{3}-1\right)  \tag{3.38}\\
& F_{3}=\frac{\gamma u_{0} \epsilon_{0}\left(\epsilon_{1}-\epsilon_{11}\right)}{p_{h}^{2}}  \tag{3.39}\\
& \gamma_{n}^{2}=-\frac{j \omega \epsilon_{0} \epsilon_{3} p^{2} p_{h}^{2}}{j \omega \epsilon_{0} p_{h}^{2}+p^{2} \gamma u_{0}\left(\epsilon_{1}-\epsilon_{11}\right)-\gamma^{2} F_{1}}
\end{align*}
$$

At this point, a convenient check on the development exists. If we let $\rho_{o b}$ go to zero and make all the substitutions indicated in (3.34). we obtain

$$
\begin{equation*}
\gamma_{r p}^{2}=\frac{\left[1-\frac{w_{p}^{2}}{w^{2}}\right]\left[-p^{2}-\frac{k^{2} w_{p}^{2}}{w^{2}-w_{c}^{2}}\right]}{1+\frac{w_{p}^{2}}{w_{c}^{2}-w^{2}}} \tag{3.41}
\end{equation*}
$$

The second term in the second bracket in the numerator can be shown to be absent if, in equation ( 3.22 ), the term containing $J_{l r}$ is ignored as


negligible. If this term is omitted, the result is then identical to that obtained by Method I (Trivelpiece's "slow wave" approximation). it is obvious that this term is not always negligible, even for very slow waves $\left(\left|r^{2}\right| \gg k^{2}\right)$. Similarly, if the term containing $j_{l r}$ is ignored in (3.22) and if we let $\rho_{\mathrm{oa}}=0$, the results can be shown to agree with Beck ${ }^{\circ}$ s (9) confined beam development.

Thus far, the TM solution has been obtained with the principal approx= motion being that $E_{1 \theta}$ is negligible with respect to $B_{z}^{r}-B_{r} z_{i}$ in (3.2) This, in effect states that the coupling of the TE mode from which $E_{18}$ is obtained, to the TM mode is negligible. An approximate TE solution which does not ignore the coupling of the TM to the TE mode will now be derived. From Maxwell's equations in a region containing charge we obta in

$$
\begin{equation*}
\frac{1}{r} \frac{\partial}{\partial r}\left(\Omega \frac{\partial H_{2}}{\partial r}\right)+\left(k^{2}+\gamma^{2}\right) H_{z}=-\frac{1}{r} \frac{\partial}{\partial r}\left(r J_{\theta}\right) \tag{3.42}
\end{equation*}
$$

$$
\begin{equation*}
H_{n}=\frac{-\gamma}{\gamma^{2}+k^{2}}\left[\frac{\partial H_{2}}{\partial \Omega}+J_{\theta}\right] \tag{array}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=\frac{-j \omega \mu}{\gamma} H_{r} \tag{3.44}
\end{equation*}
$$

and, from Appendix 1

$$
\begin{align*}
& v_{\theta a}=-\eta\left[\frac{-j \omega E_{\theta}-\omega_{c} E_{r}}{\omega^{2}-\omega_{c}^{2}}\right] \\
& v_{\theta b}=-\eta\left[\frac{-j\left(\omega+\gamma \gamma u_{\theta}\right) E_{\theta}-\omega_{c} E_{r}}{\left(\omega+j \gamma u_{0}\right)^{2}-\omega_{c}^{2}}\right] \tag{346}
\end{align*}
$$

Assuming

$$
\begin{equation*}
J_{\theta}=\rho_{o a} v_{1 \theta a}+\rho_{o f} v_{1 \theta b} \tag{347}
\end{equation*}
$$

we obtain

$$
\begin{align*}
J_{\theta}= & E_{\theta}\left[\frac{j \omega \omega_{p}^{2} \epsilon_{0}}{\omega_{c}^{2}-\omega^{2}}-\frac{j\left(\omega+j \gamma \omega_{0}\right) \omega_{p,}^{2} \epsilon_{0}}{\left(\omega+j \gamma \omega_{0}\right)^{2}-\omega_{c}^{2}}\right]+  \tag{348}\\
& E_{\pi}\left[\frac{\omega_{c} \epsilon_{0} \omega_{p}^{2}}{\omega_{c}^{2}-\omega^{2}}-\frac{\omega_{c} \epsilon_{0} \omega_{p}^{2}}{\left(\omega+j \gamma \omega_{p}\right)^{2}-\left(\omega_{c}^{2}\right.}\right]
\end{align*}
$$

which, for brevity, will be written

$$
J_{\theta}=F_{1} E_{\theta}+\omega_{C} \epsilon_{0}\left(\epsilon_{1}-1\right) E_{\Omega}
$$

Substituting ( 3.44 ) , $(3.26),(3.36)$, into $(3.49)$ we obtain

$$
J_{\theta}=\left[\frac{p^{2}}{p_{h}^{2}}-1\right] \frac{\partial H_{z}}{\partial r}+\frac{\gamma p^{2} \omega_{c} \epsilon_{0}\left(\epsilon_{1}-1\right)}{p_{h}^{4}} \frac{\partial E_{z}}{\partial \Omega}
$$

We now have
$\frac{1}{r} \frac{\partial}{\partial r}\left(r \frac{\partial H_{2}}{\partial r}\right)-p^{2} H_{z}=\left[1-\frac{p^{2}}{p_{h}^{2}}\right] \frac{1}{r} \frac{\partial}{\partial r}\left(r \frac{\partial H_{z}}{\partial r}\right)$

$$
\begin{equation*}
-\frac{\gamma p^{2} \omega_{c} \epsilon_{0}\left(\epsilon_{1}-1\right)}{p_{h}^{4}} \frac{1}{r} \frac{\partial}{\partial r}\left(r \frac{\partial E_{2}}{\partial r}\right) \tag{3.51}
\end{equation*}
$$

where

$$
\begin{equation*}
E_{z}=A_{1} J_{0}\left(\gamma_{r} r\right)+A_{2} N_{0}\left(\gamma_{r} r\right) \tag{3,52}
\end{equation*}
$$

Equivalently, let us write

$$
\begin{equation*}
\frac{p^{2}}{p_{h}^{2}} H_{z}^{\prime \prime}+\frac{p^{2}}{p_{h}^{2}} \frac{H_{z}^{\prime}}{\Omega}-p^{2} H_{z}=-\frac{\gamma p^{2} \omega_{c} \epsilon_{0}\left(\epsilon_{1}-1\right)}{p_{h}^{4}}\left[E_{2}^{\prime \prime}+\frac{E_{z}^{\prime}}{\Omega}\right] \tag{3,53}
\end{equation*}
$$

where the primes denote differentiation with respect to $r$.
A solution to this inhomogeneous Bessel equation may be obtained by letting

$$
\begin{align*}
& H_{z}=A u_{1}(r)+B u_{2}(r)  \tag{3.54}\\
& E_{2}=C U_{1}(\Omega) \tag{3.55}
\end{align*}
$$

Making these substitutions in (3.53)

$$
\begin{gather*}
u_{1}^{\prime \prime}+\frac{u_{1}^{\prime}}{r}+u_{1}\left[\frac{-A p_{h}^{4}}{A P_{h}^{2}+C \gamma \omega_{c} \epsilon_{0}\left(t_{1}-1\right)}\right]+ \\
{\left[\frac{B p_{h}^{2}}{A p_{h}^{2}+C \gamma \omega_{c} \epsilon_{0}\left(\epsilon_{1}-1\right)}\right]\left[u_{2}^{\prime \prime}+\frac{u_{2}^{\prime}}{r}-p_{h}^{2} u_{2}\right]=0} \tag{3.56}
\end{gather*}
$$

For brevity 。 this will be written

$$
\begin{equation*}
f\left(u_{1}\right)+f\left(u_{2}\right)=0 \tag{3.57}
\end{equation*}
$$

If we require that

$$
\begin{equation*}
\frac{-p^{2} A}{A \frac{p^{2}}{\rho_{h}^{2}}+\left(\frac{\gamma p^{2} \omega_{c} \epsilon_{0}\left(\epsilon_{L}-1\right)}{p_{h}^{4}}\right.}=\gamma_{r}^{2} \tag{3.58}
\end{equation*}
$$

as we must to be consistent with $(3.55), \mathrm{f}\left(\mathrm{U}_{1}\right)$ will then be identically zero. We may, without loss of generality, set $B=C=1$. We then have

$$
\begin{equation*}
u_{2}^{\prime \prime}+\frac{u_{2}^{\prime}}{r}-p_{h}^{2} u_{2}=0 \tag{3.59}
\end{equation*}
$$

the solution of which is

$$
\begin{equation*}
U_{2}=C_{1} I_{0}\left(p_{n} r\right)+C_{2} K_{0}\left(p_{n} r\right) \tag{3,60}
\end{equation*}
$$

which then furnishes the reason for the somewhat peculiar choice of constants made in (3.36) and

$$
\begin{equation*}
A=F_{2}=-\frac{\gamma_{r}^{2} \gamma \omega_{c} \epsilon_{0}\left(t_{1}-1\right)}{p_{h}^{2}\left(p_{h}^{2}+\gamma_{r}^{2}\right)} \tag{3.61}
\end{equation*}
$$

The complete solution is then

$$
\begin{equation*}
H_{2}=C_{1} F_{2} J_{0}\left(\gamma_{r} r\right)+C_{2} F_{2} N_{0}\left(\gamma_{r} r\right)+C_{3} I_{0}\left(P_{r} r\right)+C_{4} K_{0}\left(P_{r} r\right) \tag{3.62}
\end{equation*}
$$

As was stated earlier, the plasma alone is considered as the limiting case of zero electron beam charge density. Hence we may write expressions for the plasma region alone which are identical in form to the preceding with $\omega_{\mathrm{pb}}^{2}=0$. The additional subscript p will be added to distinguish between the two regions.

As stated in the introduction, a distinguishing feature of this method of analysis is the replacement of the rippled beam with an equivalent cylindrical beam with a surface current density. Expressions for the surface current density will now be developed. Following Beck's procedure we write (at $r=d$ )

$$
\begin{equation*}
G_{z}=p_{06} \Omega_{10} U_{0} \tag{3,63}
\end{equation*}
$$

$$
\begin{equation*}
G_{\theta}=\rho_{o a} \pi_{1 a} \frac{w_{c} d}{2}+\rho_{0 b} \mu_{1 \&} \frac{w_{c} d}{2} \tag{3,64}
\end{equation*}
$$

Using (3.15) and (3.16), we obtain

$$
\begin{equation*}
G_{2}=\epsilon_{0} U_{0}\left(\epsilon_{1}-\epsilon_{11}\right) E_{2}(d) \tag{3.65}
\end{equation*}
$$

$$
\begin{equation*}
G_{\theta}=\frac{\omega_{c} d \epsilon_{0}}{2}\left(\epsilon_{1}-1\right) E_{\Omega}(d) \tag{3.66}
\end{equation*}
$$

From Maxwell's equations, we obtain

$$
\begin{equation*}
H_{\theta}=\frac{j \omega \epsilon_{0}}{\gamma} E_{\Omega}+\frac{1}{\gamma} J_{\Omega} \tag{3.67}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=-j \frac{j \omega \mu}{p^{2}}\left[\frac{\partial H_{2}}{\partial r}+J_{\theta}\right] \tag{3.68}
\end{equation*}
$$

Using (3.50), (3.24), (3.26) and manipulating the various constants

$$
\begin{equation*}
H_{\theta}=\frac{1}{j \omega \mu}\left[1+\frac{\gamma^{2}}{p_{h}^{2}}\right] \frac{\partial E_{z}}{\partial r} \tag{3,59}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=\frac{-j w \mu}{p_{h}^{2}}\left[\frac{\partial H_{z}}{\partial r}+\frac{\gamma w_{c} \epsilon_{0}\left(\epsilon_{1}-1\right)}{p_{h}^{2}} \frac{\partial E_{z}}{\partial r}\right] \tag{3,70}
\end{equation*}
$$

$$
\begin{equation*}
H_{\theta}=-\frac{\gamma_{r}}{j^{\omega \mu}}\left[1+\frac{\gamma^{2}}{P_{h}^{2}}\right]\left[A_{1} J_{1}\left(\gamma_{r} r\right)+A_{2} N_{1}\left(\gamma_{\Omega} r\right)\right] \tag{3.71}
\end{equation*}
$$

$$
\begin{align*}
E_{\theta}= & -\frac{f \omega \mu}{p_{h}}\left[C_{3} I_{1}\left(p_{h} r\right)-C_{4} K_{1}\left(p_{h} r\right)\right]+ \\
& \frac{f \omega \mu}{p_{h}^{2}}\left[\frac{\gamma \omega_{c} \epsilon_{0}\left(\epsilon_{1}-1\right)}{p_{h}^{2}+\gamma_{n}^{2}}\right] \gamma_{r}\left[A_{1} J_{1}\left(\gamma_{\Omega} r\right)+A_{2} N_{1}\left(\gamma_{r} r\right)\right] \tag{3,72}
\end{align*}
$$

All the field quantities required for the boundary value problem have now been obtained. Now we shall establish the boundary conditions. From Stratton (11), we obtain the requirements that tangential $E$ must be continuous and that

$$
\begin{equation*}
\hat{m} \times\left[\bar{H}_{I I}-H_{I}\right]=\bar{G} \tag{3.73}
\end{equation*}
$$

which then provides the following set of boundary conditions

$$
\begin{array}{ll}
E_{\theta_{I}}=E_{\theta_{\text {II }}} & E_{Z_{I}}=E_{z_{I I}} \\
H_{\theta_{\text {II }}}=H_{\theta_{I}}+G_{Z} & H_{Z_{I I}}=H_{Z_{I}}-G_{\theta}
\end{array}
$$

The boundary value problem is then:
Region I (beam and Plasma)

$$
\begin{equation*}
E_{Z}=A_{1} J_{0}\left(\gamma_{r} r\right) \tag{3.75}
\end{equation*}
$$

$$
\begin{equation*}
H_{\theta}=-\frac{\gamma_{r}}{j \omega \mu}\left[1+\frac{\gamma^{2}}{\beta_{h}^{2}}\right] A_{1} J_{1}\left(\gamma_{r} r\right) \tag{3.76}
\end{equation*}
$$

$$
\begin{equation*}
H_{z}=A_{1} F_{2} J_{0}\left(\gamma_{,} r\right)+A_{3} I_{0}(p r) \tag{377}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=j_{P_{h}^{2}}^{\omega \omega_{\mu}} \frac{\gamma \gamma_{r} \omega_{c} \epsilon_{0}\left(\epsilon_{1}-1\right)}{\left(P_{h}^{2}+\gamma_{r}^{2}\right)} A_{1} J_{1}\left(\gamma_{r} r\right) \ldots j \frac{j \omega \mu}{P_{h}} A_{3} I_{1}\left(P_{h} r\right) \tag{3.78}
\end{equation*}
$$

Region II (Plasma)

$$
E_{\theta}=\frac{j \omega \mu \gamma \gamma_{r p} \omega_{c} \epsilon_{0}\left(\epsilon_{11}-1\right)}{P_{h p}^{2}\left(p_{h p}^{2}+\gamma_{r p}^{2}\right)}\left[B_{1} J_{1}\left(\gamma_{\mu_{p}} r\right)+B_{2} N_{1}\left(\gamma_{\mu p} r\right)\right]
$$

$$
-\frac{f W M}{P_{h p}}\left[B_{3} I_{1}\left(p_{h p} r\right)-B_{4} K_{1}\left(P_{h_{p}} r\right)\right]
$$

Region III (Free space)

$$
\begin{equation*}
E_{2}=C_{1} I_{0}(p r)+C_{2} K_{0}(p r) \tag{3,83}
\end{equation*}
$$

$$
\begin{align*}
& E_{2}=B_{1} J_{0}\left(\gamma_{\mu p} r^{2}\right)+B_{2} N_{0}\left(\gamma_{p p} \mu_{2}\right)  \tag{3.79}\\
& H_{\theta}=-\frac{\gamma_{p}}{\gamma^{\omega} \mu}\left[1+\frac{\gamma^{2}}{p_{p p}^{2}}\left[B_{1} J_{1}\left(\gamma_{\partial p_{p}}\right)+B_{2} N_{1}\left(\gamma_{p p^{2}}\right)\right]\right.  \tag{3.80}\\
& H_{z}=B_{1} F_{2 p} J_{0}\left(\gamma_{r \rho} r\right)+B_{2} F_{2 p} N_{0}\left(\gamma_{\mu p} r\right)+B_{3} I_{0}\left(\rho_{h \rho} r\right)+B_{4} K_{0}\left(P_{p} r\right) \tag{3,81}
\end{align*}
$$

$$
\begin{equation*}
H_{\theta}=\frac{j \omega \epsilon_{0}}{P}\left[C_{1} I_{1}(p r)-C_{2} K_{1}(p r)\right] \tag{3,84}
\end{equation*}
$$

$$
\begin{equation*}
H_{2}=C_{3} I_{0}(p r)+C_{4} K_{0}(p r) \tag{3.85}
\end{equation*}
$$

$$
E_{\theta}=-\frac{f w \mu}{p}\left[C_{3} I_{1}(p r)-C_{4} K_{1}(p r)\right]
$$

Region IV (Free Space)

$$
\begin{equation*}
E_{2}=D_{1} K_{0}(p r) \tag{3,87}
\end{equation*}
$$

$$
\begin{equation*}
H_{\theta}=-\frac{j w \epsilon_{0}}{p} D_{1} K_{1}(p r) \tag{3,88}
\end{equation*}
$$

$$
\begin{equation*}
H_{z}=D_{2} K_{0}(p r) \tag{3.89}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=\frac{f \omega_{\mu}}{p} D_{2} K_{1}(p r) \tag{3.90}
\end{equation*}
$$

As in Section two, the same four cases will be considered with the details contained in Appendix C. It must be noted that. since this method calls
for a rippled beam, space for the ripple must be allowed. Cases one and two must then be considered as limiting situations where the free space region has shrunk to zero.

$$
=
$$

4. Method III, Solution of Field Equations by Kales Method.

In Appendix A, tensor dielectric constants have been derived which take into account the effects of the electronic motion in an electron beam and plasma. Using the tensor dielectric constant, we may then solve Maxwell's equations

$$
\begin{equation*}
\nabla \times \bar{E}=-j \omega \mu \bar{F} \tag{4,1}
\end{equation*}
$$

$$
\begin{equation*}
\nabla \times \bar{H}=\bar{J}+j \omega \bar{D} \tag{4,2}
\end{equation*}
$$

$$
\begin{equation*}
\nabla \cdot \bar{B}=0 \tag{4,3}
\end{equation*}
$$

$$
\begin{equation*}
\nabla \cdot \bar{D}=\rho \tag{4.4}
\end{equation*}
$$

for a region with zero charge and current density. since the effects of electronic motion are already taken into account by the tensor dielectric constant. It will be convenient to change the notation slightly so that

$$
\underline{\underline{\epsilon}}=\left\|\begin{array}{ccc}
\epsilon_{11} & j \epsilon_{12} & 0  \tag{4,5}\\
-j \epsilon_{12} & \epsilon_{11} & 0 \\
0 & 0 & \epsilon_{33}
\end{array}\right\| \quad \bar{D}=\underline{\underline{\underline{\epsilon}} \cdot \bar{E},}
$$

the net effect of which is to include the factor $\epsilon_{0}$ in each of the $\epsilon_{i / 2}$
Kales (8) developed a method for the solution of Maxwell's equations in anisotropic media such that the dielectric constant was isotropic but the permeability, $\mu$, was a tensor of the same form as (4.5). This method has been used by Stafford (13) to study resonance phenomena in a plasma column, and by Johnson (14) for the case of a plasma in a cylin= drical drift tube. In the presentation that follows. Stafford's notation will be generally followed.

Proceeding, we may write

$$
\begin{equation*}
\nabla \times \bar{E}=\nabla_{t} \times \bar{E}+\hat{a}_{2} \times(-\gamma) \bar{E} \tag{4,6}
\end{equation*}
$$

$$
\begin{equation*}
\nabla_{t} \times \bar{E}=\nabla_{t} \times \bar{E}_{t}+\nabla_{t} E_{-2} \times \hat{a}_{z} \tag{4.7}
\end{equation*}
$$

$$
\begin{equation*}
\nabla \times \bar{H}=\nabla_{t} \times \bar{H}+\hat{a}_{2} \times(-\gamma) \bar{H} \tag{4.8}
\end{equation*}
$$

$$
\begin{equation*}
\nabla_{t} \times \bar{H}=\nabla_{t} \times \bar{G}_{t}+\nabla_{t} H_{z} \times \hat{a}_{z} \tag{4.9}
\end{equation*}
$$

Separating transverse and longitudinal components

$$
\begin{equation*}
\nabla_{t} \times E_{t}=-j \omega \mu H_{z} \hat{a}_{z} \tag{4.10}
\end{equation*}
$$

$$
\hat{a}_{2} \times\left(-\gamma E_{t}-\nabla_{t} E_{2}\right)=-j w \mu H_{t}
$$

$$
\begin{equation*}
\nabla_{x} \times \overline{F_{t}}=j w \epsilon_{33} E_{2} \hat{a_{2}} \tag{4,12}
\end{equation*}
$$

$$
\begin{equation*}
\hat{a}_{2} X\left(-\gamma \bar{H}_{t}-\nabla_{t} H_{2}\right)=j \omega \epsilon_{11} \bar{E}_{t}+\omega \epsilon_{1_{2}} \hat{a}_{2} X \bar{E}_{t} \tag{4.13}
\end{equation*}
$$

solving (4.11) for $\hat{a}_{2} \times \vec{E}_{t}$

$$
\begin{equation*}
\hat{a}_{2} \times \bar{E}_{t}=\frac{f \omega \mu}{\gamma} \bar{H}_{t}+\hat{a}_{z} \times \frac{\nabla_{t} E_{z}}{-\gamma} \tag{4,14}
\end{equation*}
$$

and noting that, if $F_{z}=0$

$$
\begin{equation*}
\hat{a}_{z} \times\left(\hat{a}_{z} \times \bar{F}\right)=\left(\hat{a}_{z} \cdot \bar{F}\right) \hat{a}_{z}-\left(\hat{a}_{z} \cdot \hat{a}_{z}\right) \vec{F}=-\vec{F} \tag{4.15}
\end{equation*}
$$

we may obtain

$$
\begin{equation*}
\bar{E}_{t}=-\frac{f \omega \mu}{\gamma} \hat{a}_{z} \times \bar{H}_{t}-\frac{\nabla_{t} E_{z}}{\gamma} \tag{4,16}
\end{equation*}
$$

Substituting (4.14) and (4.15) into (4.13) and manipulating somewhāt。 we may obtain

$$
\begin{align*}
& j \omega \mu \epsilon_{12}\left(\hat{a}_{2} \times \bar{H}_{t}\right)=\frac{\omega^{2} \mu \epsilon_{11} \bar{H}_{t}-j \omega \epsilon_{1} \hat{a}_{2} \times \nabla_{t} E_{2}}{-\gamma}  \tag{4.17}\\
& +\frac{\omega \epsilon_{12}}{\gamma} \nabla_{*} E_{2}-\gamma \bar{H}_{t}-\nabla_{t} H_{z}
\end{align*}
$$

Taking the cross product of $\hat{a}_{z}$ with both sides of (4.13)

$$
\begin{equation*}
\nabla_{t} H_{2}+\gamma \bar{H}_{t}=j w \epsilon_{11}\left(\hat{a}_{2} \times \bar{E}_{t}\right)-w \epsilon_{12} E_{t} \tag{4.18}
\end{equation*}
$$

and substituting (4.14) and (4.15) into (4.18), we obtain

$$
\begin{align*}
& {\left[\frac{\gamma^{2}+\omega^{2} \mu \epsilon_{11}}{-\gamma}\right]\left(\hat{a}_{z} \times \bar{H}_{t}\right)=\hat{a}_{z} \times\left[\nabla_{t} H_{z}-\frac{\omega \epsilon_{12}}{\gamma} \nabla_{t} E_{z}\right]} \\
& -j \frac{\omega \epsilon_{11}}{\gamma} \nabla_{t} E_{z}+j \frac{\omega^{2} \mu E_{12}}{\gamma} \bar{H}_{t} \tag{4.19}
\end{align*}
$$

At this point, it will be convenient to define

$$
\begin{equation*}
K^{2}=\gamma^{2}+w^{2} \mu \epsilon_{11} \tag{4.20}
\end{equation*}
$$

$$
\begin{equation*}
k^{\prime 2}=w^{2} \mu \epsilon_{12} \tag{4,21}
\end{equation*}
$$

from which follows

$$
\begin{equation*}
K^{2} w \epsilon_{12}-k^{2} w \epsilon_{11}=\gamma^{2} w \epsilon_{12} \tag{4.22}
\end{equation*}
$$

Using (4.17) and (4.19) through (4.22), we obtain

$$
\begin{align*}
\left(k^{4}-k^{\prime 4}\right) \bar{H}_{t}= & f \hat{a}_{2} \times \nabla_{x}\left[--k_{k^{\prime}}^{2} H_{2}+\left(k^{2} \epsilon_{\epsilon_{12}}-k^{2} \omega_{\epsilon_{1}}\right) \sigma_{2}\right]  \tag{4.23}\\
& +\nabla_{x}\left[x^{2} \omega \epsilon_{12} E_{2}+k^{2} \gamma H_{2}\right]
\end{align*}
$$

Solving (4.11) for $H_{t}$, taking the cross product of $\hat{a}_{z}$ with both sides and substituting in (4.13), we obtain

$$
\frac{\gamma^{2} E_{t}}{j \omega \mu \mu}+\frac{\gamma \nabla_{t} E_{2}}{j \omega \mu}-\hat{a}_{2} \times \nabla_{t} H_{2}=j \omega \epsilon_{1} E_{t}+\omega \epsilon_{12} \hat{a}_{2} \times \vec{E}_{t}
$$

Substituting (4.11) into (4.18) and then using (4.24) and (4.20) through (4.22) we may obtain

$$
\begin{gather*}
\left(k^{4}-k^{4}\right) E_{t}=\nabla_{t}\left(-\gamma k^{2} E_{2}+w \mu k^{2} H_{z}\right)+ \\
j \hat{a}_{z} \times \nabla_{t}\left(w \mu k^{2} H_{z}+\gamma k^{2} E_{z}\right) \tag{4,25}
\end{gather*}
$$

If the divergence of both sides of $(4.25)$ is taken, the divergence of the second term on the right side of $\left(\begin{array}{ll}4 & 25\end{array}\right)$ can be shown to be zero by vector identities. We then have

$$
\begin{equation*}
\left(k^{4}-k^{4}\right) \nabla \cdot \bar{E}_{t}=\nabla_{t}^{2}\left(-\gamma k^{2} E_{z}+\omega \mu k^{2} H_{z}\right) \tag{4,26}
\end{equation*}
$$

Performing a similar operation on (4.23) yields

$$
\begin{equation*}
\left(K^{4}-k^{4}\right) \nabla \cdot \bar{H}_{x}=\nabla_{t}^{2}\left(\gamma^{2} \omega \epsilon_{12} E_{z}-K^{2} \gamma H_{z}\right) \tag{4,27}
\end{equation*}
$$

We may write $\overline{\mathrm{D}}=\underline{\varrho} \cdot \overline{\mathrm{E}}$ in the following manner

$$
\begin{equation*}
\bar{D}=\epsilon_{11} \overline{E_{t}}-j \epsilon_{12}\left(\hat{a}_{2} \times \bar{E}_{t}\right)+\hat{a}_{2} \epsilon_{33} E_{z} \tag{4,28}
\end{equation*}
$$

If we take the divergence of $D$ and note that (from (4.10))

$$
\begin{equation*}
\hat{a}_{z} \cdot \nabla_{t} \times E_{t}=-j \omega \mu H_{z} \tag{4.29}
\end{equation*}
$$

we obtain

$$
\begin{equation*}
\frac{\nabla \cdot \bar{D}}{\epsilon_{11}}=0=\frac{1}{r}\left[\frac{\partial r E_{\Omega}}{\partial r}+\frac{\partial E_{\theta}}{\partial \theta}\right]-j \frac{\epsilon_{12}}{\epsilon_{11}}\left[j \omega \mu \lambda_{z}\right]-\frac{\epsilon_{33}}{\epsilon_{11}} \gamma E_{2} \tag{4.30}
\end{equation*}
$$

writing

$$
\begin{equation*}
\nabla \cdot \bar{E}=\nabla \cdot \bar{E}_{t}-\gamma E_{z} \tag{4.31}
\end{equation*}
$$

and making the necessary substitutions results in

$$
\begin{equation*}
\nabla \cdot \bar{E}_{x}=-\frac{\epsilon_{12}}{\epsilon_{11}} w \mu H_{z}+\frac{\epsilon_{33}}{\epsilon_{11}} \gamma E_{z} \tag{4.32}
\end{equation*}
$$

Let us now write

$$
\begin{equation*}
\nabla \cdot \bar{H}=0=\nabla \cdot \bar{H}_{t}-\gamma H_{z} \tag{4.33}
\end{equation*}
$$

If we now substitute $(4,32)$ and $(4.33)$ in $(4,26)$ and $(4,27)$, we may after some lengthy but routine algebra obtain

$$
\begin{align*}
& \nabla_{t}^{2} H_{z}+\left(k^{2}-k^{\prime 2} \frac{\epsilon_{12}}{\epsilon_{11}}\right) H_{z}+\frac{\gamma \omega \epsilon_{12} \epsilon_{33} E_{z}=0}{\epsilon_{11}}=0  \tag{4,34}\\
& \nabla_{x}^{2} E_{z}-\frac{\gamma \omega \mu \epsilon_{12}}{\epsilon_{11}} H_{z}+k^{2} \frac{\epsilon_{33}}{\epsilon_{11}} E_{z}=0 \tag{4,35}
\end{align*}
$$

For brevity, let these be written as

$$
\begin{equation*}
\nabla_{t}^{2} H_{z}+a H_{z}+b E_{z}=0 \tag{4.36}
\end{equation*}
$$

$$
\begin{equation*}
\nabla_{t}^{2} E_{z}+C E_{z}+d H_{z}=0 \tag{4.37}
\end{equation*}
$$

In order to obtain a solution to this pair of simultaneous equations the artiface of assuming that both $E_{z}$ and $H_{z}$ may be expressed as linear combinations of two other functions of $r$ and $\theta$ shall be used. The constraints between the constants that must exist to permit a solustion for the two new functions will then be determined. Having found these two functions, we may then solve for $E_{z}$ and $H_{z}$ and use the uniqueness theorem to state that these are the solutions.

We shall now let

$$
\begin{align*}
& E_{2}=p_{1} u_{1}+p_{2} u_{2}  \tag{4.38}\\
& H_{2}=q_{1} u_{1}+q_{2} u_{2} \tag{4.39}
\end{align*}
$$

Substituting these two relations in (4.36) and (4.37) and manipulating. we obtain

$$
\nabla_{\star}^{2} u_{1}+u_{1}\left[\frac{p_{2}\left(a q_{1}+b_{p_{1}}\right)-q_{2}\left(c_{1}+d q_{1}\right)}{p_{2} q_{1}-p_{1} q_{2}}\right]
$$

$$
\begin{equation*}
+u_{2}\left[\frac{p_{2}\left(a q_{2}+b_{2}\right)-q_{2}\left(c_{2}+d q_{2}\right)}{p_{2} q_{1}-p_{1} q_{2}}\right]=0 \tag{4,40}
\end{equation*}
$$

$$
\nabla_{t}^{2} u_{2}+u_{2}\left[\frac{p_{1}\left(a q_{2}+b_{\beta}\right)-q_{1}\left(c_{1}+d q_{2}\right)}{p_{1} q_{2}-p_{2} q_{1}}\right]
$$

$$
\begin{equation*}
+u_{1}\left[\frac{p_{1}\left(a q_{1}+b p_{1}\right)-q_{1}\left(c p_{1}+d q_{1}\right)}{p_{1} q_{2}-p_{2} q_{1}}\right]=0 \tag{4.41}
\end{equation*}
$$

If we require the coefficient of $U_{2}$ in (4.40) and the coefficient of $U_{1}$ in (4.41) to be zero, we will have two equations of the form

$$
\begin{equation*}
\nabla_{t}^{2} F+\alpha^{2} F=0 \tag{4,42}
\end{equation*}
$$

the solution of which may be written

$$
\begin{equation*}
F=\left[C_{1} J_{m}(\Delta r)+C_{2} N_{m}(\Delta r)\right] e^{j n \theta} \tag{4,43}
\end{equation*}
$$

Setting these coefficients equal to zero and manipulating we may obtain

$$
\begin{equation*}
Q_{1}^{Q}=\frac{c p_{1}+d q_{1}}{p_{1}}=\frac{a_{p_{1}}+b_{p}}{q_{1}} \tag{4.44}
\end{equation*}
$$

$$
\begin{equation*}
A_{2}^{2}=\frac{c p_{2}+d q_{2}}{p_{2}}=\frac{a q_{2}+b p_{2}}{q_{2}} \tag{4.45}
\end{equation*}
$$

Equations $(4.38),(4.39),(4.44)$ and $(4.45)$ comprise a system of six equations in eight unknowns leaving two relationships which we may specify arbitrarily. We could let $p_{1}=p_{2}=1$. However the choice

$$
\begin{equation*}
p_{1}=\Delta_{1}^{2} \quad p_{2}=d_{2}^{2} \tag{4.46}
\end{equation*}
$$

will result in a more compact notation. Making this choice. we find that

$$
\begin{equation*}
A_{1,2}^{2}=\frac{a+c \pm \sqrt{(a-c)^{2}+4 b d}}{2} \tag{4.47}
\end{equation*}
$$

where the upper sign is to be taken with subscript one. In terms of the dielectric tensor (4.5)

$$
\begin{align*}
A_{1,2}^{2}=\frac{1}{2 \epsilon_{11}}[ & K^{2}\left(\epsilon_{11}+\epsilon_{33}\right)-k^{2} \epsilon_{12} \pm\left[\left(K^{2}\left(\epsilon_{11}-\epsilon_{33}\right)-k^{2} \epsilon_{12}\right)^{2}\right. \\
& \left.\left.-4\left(\gamma^{2} k^{1^{2}} \epsilon_{12} \epsilon_{33}\right)\right]^{1 / 2}\right] \tag{4.48}
\end{align*}
$$

It is also found that

$$
\begin{equation*}
q_{j}=\frac{s_{j}^{2}\left(A_{j}^{2}-C\right)}{d} \tag{4.49}
\end{equation*}
$$

Before proceeding to find the field quantities themselves, it is to be noted that the method breaks down when the expression in the denominators


$$
\begin{aligned}
& \text { Natan }-\sqrt{2} \\
& 4-2 \\
& \text { F- } 0
\end{aligned}
$$

in (4.40) and (4.41) is zero. It can readily be shown that this occurs when

$$
\begin{equation*}
(a-c)^{2}=-4 b d \tag{4.50}
\end{equation*}
$$

which yields the following requirement on $\gamma$ for this condition to exist

$$
\gamma=\frac{\omega^{2} \mu}{\epsilon_{11}-\epsilon_{33}}\left[-\epsilon_{12} \sqrt{\epsilon_{12} \epsilon_{33}} \pm \sqrt{\epsilon_{12}^{3} \epsilon_{33}-\left(\epsilon_{11}-\epsilon_{33}\right)\left[\epsilon_{11}\left(\epsilon_{11}-\epsilon_{33}\right)-\epsilon_{32}\right.}\right](4.51)
$$

This condition will be discussed in Section five and for the present we shall only note that it occurs for certain particular combinations of the system parameters and that when it does we must examine the problem more closely.

$$
E_{z}=\sum_{i=1}^{2}\left\{A_{, i,} A_{i}^{2} J_{n}\left(A_{i}, r\right)+A_{2, i} A_{i}^{2} N_{m}\left(\Delta_{i} r\right)\right\} e^{j n \theta}
$$

$$
H_{Z}=\sum_{i=1}^{2}\left\{A_{1, i} \frac{A_{i}^{2}\left(D_{i}^{2}-C\right)}{d} J_{m}\left(\Delta_{i} r\right)+A_{2, i} \frac{S_{i}^{2}\left(\delta_{i}^{2}-C\right)}{d} N_{m}\left(\alpha_{i}\right)\right\} e^{\operatorname{jn} \theta}
$$

as the most general expressions for $E_{z}$ and $H_{z}$ with the time and $z$ dependence suppressed. We shall now limit our consideration to the axially symmetric case 。

Using (4.23) and (4.25), we may obtain the remaining field components
After some routine operations, we obtain
$\square$

-     - Fromera



| $\left(k^{4}-k^{4}\right) H_{r}$ |  |
| :---: | :---: |
| $\left(k^{4}-k^{4}\right) H_{\theta}$ | $\sum_{i=1}^{2}\left\{A_{1 i 4} d_{i}^{3} J_{1}\left(s_{i} n\right)\left[\frac{\gamma k^{\prime 2}\left(i_{i}^{2}-c\right)}{d}-k^{2} w \epsilon_{12}+k^{2} w \epsilon_{11}\right]+{ }_{\text {(4,5) }}\right.$ |
|  | $\left.A_{2, i} i_{i}^{3} N_{1}\left(S_{i} ; r\right)\left[\frac{\gamma R^{\prime 2}\left(d_{i}^{2}-C\right)}{d}-k^{2} \omega \in 12+K^{2} \omega \in 11\right]\right\}$ |
| $\left(K^{4}-k^{\prime \prime}\right) E_{n}$ | $\left.d_{i}^{3} J,\left(\alpha_{i}, r\right)\left[\gamma k^{2}-\frac{\omega \mu k^{\prime 2}\left(s_{i}^{2}-c\right)}{d}\right]+A_{2, i} \cdot i_{i}^{3} N\left(s_{i}, r\right)\left[\gamma k^{2}-\frac{\omega \mu k^{2} k^{2}\left(\lambda_{i}^{2}-c\right)}{d}\right]\right\}(4,56)$ |
| $\left(k^{4}-k^{4}\right)_{\theta}$ |  |

We may now proceed to solve the boundary value problem as was done in Appendix B for Method I. Since the procedure is the same only the results will be given and the details dispensed with.

For case I. (beam and plasma filling a helix of radius c we ob:ain equation (4.58) as the determinantal relationship. Before proceding to the remaining cases it is convenient to define

$$
\begin{align*}
p_{i} & =\frac{s_{i}^{2}-c}{d} \\
M_{i} & =\frac{\gamma K^{2}-w \mu k^{\prime 2} p_{i} s_{i}}{K^{4}-k^{\prime 4}}  \tag{4.60}\\
L_{i} & =\frac{\gamma k^{\prime 2} p_{i}-k^{2} w \epsilon_{12}+K^{2} w \epsilon_{11}}{K^{4}-k^{\prime 4}} \tag{4.61}
\end{align*}
$$

The additional subscript $p$ will be attached to denote these quantities for the plasma region. Using these parameters the determinantal relation* ships for cases IT. III, and IV are given by equations (4.62), and (4.63) and (4.64), respectively。


| 0 | 0 | 0 | 0 | 0 | $\frac{n_{2}^{2}}{\frac{5}{2}}$ | $\bigcirc$ | $\begin{gathered} \frac{\boxed{3}}{2} \\ \frac{4}{2} \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\bigcirc$ | 0 | 0 | $\bigcirc$ | $\begin{aligned} & \text { 亏} \\ & \underset{\sim}{2} \\ & 1 \end{aligned}$ | $\bigcirc$ | 0 |  |
| $\checkmark$ | $\checkmark$ | $\begin{gathered} \frac{\sigma}{s} \\ \frac{4}{1} \end{gathered}$ | $\begin{gathered} \overparen{8} \\ \frac{2}{2} \\ \frac{5}{3} \\ \frac{5}{3} \end{gathered}$ | 0 |  | $\begin{aligned} & \frac{2}{5} \\ & \frac{5}{3} \\ & \frac{5}{3} \\ & \frac{1}{31} \\ & \end{aligned}$ | $\begin{gathered} \tilde{\partial} \\ \frac{1}{20} \end{gathered}$ |
| 0 | 0 | $\begin{aligned} & 8 \\ & \frac{8}{4} \\ & \frac{1}{4} \end{aligned}$ | $\begin{gathered} \overline{3} \\ H \\ H \\ 5 \\ y_{1}^{5} \\ 1 \end{gathered}$ | 0 | $\begin{aligned} & \text { y } \\ & \text { H } \\ & 3 / k \\ & \sqrt[3]{1} \\ & 1 \end{aligned}$ | $\begin{aligned} & \text { a } \\ & \frac{5}{0} \\ & 0 \\ & \text { H } \\ & \text { y } \\ & y_{1} \mathrm{a} \end{aligned}$ | $\begin{aligned} & \frac{⿹ 勹 䶹}{3} \\ & H \end{aligned}$ |
| $\begin{aligned} & 8 \\ & e \\ & i \\ & i \end{aligned}$ |  | $\bigcirc$ | 0 | $\begin{aligned} & \stackrel{\rightharpoonup}{5} \\ & 0 \end{aligned}$ | $\bigcirc$ | $\begin{aligned} & \text { İ } \\ & 2 \\ & 20 \end{aligned}$ |  |
| $\begin{aligned} & 3 \\ & 3 \\ & 4 \end{aligned}$ |  | 0 | 0 | $\begin{aligned} & \frac{3}{3} \\ & H \\ & H \end{aligned}$ | 0 | $\begin{aligned} & \vec{z} \\ & \stackrel{y}{n} \end{aligned}$ |  |
| $\begin{aligned} & \overline{0} \\ & \underline{t} \\ & \stackrel{y}{5} \end{aligned}$ | $\begin{aligned} & \overline{7} \\ & \mathbf{S}^{7} \\ & { }_{5}^{6} \end{aligned}$ | $\begin{aligned} & 3 \\ & \frac{7}{7} \\ & \text { Hy } \\ & \text { ar } \end{aligned}$ |  | 0 | $D$ | $\bigcirc$ | 0 |
| $\begin{aligned} & 3 \\ & 3 \\ & 50 \\ & 5 \end{aligned}$ | $\begin{aligned} & \frac{3}{3} \\ & \frac{1}{y} \end{aligned}$ | $\frac{3}{3}$ | $\frac{3}{5}$ | 0 | 5 | $\bigcirc$ | $\bigcirc$ |


|  |  |  |  |  | $\begin{aligned} & \text { 士 } \\ & \text { さ } \end{aligned}$ |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $\begin{aligned} & \hat{3} \\ & \text { 新道 } \end{aligned}$ | $\bigcirc$ | $\begin{aligned} & \text { on } \\ & \text { 荿 } \end{aligned}$ |
| 0 | 0 | 0 | $\bigcirc$ | 0 | 0 | $\bigcirc$ | $\bigcirc$ | $\begin{gathered} 0 \\ \frac{\breve{5}}{6} \\ \frac{1}{6} \end{gathered}$ | $\bigcirc$ | $\bigcirc$ |  |
| $\bigcirc$ | 0 | 0 | $\bigcirc$ | 0 | 0 | ¢ | $\begin{aligned} & \text { 感 } \\ & \text { 害 } \end{aligned}$ | 0 |  | $\begin{aligned} & \text { 言 } \\ & \text { 窓 } \\ & \text { 新 } \end{aligned}$ | 気 |
| 0 | 0 | 0 | 0 | 0 | 0 | $\begin{gathered} \frac{5}{3} \\ \stackrel{i}{4} \end{gathered}$ |  | 0 |  |  | \％ H |
| 0 | 0 | 0 | 0 | $\begin{aligned} & \text { s. } \\ & \frac{5}{k^{\circ}} \\ & \hline \end{aligned}$ |  | 0 | $\bigcirc$ | $\frac{\stackrel{\rightharpoonup}{3}}{x_{0}^{0}}$ | $\bigcirc$ | $\frac{\tilde{3}}{20}$ |  |
| $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | 0 | $\begin{aligned} & \text { gis } \\ & { }_{9}^{4} \end{aligned}$ |  | $\bigcirc$ | $\bigcirc$ | $\frac{\widetilde{3}}{H}$ | $\bigcirc$ | $\begin{aligned} & 0 \\ & \mathrm{H} \end{aligned}$ |  |
| 敉 | 20 | $\begin{aligned} & \frac{3}{3} \\ & \frac{\tilde{x}^{2}}{4} \end{aligned}$ |  |  |  | ¢ |  | $\bigcirc$ | $\bigcirc$ | 0 | $\bigcirc$ |
| $\begin{aligned} & \sigma_{2} \\ & \frac{\sigma_{1}^{0}}{10} \end{aligned}$ |  | $\begin{aligned} & \sigma_{6}^{6} \\ & \stackrel{y}{2} \\ & \sum_{6}^{6} \end{aligned}$ | $\begin{gathered} \frac{\sigma_{4}}{5} \\ \frac{5}{5} \\ \frac{9}{1} \end{gathered}$ | $\begin{aligned} & 6 \\ & 3_{5}^{5} \\ & y_{0} \end{aligned}$ |  | $\begin{aligned} & \text { sa } \\ & \text { so } \\ & \text { ais } \end{aligned}$ |  | 0 | $\bigcirc$ | $\bigcirc$ | 0 |
| 年 | 20 |  | $\begin{aligned} & 3 \\ & \frac{3}{2} \\ & \frac{3}{3} \end{aligned}$ | $\frac{\sqrt{2}}{\frac{2}{2}}$ | $\frac{\frac{2}{x}}{\frac{9}{2}}$ | $\frac{\text { Bo }}{\frac{30}{50}}$ | $\begin{aligned} & \frac{2}{2} \\ & \frac{2}{2} \\ & 2 \end{aligned}$ | $\bigcirc$ | 0 | 0 | $\bigcirc$ |
| $\begin{aligned} & \mathbf{S}_{2} \\ & 5 \\ & \mathrm{H}_{0} \end{aligned}$ |  |  | $\begin{aligned} & \sigma \\ & \frac{2}{3} \\ & \stackrel{y}{3} \\ & \frac{3}{1} \end{aligned}$ | $\frac{x_{3}^{2}}{\hat{H}_{5}^{2}}$ | $\begin{gathered} \frac{20}{5} \\ \stackrel{5}{5} \\ \frac{2}{3} \end{gathered}$ | $\begin{aligned} & 2 b^{2} \\ & 5^{5} \\ & a^{2} \end{aligned}$ | $\begin{aligned} & \frac{5}{2} \\ & \frac{5}{5} \\ & \frac{5}{2} \\ & \frac{5}{2} \end{aligned}$ | 0 | 0 | $\bigcirc$ | $\bigcirc$ |
| $\underset{\substack{\sigma_{s}^{\prime} \\ H_{0}^{\prime}}}{ }$ |  |  |  | 0 | 0 | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| $\frac{\sqrt{s}}{\sqrt{5}}$ |  | $\frac{\sqrt{3}}{\stackrel{\rightharpoonup}{5}}$ | $\frac{\sigma}{\stackrel{\rightharpoonup}{F}} \underset{\underset{J}{1}}{ }$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | 0 | 0 | $\bigcirc$ | 0 | 0 |

5. Comparison of the Methods

Trivelpiece's "slow wave" approximation is obviously the simplest of the three methods even though it is still so complicated that computer results are required for a clear insight into the system。a fact which de creases the value of its comparative simplicity greatly. It has several shortcomings. First, it neglects the ac magnetic fields in its basic assumption, which later has the effect of denying the possible existence of a TE type of solution. This leads to difficulties when attempting to match a field solution obtained from this method to any case where a TE solution is required, as at a helix. Equation (4.53) shows that a TE solution does exist and that it has poles, indicating that。 regardless of how small the arbitrary constants, the fields associated with the TE mode will become appreciable in the vicinity of these poles; hence this approximation cannot be used in these areas. One of these poles is a zero of $\varepsilon_{d}$ as given by equation (A.23) and indicates that the extension of Trivelpiece s method fails in an area not predicted by itself. Equation (3.41) also brings out a significant difference with method II.

The extension of $B r e w e r$ 's method appears to improve the situation somewhat at a great increase in complexity. This method, by neglecting $E_{\theta}$ in equation (3.2) oin effect, neglects the effect of the TE mode upon the TM mode but does predict a TE mode which is a function of the TM. The starting assumption in the analysis is that (neglecting the $B_{\Omega}{ }_{z}$ term in (3.2))

$$
\begin{equation*}
B_{z} i \gg E_{\theta} \tag{51}
\end{equation*}
$$

Using the relations developed within Section a this requires that the ratio

$$
\begin{equation*}
\frac{E_{0}}{B_{z} \dot{r}}=\frac{k^{2}\left(\epsilon_{1}-1\right)\left[\left(w+j \gamma u_{0}\right)^{2}-\omega_{r}^{2}\right]}{\left(p^{2}+\gamma_{r}^{2}\right) \omega\left(\omega+j \gamma u_{0}\right)} \tag{2}
\end{equation*}
$$

be small. Examination of this relation shows that in has a second order pole at

$$
\begin{equation*}
w=-j \gamma U_{0} \tag{5,3}
\end{equation*}
$$

without corresponding zeroes. It is to be noted that for $\beta^{2} \sum^{2}$ and the values of $\omega_{p}$ and $\omega_{p b}$ usually found in traveling wave tubes the ratio (5.2) will remain small. Also the $\mathrm{B}_{\boldsymbol{n}}$ z term in 3.2) has been neajected since $B_{\Omega}$ is very small. Looking at this term again shows that $z$ hás a pole at the frequency given by (5.3). The situetion is no clear and the determining factor is believed to be losses which have not been included in the analysis. Examining (5.2) further shows the possibililies for fallure particularly for fast waves. However no simple statement of these condi= tions reveals itself。

In general. this method is believed to be an improvement over Method I but, due primarily to the complex boundary conditions it leaves little if anything to recommend itself in preference to method "II $^{\text {a }}$

Method III, the Kales ${ }^{\circ}$ solution has several interesting features. Firsi
coupled modes are predicted. i.e., TE and TM modes are related to each other by a constant and neither can be zero independently at other than special combinations of the system parameters. Second, a twofold mode degeneracy is predicted since we may take either of two values for the radial propagation constant. This aspect presents complications in the boundary value problem.

Suppose that we try to match the boundary conditions using only one of the two possible modes. We would then find that only one arbitrary constant would appear in the field equations for region I and matching boundary conditions would give us four equations in three unknowns. The problem would then be over specified and would allow solutions for, at most, particular combinations of the system parameters. By taking both modes of each degenerate pair, we may match any physically realizable boundary conditions.

Very closely related to the above is the fact that the degenerate $\left(\mathcal{N}_{i}\right)$ modes are not orthogonal. From Churchill (12), we may state the general requirements for orthogonality as

$$
\begin{align*}
& a_{1} u_{1}(a)+a_{2} u_{1}^{\prime}(a)=0 \\
& b_{1} u_{1}(f)+b_{2} u_{1}^{\prime}(t)=0 \tag{5.4}
\end{align*}
$$

where $U$ is a solution of a Sturm-Liouville system. Since these are precisely the relations which exist between $E_{z}$ and $H_{\theta}$ and $H_{z}$ and $E_{\theta}$ for each of the modes individually it is obvious that the azimuthal
$\square$
modes $(M)$ are orthogonal for the same $S_{i}$ 。 Since each of the $s_{i}$ is associated with a separate Sturm-Liouville system the degenerate (s) modes are not necessarily orthogonal. Evaluating an integral of the form

$$
\begin{equation*}
\int_{a}^{b} \Omega J_{0}(\alpha \Omega) J_{1}(\beta \pi) d r \tag{5,5}
\end{equation*}
$$

will demonstrate that except for unusual combinations of the constants, the modes are not orthogonal.

In summary, it may be stated that the attempted extension of a relatively simple method (Trivelpiece's) develops inconsistencies with the exact solution of the model and, until numerical results can prove to the contrary. must be used with caution. The extension of Brewer's method appears to improve the situation somewhat but the complexity of the boundary conditions deprives the method of any advantage of simplicity and hence, has little to recommend itself in favor of the Kales ${ }^{\circ}$ solution

The Kales ${ }^{0}$ solution, while complicated in its derivation, is not more difficult to handle afterwards than any other. It has the distinct advantage of being an exact mathematical solution of the model。 the only limiting assumption being the derivation of the dielectric tensor itself. It is readily demonstrated that the method fails at the poles and zeroes of the components of the dielectric tensor, and at a few other special combina= tions of the parameters such that the problem degenerates into a much simpler one. The failure at the singularities of the dielectric tensor is of no great improtance since the model also fails under these conditions. i.e..
infinite fields and propagation constants are not found in nature. This method also has the advantage that it offers the possibility of extenstion to include the effect of collisions between charged particles since the conductivity of a plasma is a tensor quantity of the same form as the dielectric tensor.

$$
=
$$

## APPENDIX A

## DERIVATION OF TENSOR DIELECTRIC CONSTANT

It is permissible to treat a plasma as described in the introduction as an equivalent charge-free dielectric whose characteristics vary as a function of frequency. Further, the equivalent dielectric constant for a plasma in a magnetic field is a tensor quantity because the electric field vector and the displacement vector are no longer related by a single multiplacative constant. The elements of this tensor are calculated by adding the convection current density to the free space displacement current density and setting the sum equal to the displacement current of the equivalent charge free region. Two cases shall be treated。first。 that of a plasma alone, and second, that of a plasma with an electron beam through passing through it.

## PLASMA

Neglecting ap, v, term as a second order quantity, one obtains

$$
\begin{equation*}
j \omega \epsilon_{0} \bar{E}_{1}+\rho_{0} \bar{v}_{1}=j \omega \underline{E} \cdot \bar{E}_{1} \tag{A,1}
\end{equation*}
$$

Using the equation of motion and neglecting effects of AC magnetic fields on electron motion

$$
\begin{equation*}
\frac{d \bar{v}}{d t}=\frac{\partial \bar{v}}{\partial t}+(\bar{v} \cdot \nabla) \bar{v}=-\frac{e}{m}(\bar{E}+\bar{v} \times \bar{B}) \tag{A,2}
\end{equation*}
$$

the following are then obtained.

$$
j \omega v_{1 r}=-\frac{l}{m} E_{1 n}-\omega_{c} v_{1 \theta}
$$

$$
\begin{equation*}
j \omega v_{1 \theta}=-\frac{l}{m} E_{1 \theta}+\omega_{C} v_{1 r} \tag{A,4}
\end{equation*}
$$

$$
\begin{equation*}
j \omega_{v_{l g}}=-\frac{l}{m} E_{1 g} \tag{A,5}
\end{equation*}
$$

Solving for the components of velocity yields

$$
\begin{align*}
& v_{1 r}=-\eta\left[\frac{-j \omega E_{l n}}{\omega^{2}-\omega_{c}^{2}}+\frac{\omega_{c} E_{1 \theta}}{\omega^{2}-\omega_{c}^{2}}\right]  \tag{A,5}\\
& v_{1 \theta}=-\eta\left[\frac{-j \omega E_{1 \theta}}{\omega^{2}-\omega_{c}^{2}}+\frac{\omega_{c} E_{l \Omega}}{\omega^{2}-\omega_{c}^{2}}\right]  \tag{A,7}\\
& v_{1 g}=-\eta \frac{E_{1 g}}{j \omega} \tag{A,8}
\end{align*}
$$

Substituting these components of velocity in equation (A.I) and solving for the tensor

$$
\xi=\epsilon_{0}\left\|\begin{array}{ccc}
\epsilon_{11} & j \epsilon_{12} & 0  \tag{A,9}\\
-j \epsilon_{12} & \epsilon_{11} & 0 \\
0 & 0 & \epsilon_{33}
\end{array}\right\|
$$

Where

$$
\begin{aligned}
& \epsilon_{11}=1+\frac{w_{p}^{2}}{w_{c}^{2}-w^{2}} \\
& \epsilon_{12}=\frac{w_{c}}{w}\left[\frac{w_{p}^{2}}{w_{c}^{2}-w^{2}}\right] \\
& \epsilon_{33}=1-\frac{w_{p}^{2}}{w^{2}}
\end{aligned}
$$

## PLASMA AND ELECTRON BEAM

Assuming that the interaction between beam electrons and plasma electrons takes place only through the electric field (in keeping with the model described in the introduction). one may solve the force equation. and equation (A.2) for the beam electrons and the plasma electrons separately. Equations (A. 6), (A.7), and (A.8) are the solutions for the plasma electrons. For the beam electrons, assuming all ac quantities vary as $e^{j \omega t-\gamma z}$ the force equation may be written

$$
\begin{equation*}
\frac{d \bar{v}}{d t}=f\left(w+j \gamma u_{0}\right) \bar{v}_{1}=-\frac{e}{m}[\bar{E}+\bar{v} \times \bar{B}] \tag{A.13}
\end{equation*}
$$

from which, the following are obtained

$$
\begin{equation*}
j\left(\omega+j \gamma u_{0}\right) v_{1 r}=-\frac{l}{m} E_{1 r}-\omega_{c} v_{1 \theta} \tag{A.14}
\end{equation*}
$$

$$
\begin{equation*}
j\left(w+j \gamma u_{0}\right) v_{1 \theta}=-\frac{l}{m} E_{1 \theta}+w_{c} v_{1 r} \tag{A.15}
\end{equation*}
$$

$$
\begin{equation*}
j\left(\omega+j \gamma u_{0}\right) v_{1 g}=-\frac{l}{m} E_{1 g} \tag{A,16}
\end{equation*}
$$

Solving for the components of the ac velocity yields

$$
\begin{align*}
& v_{1 r}=-\eta\left[\frac{-j\left(\omega+\gamma \gamma u_{0}\right) E_{1 r}}{\left(\omega+j \gamma u_{0}\right)^{2}-\omega_{c}^{2}}+\frac{\omega_{c} E_{1 \theta}}{\left(\omega+j \gamma u_{0}\right)^{2}-\omega_{c}^{2}}\right]  \tag{A.17}\\
& v_{1 \theta}=-\eta\left[\frac{-j\left(\omega+j \gamma u_{0}\right)}{\left(\omega+j \gamma u_{0}\right)^{2}-\omega_{c}^{2}}-\frac{\omega_{c} E_{1 r}}{\left(\omega+j \gamma u_{0}\right)^{2}-\omega_{c}^{2}}\right]  \tag{A,18}\\
& v_{1 g}=-\eta \frac{E_{1 g}}{j\left(\omega+j \gamma u_{0}\right)}
\end{align*}
$$

The convection current density is now written as the sum of the current
densities due to the beam and plasma electrons and one then obtains

$$
\begin{equation*}
j \omega \epsilon_{0} E_{1}+\rho_{0} \bar{v}_{1}+\rho_{o \sigma} \overline{v_{t \sigma}}=j \omega E_{=} \cdot E_{1} \tag{A,20}
\end{equation*}
$$

Solving for the components of the tensor

$$
\underline{\xi}=\epsilon_{0}\left\|\begin{array}{ccc}
\epsilon_{1} & j \epsilon_{2} & 0  \tag{A,21}\\
-j \epsilon_{2} & \epsilon_{1} & 0 \\
0 & 0 & \epsilon_{3}
\end{array}\right\|
$$

where

$$
\begin{align*}
& \epsilon_{1}=1+\frac{\omega_{p}^{2}}{\omega_{c}^{2}-w^{2}}+\frac{w_{p b}^{2}}{\omega_{c}^{2}-\left(w+\gamma \gamma u_{0}\right)^{2}}  \tag{A,22}\\
& \epsilon_{2}=\frac{w_{c}}{\omega}\left[\frac{w_{p}^{2}}{\omega_{c}^{2}-w^{2}}\right]+\frac{\omega_{c}}{\left(w+\gamma \gamma u_{0}\right)}\left[\frac{w_{p b}^{2}}{\omega_{c}^{2}-\left(\omega+\gamma \gamma u_{0}\right)^{2}}\right]  \tag{A.23}\\
& \epsilon_{3}=1-\frac{w_{p}^{2}}{\omega^{2}}-\frac{\omega_{p b}^{2}}{\left(w+\gamma \gamma u_{0}\right)^{2}} \tag{A.24}
\end{align*}
$$

SOLUTION OF BOUNDARY VALUE PROBLEM 。 METHOD
CASE I (Region I and IV)
Extracting the appropriate field components from Section two, we have

## Region I

$E_{z}=A_{1} J_{0}\left(T_{1} r\right)$
$\mathrm{H}_{\mathrm{z}}=\mathrm{A}_{2} \mathrm{I}_{0}(\mathrm{pr})$
$H_{\theta}=\frac{j w \epsilon_{0} \epsilon_{1} A_{1} T_{1} J_{1}\left(T_{1} r\right)}{\gamma}$
$E=-A_{2} \frac{j w M}{p} I_{1}(\mathrm{pr})$

Region IV
$E_{z}=B_{1} K_{0}$ (pr)
$H_{z}=B_{2} K_{0}(\mathrm{pr})$
$H_{\theta}=-B_{1} \frac{j \omega \epsilon_{\theta}}{K_{1}}(\mathrm{pr})$
$E=B_{2} \frac{j w \mu}{p} K_{1}(p r)$

With the boundary conditions at the helix ( $r=c$ )

$$
\begin{align*}
& E_{z}^{i}=E_{z}^{e} \\
& E_{\theta}^{i}=E_{\theta}^{e} \\
& E_{2}^{i}=-E_{\theta}^{e} \cot \psi  \tag{B,3}\\
& H_{z}^{i}+H_{\theta}^{i} \cot \psi=H_{z}^{e}+H_{\theta}^{e} \cot \psi
\end{align*}
$$

Matching these boundary conditions

$$
\begin{equation*}
A_{1} \gamma J_{0}\left(T_{1} c\right)=B_{1} K_{0}(p c) \tag{B,4}
\end{equation*}
$$

$$
\begin{equation*}
-A_{2} \frac{\left.f \frac{\omega}{p} I_{1}(p c)=B_{2} \frac{f \omega \mu}{p} K_{1}(p c)\right) .(p)}{} \tag{B,5}
\end{equation*}
$$

$$
\begin{align*}
& A_{1} \gamma J_{0}\left(T_{1} c\right)=-\cot \psi\left[-A_{2} \frac{j \omega \mu}{p} I_{1}(p c)\right] \\
& A_{2} I_{0}(p c)+\cot \psi\left[f \frac{\omega \epsilon_{0} \epsilon_{1}}{\gamma} A_{1} T_{1} J_{1}\left(T_{1} c\right)\right]= \\
& B_{2} K_{0}(p c)+\cot \psi\left[-B_{1} \frac{f \omega \epsilon_{0}}{p} K_{1}(p c)\right] \tag{B,7}
\end{align*}
$$

Solving (B.4), (B,5), and (B,6) for $A_{1}, B_{1}$, and $A_{2}$ and substituting in (B.7); one obtains, after some manipulation

$$
\frac{1}{p c K_{1}(p c)}+\frac{\operatorname{coT}^{2} \psi R^{2} I_{1}(p c)}{p^{2}}\left[\frac{K_{1}(p c)}{K_{0}(p c)}-\frac{p \epsilon_{1} T_{1} J_{1}\left(T_{1} c\right)}{\gamma^{2} J_{0}\left(T_{1} c\right)}\right]=0
$$

Making the approximation that

$$
p^{2}=-\left(\gamma^{2}+k^{2}\right) \approx-\gamma^{2} \quad p \approx-j \gamma
$$

and using equation $(2,10)$, the determinantal relationship

$$
\begin{equation*}
\frac{1}{p c K_{1}(p c)}+\frac{\cot ^{2} \psi k^{2} I_{1}(p c)}{p^{2}}\left[\frac{K_{1}(p c)}{K_{0}(p c)}+\frac{f \epsilon_{1} \epsilon_{3} J_{1}\left(T_{1} c\right)}{J_{0}\left(T_{1} c\right)}\right]=0 \tag{Bo}
\end{equation*}
$$

is obtained.
CASE II (Region I, II, and IV)
The appropriate field components, from section two, are

Region I

$$
\begin{array}{ll}
E_{2}=A_{1} \gamma J_{0}\left(T_{1} r\right) & H_{2}=A_{2} I_{0}(p r) \\
H_{\theta}=j \frac{\omega \epsilon_{0} \epsilon_{1}}{\gamma} A_{1} T_{1} J_{1}\left(T_{1} r\right) & E_{\theta}=-A_{2} j \frac{\omega \mu}{p} I_{1}(p r)
\end{array}
$$

Region II

$$
\begin{align*}
& E_{2}=B_{1} \gamma J_{0}\left(T_{2} r\right)+B_{2} \gamma N_{0}\left(T_{2} r\right) \\
& H_{\theta}=\frac{f \omega \epsilon_{0} \epsilon_{11}}{\gamma}\left[B_{1} T_{2} T_{1}\left(T_{2} r\right)+B_{2} T_{2} N_{1}\left(T_{2} r\right)\right]  \tag{B,11}\\
& H_{2}=A_{2} I_{0}(p r) \\
& E_{\theta}=-A_{2} f \frac{\omega \mu}{p} I_{1}(p r)
\end{align*}
$$

Region IV

$$
\begin{array}{ll}
E_{z}=D_{1} K_{0}(p r) & H_{z}=D_{2} K_{0}(p r) \\
H_{\theta}=-D_{1} f \frac{w \epsilon_{0}}{p} K_{1}(p r) & E_{\theta}=D_{2} \frac{f w \mu}{p} K_{1}(p r) \tag{B.12}
\end{array}
$$

Matching tangential E and H components at the boundary between the beam and the plasma ( $r=a$ )

$$
A_{1} \gamma J_{0}(T, a)=B_{1} \gamma J_{0}\left(T_{2} a\right)+B_{2} \gamma N_{0}\left(T_{2} a\right)
$$

$$
\begin{equation*}
j \frac{\omega \epsilon_{0} \epsilon_{1}}{\gamma} T_{1} J_{1}\left(T_{1} a\right)=j \frac{\omega \epsilon_{0} \epsilon_{11}}{\gamma}\left[B_{1} T_{2} J_{1}\left(T_{2} a\right)+B_{2} T_{2} N_{1}\left(T_{2} a\right)\right] \tag{B,14}
\end{equation*}
$$

and eliminating the $A_{n}$ coefficients, one obtains
$B_{1}\left[\frac{J_{0}\left(T_{2} a\right)}{J_{0}\left(T_{1} a\right)}-\frac{\epsilon_{11} T_{2} J_{1}\left(T_{2} a\right)}{\epsilon_{1} T_{1} T_{1}\left(T_{1} a\right)}\right]+B_{2}\left[\frac{N_{0}\left(T_{2} a\right)}{J_{0}\left(T_{1} a\right)}-\frac{\epsilon_{11} T_{2} N_{1}\left(T_{2} a\right)}{\epsilon_{1} T_{1} T_{1}\left(T_{1} a\right)}\right]=0$

At the helix, boundary conditions (B.3) apply

$$
\begin{equation*}
B_{1} \gamma J_{0}\left(T_{2} C\right)+B_{2} \gamma N_{0}\left(T_{2} C\right)=D_{1} K_{0}(p C) \tag{B,16}
\end{equation*}
$$

$-A_{2} \frac{j w \mu}{p} I_{1}(p c)=D_{2} \frac{f w \mu}{p} K_{1}(p c)$

$$
\begin{equation*}
B_{1} \gamma J_{0}\left(T_{2} c\right)+B_{2} \gamma N_{0}\left(T_{2} c\right)=A_{2} \operatorname{coT} \psi \frac{\psi \mu \mu}{p} I_{1}(p c) \tag{B.18}
\end{equation*}
$$

$$
A_{2} I_{0}(p c)+\cot \psi\left[f \frac{d \epsilon_{0} \epsilon_{11}}{\gamma}\left(B_{1} T_{2} J_{1}\left(T_{2} c\right)+B_{2} T_{2} N_{1}\left(T_{2} c\right)\right)\right]=
$$

$$
\begin{equation*}
D_{2} K_{0}(p c)-D_{1} \operatorname{coT} \psi \frac{f \omega \epsilon_{0}}{p} K_{1}(p c) \tag{E.19}
\end{equation*}
$$

Solving the first three of these equations for $D_{1}, A_{2}$, and $D_{2}$ and substituting in the fourth; one obtains, after some manipulation

$$
\begin{align*}
& B_{1}\left[\frac{\gamma J_{0}\left(T_{2} c\right) I_{0}(p c)}{I_{1}(p c)}+\frac{K_{0}(p c) \gamma J_{0}\left(T_{2} c\right)}{K_{1}(p c)}-\frac{\cot ^{2} \psi h^{2} \epsilon_{11} T_{2} J_{1}\left(T_{2} c\right)}{\gamma p}\right. \\
& \left.-\frac{k^{2} K_{1}(p c)}{p^{2} K_{0}(p c)} \gamma J_{0}\left(T_{2} c\right) \operatorname{coT}^{2} \psi\right]+B_{2}\left[\frac{\gamma N_{0}\left(T_{2} c\right) I_{0}(p c)}{I_{1}(p c)}+\frac{K_{0}(p c)}{K_{1}(p c)} \gamma N_{0}\left(T_{2} c\right)\right. \\
& \left.-\frac{\operatorname{coT}^{2} \psi h^{2} \epsilon_{11} T_{2}}{\gamma p} N_{1}\left(T_{2} c\right)-\frac{k^{2} K_{1}(p c)}{p^{2} K_{0}(p c)} \gamma N_{0}\left(T_{2} c\right) \cot ^{2} \psi\right]=0 \tag{B.20}
\end{align*}
$$

Again making the approximation that

$$
p \approx-j \gamma
$$

one obtains
$B_{1}\left[\frac{\gamma J_{0}\left(T_{2} c\right)}{p\left(I_{1}(P) K_{1}(P C)\right.}+\frac{\cot ^{2} \psi k^{2}}{\gamma}\left(-j \sqrt{\epsilon_{11} \epsilon_{33}} J_{1}\left(T_{2} c\right)+\frac{K_{1}(p c)}{K_{0}(P)} J_{0}\left(T_{2} c\right)\right)\right]+$
$B_{2}\left[\frac{\gamma N_{0}\left(T_{2} c\right)}{P C I_{1}\left(p q K_{1}(p c)\right.}+\frac{\cot ^{2} \psi h^{2}}{\gamma}\left(-j \sqrt{G_{11} \epsilon_{33}} N_{1}\left(T_{2} c\right)+\frac{K_{1}(p c)}{K_{0}(p c)} N_{0}\left(T_{2} c\right)\right)\right]=0$

Setting the determinant of coefficients of $B_{1}$ and $B_{2}$ obtained from equations (B.15) and (B.21) equal to zero. the determinantal relationship is then obtained.

$$
\begin{align*}
& {\left[\frac{j J_{0}\left(T_{2} c\right)}{c I_{1}(k) K_{1}(F)}+\frac{\operatorname{coT}^{2} \psi k^{2}}{\gamma}\left(-j \sqrt{\epsilon_{11} \epsilon_{3 j} J_{1}\left(T_{2} c\right)+\frac{K_{1}(p c)}{K_{0}(p c)} J_{0}\left(T_{2} c\right)}\right]\right) x} \\
& {\left[\frac{\left.N_{0} T_{2} 1\right)}{J_{0}\left(T_{1} a\right)}-\sqrt{\frac{\epsilon_{11} \epsilon_{33}}{\epsilon_{1} \epsilon_{3}} \frac{N_{1}\left(T_{2} a\right)}{T_{1}\left(T_{2} a\right)}}\right]-\left[\frac{J_{0}\left(T_{2} a\right)}{T_{0}\left(T_{1} a\right)}-\sqrt{\left.\frac{\epsilon_{11} \epsilon_{33}}{\epsilon_{1} \epsilon_{3}} \frac{J_{1}\left(T_{2} a\right)}{J_{1}\left(T_{1} a\right)}\right] x}\right.} \\
& {\left[\frac{-j N_{0}\left(T_{2} c\right)}{c I_{1}(p c) K_{1}(p c)}+\frac{c_{0} T^{2} \psi k^{2}}{\gamma}\left(-j \sqrt{\epsilon_{11} \epsilon_{33}} N_{1}\left(T_{2} c\right)+\frac{K_{1}(p c)}{K_{0}(p c)} N_{0}\left(T_{2} c\right)\right)\right]=0} \tag{B,22}
\end{align*}
$$

CASE III (Region I, III, and IV)
The appropriate field components, extracted from Section two, are Region I (Beam and Plasma)

$$
\begin{array}{ll}
E_{z}=A_{1} \gamma J_{0}(T, r) & H_{2}=A_{2} I_{0}(p r) \\
H_{\theta}=\frac{\psi E_{0} \epsilon_{1}}{\gamma} A_{1} T_{1}\left(T_{1} r\right) & E_{\theta}=-A_{2} f \frac{w \mu}{p} I_{1}(p r)
\end{array}
$$

Region II (Free space)

$$
\begin{align*}
& E_{2}=C_{1} I_{0}(p r)+C_{2} K_{0}(p r) \\
& H_{\theta}=\frac{j \omega \epsilon_{0}}{p}\left[C_{1} I_{1}(p r)-C_{2} K_{1}(p r)\right] \\
& H_{2}=C_{3} I_{0}(p r)+C_{4} K_{0}(p r)  \tag{B.24}\\
& E_{\theta}=-\frac{j \omega \mu}{p}\left[C_{3} I_{1}(p r)-C_{4} K_{1}(p r)\right]
\end{align*}
$$

Region IV (Free space)

$$
\begin{array}{ll}
E_{2}=D_{1} K_{0}(p r) & H_{2}=D_{2} K_{0}(p r) \\
H_{\theta}=-D_{1} \frac{j \omega \epsilon_{0}}{p} K_{1}(p r) & E_{\theta}=D_{2} \frac{j w \mu}{p} K_{1}(p r) \tag{B.25}
\end{array}
$$

Matching tangential E and H components at the boundary between the beam and free space at $r=b$

$$
\begin{align*}
& A_{2} I_{0}(p t)=C_{3} I_{0}(p k)+C_{4} K_{0}(p t)  \tag{B,26}\\
& -A_{2} j \frac{w \mu}{p} I_{1}(p \&)=-\frac{w_{\mu}}{p} C_{3} I_{1}(p t)+j \frac{w \mu}{p} C_{4} K_{1}(p t) \tag{B,27}
\end{align*}
$$

From the two equations immediately preceding, the following is obtained

$$
\begin{equation*}
A_{2}=C_{3}+C_{4} \frac{K_{0}(p b)}{I_{0}(p t)} \tag{B,28}
\end{equation*}
$$

$$
A_{2}=C_{3}-C_{4} \frac{K_{1}(p t)}{I_{1}(p b)}
$$

It is then concluded that $C_{4}$ is zero and that $A_{2}=C_{3}$. Matching $E_{z}$ and $\mathrm{H}_{\theta}$

$$
\begin{equation*}
A_{1} \gamma J_{0}(T, t)=C_{1} I_{0}(p t)+C_{2} K_{0}(p t) \tag{B,30}
\end{equation*}
$$

$$
\begin{equation*}
j \frac{\omega \epsilon_{0} \epsilon_{1}}{\gamma} A_{1} T_{1} J_{1}\left(T_{1} b\right)=j \frac{\omega \epsilon_{0}}{p}\left[C_{1} I_{1}(p \theta)-C_{2} K_{1}(p-\theta)\right] \tag{B,31}
\end{equation*}
$$

and eliminating $A_{1}$, the following relation is obtained

$$
\left.C_{1}\left[\frac{I_{0}(p f)}{J_{0}\left(T_{1} t\right)}-\frac{\gamma^{2}}{p \epsilon_{1} T_{1}(p f)} I_{1}(T, b)\right]\right]+C_{2}\left[\frac{K_{0}(p b)}{J_{0}\left(T_{1}, t\right)}+\frac{\gamma^{2} K_{1}(\rho f)}{p \in T_{1} T_{1}\left(T_{1}, t\right)}\right]=0
$$

At the helix ( $r=c$ ), boundary conditions ( B .3 ) apply

$$
\begin{align*}
& C_{1} I_{0}(p c)+C_{2} K_{0}(p c)=D_{1} K_{0}(p c)  \tag{B,33}\\
& -j \frac{\omega \mu}{p} C_{3} I_{1}(p c)=\frac{j \omega \mu}{p} K_{1}(p c)  \tag{B,34}\\
& C_{1} I_{0}(p c)+C_{2} K_{0}(p c)=j \frac{\omega \mu}{p} C_{3} I_{1}(p c) \cot \psi  \tag{B.35}\\
& C_{3} I_{0}(p c)+\operatorname{CoT} \psi\left[f \frac{\omega \epsilon_{0}}{p} C_{1} I_{1}(p c)-j \frac{j \omega \epsilon_{0}}{p} C_{2} K_{1}(p c)\right]= \\
& D_{2} K_{0}(p c)+\operatorname{CoT} \psi\left[-D_{1} K_{1}(p c) \frac{j \omega \epsilon_{0}}{p}\right] \tag{B.36}
\end{align*}
$$

Eliminating $D_{1}, D_{2}$, and $C_{3}$, the following relation remains lafter considerable manipulation)

$$
C_{1}\left[\frac{I_{0}(p c)}{I_{1}(p c) K_{1}(p c)}-\frac{\hbar^{2} \operatorname{cor}^{2} \psi}{p^{2} K_{0}(p c)}\right]+C_{2}\left[\frac{K_{0}(p c)}{I_{1}(p c) K_{1}(p c)}\right]=0
$$

Setting the determinant of coefficients of the $C_{n}$ obtained from equations (B.32) and (B.37) equal to zero, making the approximation that $p \approx-j \gamma$
and using the relations between the $T_{n}$ and $\gamma$ ot he following determinanial relation is obtained.
$\left[\frac{I_{0}(p c)}{I_{1}(p c) K_{1}(p c)}\right]\left[\frac{I_{0}(p f)}{J_{0}(T, b)}-\frac{j I_{1}(p b)}{\left.\sqrt{\epsilon_{1} \epsilon_{3} J_{1}(T, t)}\right]-}\right.$
$\left[\frac{I_{0}(p c)}{I_{1}(p c) K_{1}(p c)}-\frac{k^{2} c 0 T^{2} \psi}{p^{2} K_{0}(p c)}\right]\left[\frac{K_{0}(p f)}{J_{0}(T, f)}+\frac{j K_{1}(p b)}{\sqrt{\xi_{5} \xi_{3} J_{1}(T, G)}}\right]=0$

CASE IV (Region I, II, IIT, and IV)
The field components are as given in Section two for all regions. At $r=a$, the boundary between beam and plasma, the problem is the same as for Case II. The result is

$$
\begin{align*}
& B_{1}\left[\frac{J_{0}\left(T_{2} a\right)}{J_{0}\left(T_{1} a\right)}-\sqrt{\frac{\epsilon_{11} \epsilon_{33}}{\epsilon_{1} G_{3}}} \frac{J_{1}\left(T_{2} a\right)}{J_{1}\left(T_{1} a\right)}\right]+  \tag{B.39}\\
& B_{2}\left[\frac{N_{0}\left(T_{2} a\right)}{J_{0}(T, a)}-\sqrt{\frac{\epsilon_{11} \epsilon_{33}}{\epsilon_{1} \epsilon_{3}}} \frac{N_{1}\left(T_{2} a\right)}{J_{1}\left(T_{1} a\right)}\right]=0
\end{align*}
$$

At $r=b$, the boundary between plasma and free space

$$
\begin{align*}
& B_{1} \gamma J_{0}\left(T_{2} b\right)+B_{2} \gamma N_{0}\left(T_{2} b\right)=C_{1} I_{0}(p b)+C_{2} K_{0}(p b)  \tag{B,40}\\
& \frac{\epsilon_{1} T_{2}}{\gamma}\left[B_{1} T_{1}\left(T_{2} b\right)+R_{2} N_{1}\left(T_{2} b\right)\right]=\frac{1}{p}\left[C_{1} I_{1}(p b)-C_{2} K_{1}(p b)\right] \tag{B.41}
\end{align*}
$$

Substituting equation (B.39) in the above and defining

$$
\begin{align*}
& G=J_{0}\left(T_{2} a\right) J_{1}(T, a)-\sqrt{\frac{\epsilon_{11} \epsilon_{33}}{\epsilon_{1} \epsilon_{3}}} J_{1}\left(T_{2} a\right) J_{0}(T, a) \\
& H=N_{0}\left(T_{2} a\right) J_{1}(T, a)-\sqrt{\frac{\epsilon_{11} \epsilon_{33}}{G \epsilon_{3}}} N_{1}\left(T_{2} a\right) J_{0}(T, a) \tag{B,43}
\end{align*}
$$

After some manipulation, the following is obtained

$$
\begin{align*}
& C_{1}\left[I_{0}(p b) \epsilon_{11} p T_{2}\left(N_{1}\left(T_{2} b\right) G-J_{1}\left(T_{2} b\right) H\right)-\gamma^{2} I_{1}(p b)\left(N_{0}\left(T_{2} b\right) G-\right.\right. \\
& \left.\left.J_{0}\left(T_{2} b\right) H\right)\right]+C_{2}\left[K_{0}(p b) \epsilon_{11} p T_{2}\left(N_{1}\left(T_{2} b\right) G-J_{1}\left(T_{2} b\right) H\right)+\right. \\
& \left.\gamma^{2} K_{1}(p b)\left(N_{0}\left(T_{2} b\right) G-J_{0}\left(T_{2} b\right) H\right)\right]=0 \tag{B,44}
\end{align*}
$$

At the helix ( $r=c$ ), the situation is the same as for Case M. We then have

$$
\begin{equation*}
C_{1}\left[\frac{T_{T}(p)}{\Gamma_{1}(p)}-\frac{k^{2}\left(c \sigma^{2} y_{1}(p)\right.}{\rho^{2} K_{0}(p)}\right]+C_{2}\left[\frac{K_{0}(p)}{I_{1}(p c)}\right]=0 \tag{B,45}
\end{equation*}
$$

If the determinant of coefficients of the $C_{n}$ obtained form equations ( $B, 44$ ) and (B.45) is set equal to zero and the approximation that $p z-i y$ is made and the definitions of the $T_{n}$ used, the determinantal relationship may be expressed as


## APPENDIX C

SOLUTION OF BOUNDARY VALUE PROBLEMS 。 METHOD II
Case I (Region I and IV)
Extracting the appropriate field quantities from Section three Region I (Beam and Plasma, $0 \leq r \leq c$ )

$$
\begin{equation*}
E_{z}=A_{1} J_{0}\left(\gamma_{2} c\right) \tag{C,1}
\end{equation*}
$$

$H_{\theta}=\frac{-\gamma_{n}}{j w \mu}\left[1+\frac{\gamma^{2}}{\rho_{h}^{2}}\right] A_{1} J_{1}\left(\gamma_{n} c\right)$
$H_{2}=A_{1} F_{2} J_{0}\left(\gamma_{n} c\right)+A_{3} I_{0}\left(p_{n} c\right)$

$$
\begin{equation*}
E_{\theta}=\frac{j \omega \mu \gamma \gamma_{n} \omega_{c} \epsilon_{0}\left(t_{1}-1\right)}{P_{h}^{2}\left(p_{h}^{2}+\gamma_{n}^{2}\right)} A_{1} J_{1}\left(\gamma_{n} c\right)-\frac{j \omega \mu}{p_{h}} A_{3} I_{1}\left(p_{h} c\right) \tag{C,4}
\end{equation*}
$$

Region IV (Free Space, $r \geq c$ )

$$
\begin{equation*}
E_{z}=D_{1} K_{0}(p c) \tag{C,5}
\end{equation*}
$$

$$
\begin{equation*}
H_{\theta}=-j \frac{w \epsilon_{0}}{p} D_{1} K_{1}(p c) \tag{C,6}
\end{equation*}
$$

$$
\begin{equation*}
H_{z}=D_{g} K_{0}(p C) \tag{C.7}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=f \frac{\omega \mu}{p} D_{2} K_{1}(p c) \tag{C.8}
\end{equation*}
$$

The boundary conditions are derived from the basic conditions (3.74) and the boundary requirements of the "sheath helix" model。 Brief comment has already been made that this case must be considered as the limiting situation of what a mounts to case three (to be considered) with the free space region shrinking to zero thickness. If we view the problem in this light, the boundary conditions are found to be

$$
\begin{equation*}
E_{Z_{I}}=E_{Z_{\text {II }}} \tag{C,9}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta_{I}}=E_{\theta_{I I}} \tag{C.10}
\end{equation*}
$$

$$
\begin{equation*}
E_{z_{I}}=-E_{\theta_{I}} \cot \psi \tag{C.11}
\end{equation*}
$$

$$
\begin{equation*}
H_{Z_{I}}-G_{\theta}+\left(H_{\theta_{I}}+G_{Z}\right) \cot \psi=H_{Z_{I I}}+H_{\theta_{I I}} \cot \psi \tag{C.12}
\end{equation*}
$$

Forming the quantities required by the last two relations, using $(3,26)$.
(3.65), (3.66) and the relations given above for region $I$, we obtain $H_{2}-G_{\theta}=A_{1}\left[\frac{w_{c} C E_{0}\left(G_{-}-1\right) \gamma \gamma_{n}}{2 \rho_{h}^{2}} J_{1}\left(\gamma_{2} C\right)+F_{2} J_{0}\left(\gamma_{2} C\right)\right]+A_{3} I_{0}\left(\rho_{\eta} C\right)$
$H_{\theta}+G_{2}=A_{1}\left[\frac{-\gamma_{n}}{j \omega \mu p_{h}^{2}}\left(\frac{f \gamma \varphi_{0} R^{2}}{\omega}\left(t_{1}-\epsilon_{11}\right)+p_{h}^{2}+\gamma^{2}\right) J_{1}\left(\gamma_{\Omega} c\right)\right]$

Applying the boundary conditions given above, we obtain

$$
\begin{equation*}
D_{1} K_{0}(p c)=A_{1} J_{0}\left(\gamma_{\mu} c\right) \tag{C.15}
\end{equation*}
$$

$$
\begin{align*}
& \frac{j \omega \mu \gamma \gamma_{n} \omega_{h} \epsilon_{0}\left(\epsilon_{1}-1\right)}{\rho_{h}^{2}\left(P_{h}^{2}+\gamma_{n}^{2}\right)} A_{1} J_{1}\left(\gamma_{n} c\right)-\frac{f \omega_{\mu}}{P_{h}} A_{3} I_{1}\left(P_{h} c\right)=\frac{f \omega \mu}{P} K_{1}(p c)\left(\begin{array}{ll}
\text { ( } & 16)
\end{array}\right. \\
& D_{1} K_{0}(p c)=-D_{2} \frac{f \omega_{M}}{p} \cot \psi K_{1}(p c)  \tag{C.17}\\
& A_{1}\left[\frac{\omega_{c}\left(\epsilon_{0}\left(\epsilon_{-}-1\right) \gamma \gamma_{n}\right.}{\partial \beta_{h}^{2}} J_{1}\left(\gamma_{r} c\right)+F_{2} J_{0}\left(\gamma_{r} c\right)\right]+A_{3} I_{0}\left(\rho_{h} c\right)+ \\
& A_{1}\left[\frac{-\gamma_{n}}{\partial \omega_{\mu}^{2} p_{h}^{2}}\left(\frac{\partial \gamma u_{0} k^{2}}{\omega}\left(\epsilon_{1}-\epsilon_{11}\right)+p_{h}^{2}+\gamma^{2}\right) J_{1}\left(\gamma_{2} c\right)\right] \cot \psi=  \tag{C.18}\\
& D_{2} K_{0}(p c)-D_{1} \frac{j \omega \epsilon_{0}}{p} K_{1}(p c) \cot \psi
\end{align*}
$$

Using the same method as used in Appendix B, the determinantal relationship is found to be

$$
\begin{align*}
& {\left[\frac{j \omega \mu \gamma \gamma_{n} \omega_{c} \epsilon_{0}\left(\epsilon_{1}-1\right) J_{1}\left(\gamma_{n} c\right)}{P_{h}^{2}\left(P_{h}^{2}+\gamma_{n}^{\gamma}\right)}+\frac{J_{0}\left(\gamma_{n} c\right)}{c o T \psi}\right] I_{0}\left(\rho_{n} c\right)+} \\
& \frac{\gamma \omega \mu I_{1}\left(p_{n} c\right)}{P_{n}}\left[\frac{\gamma \gamma_{n} \omega_{c} c \epsilon_{0}\left(\epsilon_{1}-1\right) J_{1}\left(\gamma_{n} c\right)}{2 P_{h}^{2}}+F_{2} J_{0}\left(\gamma_{n} c\right)\right. \tag{C,19}
\end{align*}
$$

$\frac{-\gamma_{n}}{j \omega \rho_{h}^{2}}\left(\frac{\gamma u_{0} k^{2}\left(t_{1}-\epsilon_{11}\right)}{\omega}+\beta_{h}^{2}+\gamma^{2}\right) J_{1}\left(\gamma_{l} c\right) \cot \psi+$

$$
\left.\frac{p J_{0}\left(\gamma_{c} c\right) K_{0}(p c)}{f \omega \mu \operatorname{coT} \psi K_{1}(p c)}+\frac{f \omega \epsilon_{0} \operatorname{coT\psi } J_{0}\left(\gamma_{2} c\right) K_{1}(p c)}{p K_{0}(p c)}\right]=0
$$

It is believed obvious, at this point, that the complexity of this method is considerably greater than that of Method I。 It is also believed that nothing is to be gained by writing lengthy, complex expressions from which no insight into the nature of the problem can be obtained by inspection. The method of presentation of the determinantal relationships will, therefore, be changed to expressing the relationship in the form of a determinant set equal to zero.

Case II (Region I, II, and IV)
Extracting the appropriate field quantities from Section three

Region I (Beam and Plasma, $0 \leq r \leq a$ )

$$
\begin{align*}
& E_{2}=A_{1} J_{0}\left(\gamma_{n} r\right)  \tag{C,20}\\
& H_{\theta}=-\frac{\gamma_{n}}{j \omega \mu}\left[1+\frac{\gamma^{2}}{p_{n}^{2}}\right] A_{1} J_{1}\left(\gamma_{n} r\right)  \tag{C.21}\\
& H_{2}=A_{1} F_{2} J_{0}\left(\gamma_{\mu} r\right)+A_{3} I_{0}\left(P_{n} r\right)  \tag{C.22}\\
& E_{\theta}=\frac{j \omega \mu \not \partial \gamma_{n} \omega_{c} \epsilon_{0}\left(t_{1}-1\right)}{P_{h}^{2}\left(P_{h}^{2}+\gamma_{n}^{2}\right)} A_{1} J_{1}\left(\gamma_{\Omega} r\right)-j \frac{\omega \mu}{P_{n}} A_{3} I_{1}\left(P_{n} r\right) \tag{C.23}
\end{align*}
$$

Region II (Plasma, $a \leq r \leq c$ )

$$
E_{2}=B_{1} J_{0}\left(\gamma_{r p} r\right)+B_{2} N_{0}\left(\gamma_{r p} r\right)
$$

$$
\begin{equation*}
H_{\theta}=-\frac{\gamma_{r p}}{f^{w} \mu}\left[1+\frac{\gamma^{2}}{p_{h p}^{2}}\right]\left[B_{1} J_{1}\left(\gamma_{r p} r\right)+B_{2} N_{1}\left(\gamma_{r p} r\right)\right] \tag{C.25}
\end{equation*}
$$

$$
\begin{equation*}
H_{2}=B_{1} F_{2 p} J_{0}\left(\gamma_{2 p}, r\right)+B_{2} F_{2 p} N_{0}\left(\gamma_{2, r}, r\right)+B_{3} I_{0}\left(\rho_{h, p} r\right)+B_{4} K_{0}\left(P_{h p} r\right) \tag{C.26}
\end{equation*}
$$

$$
\begin{align*}
E_{\theta}= & \frac{f \omega_{\mu} \gamma \gamma_{\mu p} \omega_{c} \epsilon_{0}\left(\epsilon_{11}-1\right)}{p_{h p}^{2}\left(p_{h p}^{2}+\gamma_{r p}^{2}\right)}\left[B_{1} J_{1}\left(\gamma_{h_{p}} r\right)+B_{2} N_{1}\left(\gamma_{\gamma_{p}} r\right)\right] \\
& -j \frac{\omega \mu}{p_{h p}}\left[B_{3} I_{1}\left(p_{h p} r\right)-B_{4} K_{1}\left(p_{h_{p}} r\right)\right] \tag{C.27}
\end{align*}
$$

Region IV (Free Space, r $\geq$ c)

$$
\begin{align*}
& E_{2}=D_{1} K_{0}(p c)  \tag{C.28}\\
& H_{\theta}=-D_{1} f \frac{w \epsilon_{0}}{p} K_{1}(p c)  \tag{C.29}\\
& H_{2}=D_{2} K_{0}(p c)  \tag{C.30}\\
& E_{\theta}=D_{2} f \frac{w \mu}{p} K_{1}(p c) \tag{C.31}
\end{align*}
$$

The boundary conditions for this case must be examined. At the helix ( $r=0$ ), the same considerations apply as were discussed in case I with the simplification that $\mathrm{G}_{\mathrm{z}}$ is zero. At the boundary between the beam and the plasma, the situation is more complex. The boundary conditions expressed as equations ( 3.74 ) apply, but we must examine the expression for $G_{\theta}$. We can write an expression similar to $(3.66)$ for the beam and also one for the rippled inner surface of the plasma region. Somewhat heuristically, the surface current arises due to the difference in the two media. Therefore, if we
let the difference between the two media approach zero in a smooth manner this surface current must also approach zero 。 $G_{\theta}$ must then be

$$
\begin{equation*}
G_{\theta}=\frac{w_{c} a \epsilon_{0}\left(\epsilon_{1}-1\right)}{2} E_{r_{I}}(a)-\frac{w_{c} a \epsilon_{0}\left(\epsilon_{11}-1\right)}{2} E_{r_{I I}}(a) \tag{C.32}
\end{equation*}
$$

After some manipulation, we obtain at $r=a$

$$
\begin{aligned}
H_{\theta_{I}}+G_{z}= & A_{1}\left[\frac{-\gamma_{r}}{j \omega \mu p_{h}^{2}}\left(\frac{\gamma^{\gamma} \varphi_{0} k^{2}\left(\epsilon_{1}-\epsilon_{11}\right)}{\omega}+p_{h}^{2}+\gamma^{2}\right) J_{1}\left(\gamma_{r} a\right)\right] \\
H_{z}-G_{\theta}= & A_{1}\left[F_{2} J_{0}\left(\gamma_{r} a\right)+\frac{\gamma \gamma_{r} \omega_{c} a \epsilon_{0}\left(\epsilon_{1}-1\right)}{2 p_{h}^{2}} J_{1}\left(\gamma_{r} a\right)\right]+ \\
& A_{3} I_{0}\left(p_{h} a\right)+B_{1} \frac{\gamma \gamma_{r p} \omega_{c} a\left(\epsilon_{11}-1\right) \epsilon_{0} J_{1}\left(\gamma_{r p} a\right)+}{2 p_{h p}^{2}} \\
& B_{2} \frac{\gamma \gamma_{r p} \omega_{c} a\left(\epsilon_{11}-1\right) \epsilon_{0}}{2 p_{h p}^{2}} N_{1}\left(\gamma_{r p} a\right)
\end{aligned}
$$

and at $r=c$

$$
\begin{align*}
H_{2}-G_{\theta}= & B_{1}\left[F_{2 p} J_{0}\left(\gamma_{\text {rp }} c\right)+\frac{\gamma \gamma_{\mu p} \omega_{c}\left(\epsilon_{0}\left(t_{11}-1\right)\right.}{2 p_{h p}^{2}} J_{1}\left(\gamma_{\mu p} c\right)\right]+  \tag{C.35}\\
& B_{2}\left[F_{2 p} N_{0}\left(\gamma_{r p p} c\right)+\frac{\gamma \gamma_{m p} \omega_{c}\left(\epsilon_{0}\left(\epsilon_{11}-1\right)\right.}{2 \beta_{h p}^{2}} N_{1}\left(\gamma_{r p} c\right)\right]+ \\
& \left.B_{3} I_{0}\left(p_{h p} c\right)\right]
\end{align*}
$$

By applying the appropriate boundary conditions at the interfaces between the regions, we may write eight equations in eight unknowns (the arbitrary constants) and write the determinantal equation as the condition for a non-trivial solution, i.e. . the determinant of the matrix of coefficients must equal zero. When this is done, equation (C.36) is obta ined.

Case III (Region I, III, and IV)
Region I (Beam and Plasma, $0 \leq r \leq a$ )

$$
\begin{equation*}
E_{2}=A_{1} J_{0}\left(\gamma_{2} r\right) \tag{C.37}
\end{equation*}
$$

$$
\begin{equation*}
H_{\theta}=\frac{-\gamma_{r}}{\gamma w_{\mu}}\left[1+\frac{\gamma^{2}}{p_{h}^{2}}\right] A_{1} J_{1}\left(\gamma_{r} \Omega\right) \tag{C.38}
\end{equation*}
$$

$$
\begin{equation*}
H_{2}=A_{1} F_{2} J_{0}\left(\gamma_{r} r\right)+A_{3} I_{0}\left(p_{n} r\right) \tag{C.39}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=\frac{j \omega \mu \gamma \gamma_{r} \omega_{c} \epsilon_{0}\left(\epsilon_{1}-1\right)}{p_{h}^{2}\left(p_{h}^{2}+\gamma_{r}^{2}\right)} A_{1} J_{1}\left(\gamma_{n} r\right)-j \frac{\omega \mu}{p_{h}} A_{3} I_{1}\left(p_{h} r\right) \tag{C.40}
\end{equation*}
$$

$$
H_{2}(a)-G_{\theta}(a)=A_{1}\left[\frac{\gamma \gamma_{r} \omega_{c} a \epsilon_{0}\left(\epsilon_{1}-1\right)}{2 p_{h}^{2}} J_{1}\left(\gamma_{r}, a\right)+F_{2} J_{0}\left(\gamma_{r} a\right)\right]+A_{3} I_{0}\left(p_{n} a\right)(C .41)
$$



$$
\begin{equation*}
H_{\theta}(a)+G_{2}(a)=A_{1} \frac{-\gamma_{\Omega}}{j \omega \mu p_{h}^{2}}\left(j \frac{\gamma u_{0} p_{p}^{2}\left(t_{1}-t_{11}\right)}{\omega}+p_{h}^{2}+\gamma^{2}\right) J_{1}\left(\gamma_{2} a\right) \tag{C.42}
\end{equation*}
$$

Region III (Free Space, $\mathrm{a} \leq \mathrm{r} \leq \mathrm{c}$ )

$$
\begin{align*}
& E_{2}=C_{1} I_{0}(p n)+C_{2} K_{0}(p r)  \tag{C.43}\\
& H_{\theta}=j \frac{j \omega \epsilon_{0}}{p}\left[C_{1} I_{1}(p r)-C_{2} K_{1}(p n)\right] \tag{C.44}
\end{align*}
$$

$$
\begin{equation*}
H_{2}=C_{3} I_{0}(p r)+C_{4} K_{0}(p r) \tag{C.45}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=-\frac{f \omega \mu}{p}\left[C_{3} I_{1}(p r)-C_{4} K_{1}(p r)\right] \tag{C.46}
\end{equation*}
$$

Region IV (Free Space, $r \geq c$ )

$$
\begin{equation*}
E_{z}=D_{1} K_{0}(\beta r) \tag{C.47}
\end{equation*}
$$

$$
\begin{equation*}
H_{\theta}=-D, f \frac{\omega \epsilon_{0}}{p} K_{1}(p r) \tag{C.48}
\end{equation*}
$$

$$
\begin{equation*}
H_{2}=D_{2} K_{0}(p r) \tag{C.49}
\end{equation*}
$$

$$
\begin{equation*}
E_{\theta}=j \frac{w \mu}{p} D_{2} K_{1}(p n) \tag{C.50}
\end{equation*}
$$

Applying appropriate boundary conditions, as in Case II, the determinantal relationship is found to be equation (C.51). Case IV (Region I, II, III, and IV)

Examining this case, we find that all the required quantities have been developed for the preceding cases, therefore equation (C.52) is given as the determinantal relationship without further comment.

## シ $\quad 11$



|  |  |  |  |  | $\stackrel{\tilde{v}}{\tilde{v}}$ |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | $D$ | O | 0 | 0 | 0 | $\begin{aligned} & \frac{\pi}{5} \\ & \frac{3}{3} \\ & \frac{3}{7} 2 \end{aligned}$ | $\bigcirc$ | $\frac{\pi}{3}$ |
| 0 | 0 | 0 | 门 | $\bigcirc$ | $\bigcirc$ | 0 | 0 | $\begin{gathered} 6 \\ 5 \\ 10 \end{gathered}$ | 0 | 0 | $\begin{aligned} & \frac{5}{5} \\ & \frac{3}{8} \\ & 0.1 a \\ & 3 \\ & 3 \\ & 10 \end{aligned}$ |
| 0 | 0 | ふ | 3 | $\checkmark$ | $\bigcirc$ | $\begin{aligned} & 3 \\ & 3 \\ & \frac{3}{1} \end{aligned}$ | $\begin{aligned} & 6 \\ & \frac{8}{x} \\ & \frac{\pi}{3} \\ & \frac{\pi}{1} \end{aligned}$ | 0 | $\begin{aligned} & \frac{0}{6} \\ & \frac{2}{2} \\ & \frac{5}{3}=2 \\ & 0^{2} \end{aligned}$ | $\begin{aligned} & \frac{2}{5} \\ & \frac{5}{5} \\ & \frac{5}{3} \\ & \frac{1}{3} a \end{aligned}$ | $\begin{aligned} & \pi \\ & 5 \\ & 0 \end{aligned}$ |
| 0 | $\bigcirc$ | D | 3 | 0 | $0$ | $\begin{aligned} & 6 \\ & 6 \\ & 10 \end{aligned}$ | $\begin{gathered} 2 \\ 0 \\ 5 \\ \frac{5}{3} 12 \end{gathered}$ | 0 | $\begin{aligned} & \frac{5}{3} \\ & \stackrel{y}{5} \\ & \stackrel{y}{3} \\ & \stackrel{y}{4} \end{aligned}$ | $\frac{3}{\frac{3}{8}}$ | $\frac{\overbrace{4}^{0}}{40}$ |
| $\bigcirc$ | $\bigcirc$ | 0 | 0 | $\begin{aligned} & \frac{2}{5} \\ & \frac{1}{1} \end{aligned}$ |  | 0 | $\bigcirc$ | $\begin{gathered} \underset{0}{0} \\ \underset{0}{0} \end{gathered}$ | $0$ | $\begin{aligned} & 2 \\ & x_{0}^{0} \\ & x^{0} \end{aligned}$ | $\begin{aligned} & \frac{3}{5} \\ & \frac{3}{5} \\ & \frac{2}{3} \\ & 3 \end{aligned}$ |
| $\bigcirc$ | 0 | $\bigcirc$ | 0 | $\underbrace{6}_{1}$ | $\begin{gathered} 8 \\ \frac{8}{3} \\ \frac{1}{4} \\ \frac{1}{3} \\ \frac{1}{1} \\ i 1 \end{gathered}$ | $\bigcirc$ | 0 | $\begin{aligned} & 0 \\ & 0 \\ & 10 \end{aligned}$ | $\bigcirc$ | $\begin{aligned} & 3 \\ & 10 \\ & 10 \end{aligned}$ |  |
| $\bigcirc$ | 0 | $\begin{aligned} & 8 \\ & 38 \\ & 8 \\ & 10 \end{aligned}$ | $\begin{gathered} \frac{8}{y^{2}} \\ \underbrace{c^{2}} \\ \frac{3}{2} \\ 30^{2} \end{gathered}$ | 0 | 0 | $\begin{aligned} & \infty^{2} \\ & x^{2} \\ & x^{2} \end{aligned}$ | $\begin{aligned} & 6 \\ & \frac{4}{x} \\ & 3 \\ & 30 \end{aligned}$ | 0 | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| 0 | 0 | $\begin{aligned} & \sigma_{0} \\ & r^{2} \\ & r^{2} \end{aligned}$ | $\begin{aligned} & 8 \\ & 3 \\ & \frac{1}{3} \int_{1}^{2} \\ & \frac{3}{3} \end{aligned}$ | 0 | $\bigcirc$ | $\overbrace{4}^{2}$ | $\begin{aligned} & 6 \\ & 14 \\ & 14 \\ & 3 \\ & 3 \\ & 140 \end{aligned}$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |
| $\begin{aligned} & 3 \\ & 2^{2} \\ & 2^{0} \end{aligned}$ | $\bigcirc$ |  |  | $\begin{aligned} & \text { ए } \\ & 2 \\ & 2 \end{aligned}$ |  |  |  | 0 | $\bigcirc$ | $\bigcirc$ | - |
| $\begin{aligned} & \sigma^{8} \\ & 2^{0} \\ & i^{0} \end{aligned}$ | $\frac{e^{8}}{\frac{e^{2}}{2}}+\frac{x_{0}^{2}}{x}$ |  |  |  |  |  |  | $\bigcirc$ | C | $\bigcirc$ | $\bigcirc$ |
| $\bigcirc$ |  | $\begin{aligned} & 3 \\ & 8 \\ & H^{\circ} \end{aligned}$ | $\begin{aligned} & \frac{3}{3} \\ & 4 \\ & 5 \\ & 51 \\ & 3 \\ & 3 \end{aligned}$ | 0 | 0 | C) | 0 | $\bigcirc$ | 0 | $\bigcirc$ | $\bigcirc$ |
| $\begin{aligned} & \underset{5}{4} \\ & 50 \end{aligned}$ |  |  |  | 0 | 0 | c | $\bigcirc$ | D | $\bigcirc$ | 0 | $\bigcirc$ |

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