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1989

## Cloud and ocean mixed layer feedback

Garwood, R.W., Jr.

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Chu, P.C., and R.W. Garwood, Jr., 1989: Cloud and ocean mixed layer feedback. The Role of Clouds in Atmospheric Chemistry and Global Climate, American Meteorological Society, 39-44

<http://hdl.handle.net/10945/36259>

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where  $h$  is the mixed layer depth,  $c_p^*$  is the water specific heat under constant pressure,  $\rho_w$  is the characteristic water density,  $E$  is the surface evaporation (m/s),  $P_r$  is the precipitation rate (m/s), and  $w_e$  is the entrainment velocity parameterized as (Chu and Garwood, 1988):

$$w_e = \frac{(C_1 u_*^3 - C_2 B_0 h)}{g h [\alpha(T_s - T_{-h}) - \beta(S_s - S_{-h})]} \quad (3)$$

where  $u_*$  is the water surface friction velocity, which is computed by

$$u_* = \left( C_D \frac{\rho_a}{\rho_w} \right)^{0.5} |\vec{V}_0^{(a)}| \quad (4)$$

where  $C_D$  is the drag coefficient, taken as 0.001,  $|\vec{V}_0^{(a)}|$  is the wind speed at a 10 m height,  $\rho_a$  is air density near ocean surface, and  $\rho_w$  is the sea water density. For a wind speed of 10 m/s,  $u_* \sim 1.1$  cm/s. For the case of an ocean surface without ice (low and middle latitudes) the surface buoyancy flux,  $B_0$ , has two components:

$$B_0 = -\frac{\alpha g F_{T|z=0}}{\rho_w c_p^{(w)}} - \beta g (E - P_r) S_s \quad (5)$$

Here  $\alpha$  is the water thermal expansion coefficient, and  $\beta$  is the salinity contraction coefficient.

The effects of clouds on the buoyancy flux at the ocean surface are two-fold: (1) decreasing  $B_0$  through the increase in the net heat loss at the ocean surface,  $F_{T|z=0}$ , by reducing the incoming solar radiation, and (2) increasing  $B_0$  due to precipitation.

The surface heat flux  $F_{T|z=0}$  (upward positive), is computed by

$$F_{T|z=0} = R_b - R_s + L \rho_w E + H_s \quad (6)$$

Here  $R_s$  is the incoming solar radiation absorbed by the ocean surface,  $R_b$  is the net energy loss from the ocean surface through longwave radiation,  $L$  is the latent heat of vaporization of water,  $H_s$  is the sensible heat flux to the air. The variables  $T_{-h}$  and  $S_{-h}$  are the temperature and salinity of the water immediately below the mixed layer that is to be entrained into the mixed layer. The standard bulk formulae are used to calculate the surface evaporation:

$$E = \rho_a C_D |\vec{V}_0^{(a)}| [q_s(T_s) - q_0] / \rho_w \quad (7a)$$

and the sensible heat flux from the ocean surface:

$$H_s = \rho_a c_p^{(a)} C_D |\vec{V}_0^{(a)}| (T_s - T_{a0}) \quad (7b)$$

where  $q_s(T)$  is the saturated mixing ratio, and  $T_{a0}$  is the air temperature near the ocean surface.

The time rate of change in mixed layer depth is computed by

$$\frac{\partial h}{\partial t} = w_e - w_{-h} \quad (8)$$

where  $w_{-h}$  is the upwelling velocity at the bottom of the mixed layer. In order to solve these equations, we should compute each term in the surface heat and salinity fluxes.

## 2.2 Cloud effects on the net radiation at the ocean surface

Clouds reduce the solar radiation upon the ocean surface by scattering and absorption, which is computed by Budyko's (1978) formula

$$R_s = [1 - \alpha_m n - \alpha_{s0}(1 - n)] R_{s0} \quad (9)$$

Here  $R_{s0}$  ( $340 \text{ W m}^{-2}$ ) is the solar radiation absorbed by the ocean surface layer under a clear sky. The parameters  $\alpha_m$  and  $\alpha_{s0}$  represent albedos of the earth-atmosphere system with complete cloud

cover and a cloudless sky respectively, and have the following values:

$$\alpha_m = 0.46, \quad \alpha_{s0} = 0.2$$

The ocean surface emits longwave radiation to the atmosphere and to space. However, clouds, as well as dry air, partially absorb the radiation and re-emit longwave radiation back to the ocean surface. Thus the net upward energy loss by longwave radiation at the ocean surface,  $R_b$ , is corrected for the downward radiation by the clouds and the air. From longwave radiation data, Budyko (1978) derived a semi-empirical formula:

$$R_b = a + bT - (a_1 + b_1)n \quad (10)$$

The dimensional coefficients  $a$ ,  $b$ ,  $a_1$ , and  $b_1$  are

$$a = -377.6 \text{ W m}^{-2}, \quad b = 2.2 \text{ W m}^{-2} \text{ K}^{-1}, \\ a_1 = -389.8 \text{ W m}^{-2}, \quad b_1 = 1.6 \text{ W m}^{-2} \text{ K}^{-1}$$

## 3. Time Rate of Change of the Cloud Cover

The time rate of change of cloud cover is assumed to be proportional to the moisture supply divided by the amount of water vapor necessary to produce the model cloud. The main processes causing the cloud dissolution are precipitation and mixing with the environmental air. The cloud evaporation due to mixing with ambient air is a complicated problem, and neglected for the sake of simplicity in this paper. Thus the equation for cloud cover is

$$\frac{\partial n}{\partial t} = \frac{(M_{a0} + E - P_r)}{W} \quad (11)$$

where  $W$  is the total amount of water vapor needed to create the cloud over a unit area. The large-scale horizontal moisture convergence in the column of atmosphere per unit area is denoted  $M_{a0}$ . From mean distributions of temperature and mixing ratio in the environmental air and inside a deep cumulus cloud (Kuo, 1965), we estimate that  $W \sim 5 \text{ cm}$ . Eqs. (1), (2), (3), (8), and (11) are the basic equations for the air-ocean coupled system.

## 4. Relationship between Precipitation Rate and Cloud Cover

By linear regression with use of hourly rain amounts and satellite IR brightness measured during Phase I, II, and III of GATE, Albright et al. (1985) presented a linear relationship between average precipitation rate  $P_r$  in boxes  $1.5^\circ$  ( $168 \text{ km}$ ) on a side and cloud cover  $n$  of the boxes by clouds with tops colder than  $-36^\circ \text{ C}$ . The relationship is

$$P_r (\text{m s}^{-1}) = (0.472 + 8.333 n) \times 10^{-7} \quad (12)$$

This result coincides with Arkin (1979)'s earlier analysis during GATE over the B-scale array.

## 5. Mean State and Perturbations

Mean state of the coupled cloud-OPBL system  $(\bar{T}_s, \bar{S}_s, \bar{h}, \bar{E}, \bar{P}_r, \bar{w}_e)$  is obtained by the zero time rate of change in the prognostic equations (1), (2), (8), and (11). The mean entrainment velocity  $\bar{w}_e$  can be obtained from (3)

$$\bar{w}_e = \frac{C_1 \bar{u}_*^3 - C_2 \bar{B}_0 \bar{h}}{\bar{h} \Delta b} \quad (13)$$

where

$$\Delta b \equiv g(\alpha \Delta T - \beta \Delta S)$$

is the reduced gravity, and  $\Delta T$  and  $\Delta S$  are the mean temperature and salinity jumps at the bottom of the mixed layer

$$\Delta T \equiv \bar{T}_s - T_{-h}, \quad \Delta S \equiv \bar{S}_s - S_{-h}$$

When the coupled system is perturbed from its mean state, the thermodynamic feedback mechanism between the cumulus clouds and the oceanic mixed layer makes the perturbation either grow (positive feedback) or dampen (negative feedback). The principal purpose here is to study thermodynamic feedback mechanisms between clouds and the oceanic mixed layer. Hence the energy exchange at the air-ocean interface is a primary focal point. Therefore, we shall neglect initially the perturbations of those variables which are not directly related to the exchange at the air-ocean interface. Wyrki (1981) estimated that the mean upwelling in the equatorial Pacific is around 1 m/day. Climatic data also indicates that the mean evaporation and precipitation rates are on the order of 1 m/yr ( $3.17 \times 10^{-6} \text{ ms}^{-1}$ ). The direct contributions of evaporation and precipitation to the change of mixed layer depth are neglected, compared to the contributions due to upwelling and entrainment.

The perturbations satisfy the following equations:

$$\bar{h} \frac{\partial T'_s}{\partial t} = -\bar{w}_{-h} T'_s - w'_e \Delta T - \frac{F^* T'_{z=0}}{\rho_w c_p^{(w)}} \quad (14)$$

$$\bar{h} \frac{\partial S'_s}{\partial t} = -\bar{w}_{-h} S'_s - w'_e \Delta S + (\bar{E} - \bar{P}_r) S'_s + \bar{S}_d (E' - P'_r) \quad (15)$$

$$\frac{\partial n'}{\partial t} = \frac{1}{W} (E' - P'_r) \quad (16)$$

$$\frac{\partial h'}{\partial t} = w'_e \quad (17)$$

$$w'_e \Delta b = -g \bar{w}_{-h} (\alpha T'_s - \beta S'_s) - C_1 \frac{\bar{w}_{-h}^3}{\bar{h}^2} h' + C_2 \left[ \frac{\alpha g F^* T'_{z=0}}{\rho_w c_p^{(w)}} + \beta g \bar{S}_d (E' - P'_r) + \beta g (\bar{E} - \bar{P}_r) S'_s \right] \quad (18)$$

Eliminating  $h'$ ,  $w'_e$ ,  $E'$ ,  $P'_r$  from (14)-(18) and neglecting the small terms, we get the following fourth order equation for each variable:

$$\left( \frac{\partial^4}{\partial t^4} + a_1 \frac{\partial^3}{\partial t^3} + a_2 \frac{\partial^2}{\partial t^2} + a_3 \frac{\partial}{\partial t} + a_4 \right) (\phi', n') = 0 \quad (19)$$

where

$$\phi' \equiv \beta S'_s - \alpha T'_s$$

$$a_1 \equiv \frac{1}{\tau_w} + \frac{C_1}{Ri\tau_t} + \frac{\gamma}{W}$$

$$a_2 \equiv -\frac{m\kappa}{\tau_e} \left( C_2 + \frac{1}{\kappa} \right) \lambda + \frac{2C_1}{Ri\tau_t\tau_w} + \left( \frac{m\beta\bar{S}_d}{\tau_e} + \frac{C_1}{Ri\tau_t} \right) \frac{\gamma}{W}$$

$$a_3 \equiv -\frac{m}{\tau_w\tau_e} (1 + C_2) \kappa \lambda - \frac{C_1 m \lambda}{Ri\tau_e\tau_t} + \frac{C_1}{Ri\tau_t} \left( \frac{m\beta\bar{S}_d}{\tau_e} + \frac{2}{\tau_w} \right) \frac{\gamma}{W}$$

$$a_4 \equiv \frac{C_1}{Ri\tau_t\tau_w} \left[ -\frac{m\tau_w}{\tau_e} \lambda + (1 + m\beta\bar{S}_d \frac{\tau_w}{\tau_e}) \frac{\gamma}{W} \right] \quad (20)$$

Here three constants  $\tau_w$ ,  $\tau_t$ ,  $\tau_e$  are time-scales of internal motion, ocean turbulence, and surface evaporation defined by

$$\tau_w \equiv \frac{\bar{h}}{\bar{w}_{-h}}, \quad \tau_t \equiv \frac{\bar{h}}{\bar{w}_*}, \quad \tau_e \equiv \frac{\bar{h}}{\mu} \quad (21)$$

where

$$\mu \equiv \frac{L}{c_p^{(w)}} \frac{\partial E}{\partial T} \Big|_{\bar{T}_s} = \frac{L \rho_a C_D \bar{V}_0^{(a)}}{\rho_w c_p^{(w)}} \frac{dq_s(\bar{T}_s)}{dT} = 0.5 \times 10^{-5} \text{ ms}^{-1} \quad (22)$$

The constants  $\gamma$  and  $m$  are defined by

$$\gamma \equiv \frac{\partial P_r}{\partial n} \Big|_{\bar{n}}, \quad m \equiv \frac{c_p^{(w)}}{\alpha L} = 8.5 \quad (23a)$$

Using (11) we have

$$\gamma = 8.333 \times 10^{-7} \text{ ms}^{-1} \quad (23b)$$

$Ri$  is the Richardson number

$$Ri \equiv \frac{\Delta b \tau_t^2}{\bar{h}} \quad (23c)$$

In this paper  $Ri$  is taken as  $10^6$ . The two model parameters  $\lambda$ , and  $\kappa$  are defined by

$$\lambda \equiv \frac{1}{gW} \frac{\partial \beta_0}{\partial n} \quad (24a)$$

which is the dependence of the surface buoyancy flux change on cloud cover, and

$$\kappa \equiv \frac{\alpha g \Delta T}{\Delta b} \quad (24b)$$

which is the fraction of the mixed layer base density jump induced by temperature to the total density jump.

## 6. Instability and Oscillation Criteria

The general solutions of (19) have the following forms:

$$\phi'(t) = \Sigma d_j \exp(\sigma_j t), \quad n'(t) = \Sigma e_j \exp(\sigma_j t) \quad (25)$$

where  $d_j$ ,  $e_j$  ( $j=1,2,3,4$ ) are the integral constants, and  $\sigma_1$ ,  $\sigma_2$ ,  $\sigma_3$ , and  $\sigma_4$  are the eigenvalues which are the roots of the fourth-order algebraic equation,

$$\sigma^4 + a_1 \sigma^3 + a_2 \sigma^2 + a_3 \sigma + a_4 = 0 \quad (26)$$

For the purpose of a preliminary sensitivity analysis only  $\kappa$  and  $\lambda$  are allowed to vary, depending on observations. Other parameters are given constant values listed in Table 1. By the definition of  $\lambda$  (24a) and the estimations of  $\gamma$  (23b) and Budyko's formulae (8,9) we can estimate the value of  $\lambda$  as

$$\lambda \sim 0.45 \times 10^{-6} \text{ s}^{-1} \quad (27)$$

which indicates that  $\lambda$  has an order of  $10^{-6} \text{ s}^{-1}$ . Therefore in this paper,  $\lambda$  varies from  $-10^{-6} \text{ s}^{-1}$  to  $10^{-6} \text{ s}^{-1}$ . It is reasonable to let  $\kappa$  vary between  $-10$  to  $10$ . The case of  $\kappa = 1$  means that salinity is homogeneous across the mixed layer base.

The instability criteria for the thermodynamically coupled air-ocean system are

$$Re(\bar{\sigma}) \begin{cases} < 0 & \text{decaying} \\ = 0 & \text{neutral,} \\ > 0 & \text{growing} \end{cases} \quad (28)$$

where  $\bar{\sigma}$  is the root of the fourth-order equation (26). The oscillation criterion for the coupled system are

$$Im(\bar{\sigma}) \begin{cases} = 0 & \text{nonoscillatory} \\ \neq 0 & \text{oscillatory} \end{cases} \quad (29)$$

We compute all roots of (26) for different values of the parameters  $\kappa$  and  $\lambda$ , and obtain four roots at each points of the parameter space ( $\kappa, \lambda$ ). Two roots among the four have negative real parts throughout the whole parameter space, representing the damping modes, in which we are not interested here. The other two roots,  $\sigma_1$  and  $\sigma_2$ , have positive real parts somewhere in the parameter space, representing the existence of growing modes in certain parameter ranges.

Table 1 The values of the model parameters

$\rho_a$	1.29 kg/m <sup>3</sup>	$C_1$	1.0	$ \bar{V}_0^{(\sigma)} $	10 m/s
$\rho_w$	1035 kg/m <sup>3</sup>	$C_2$	0.2	$\bar{T}_z$	20° C
$\alpha$	$0.2 \times 10^{-3} K^{-1}$	$C_D$	$10^{-3}$	$\bar{S}_r$	35 g/kg
$\beta$	$0.8 \times 10^{-3}$	$W$	5cm	$\bar{h}$	50 m
		$\bar{n}$	0.5	$\bar{w}_h$	1 m/day

Fig.3 shows the isolines of (a)  $Re(\sigma_1)$  and (b)  $|Im(\sigma_1)|$  in the  $(\kappa, \lambda)$  plane. Fig.4 indicates the isolines of (a)  $Re(\sigma_2)$  and (b)  $|Im(\sigma_2)|$  in the  $(\kappa, \lambda)$  plane. Both the real part (growth rate) and the absolute value of the imaginary part (periodicity) of the roots  $\sigma_1, \sigma_2$  show saddle-type distributions. Several interesting results from Figs.3 and 4 are summarized as follows:

1. A necessary condition for the generation of growing modes, which can be seen from these figures, is:

$$\kappa\lambda > 0 \quad (30)$$

This implies that if the surface water is warmer (cooler) than the deep water, i.e.,  $\kappa > 0$  ( $\kappa < 0$ ), the growing modes are excited. In this case that the surface buoyancy flux increases with increasing (decreasing) cloud cover.

2. If the damping modes are ignored, the two eigenvalues  $\sigma_1$  and  $\sigma_2$  exhibit the similar properties. The growing modes,  $\sigma_1$  and  $\sigma_2$ , are further separated into oscillatory and nonoscillatory modes. The condition for this separation is approximately given by

$$\kappa\lambda \begin{cases} < A & \text{Oscillatory} \\ > A & \text{Nonoscillatory} \end{cases} \quad (31)$$

where  $A$  is a positive number of about  $2 \times 10^{-6} s^{-1}$ .

Combining (30) with (31) and using (24a), the necessary condition for oscillatory growing modes is

$$A > \frac{\kappa}{W} \frac{\partial}{\partial n} [\beta \bar{S}_r P_r - \frac{\alpha(R_b - R_s)}{\rho_w c_p^{(w)}}] / \bar{T}_r \bar{n} > 0 \quad (32)$$

and the condition for the nonoscillatory growing modes is

$$\frac{\kappa}{W} \frac{\partial}{\partial n} [\beta \bar{S}_r P_r - \frac{\alpha(R_b - R_s)}{\rho_w c_p^{(w)}}] / \bar{T}_r \bar{n} > A \quad (33)$$

The properties of thermodynamic instability of the coupled system depend largely on the relationship between precipitation  $P_r$  and the cloud cover, and on the relationship between net radiation at the ocean surface and the cloud cover.

3. The product of the two parameters  $\kappa$  and  $\lambda$  represents the relative strength of positive to negative feedback. When  $\kappa\lambda$  is larger than the critical value  $A$ , the positive feedback greatly exceeds the negative feedback. The coupled system becomes nonoscillatory and growing. When  $\kappa\lambda$  is positive and smaller than the critical value  $A$ , the positive feedback slightly exceeds the negative feedback. The coupled system is oscillatory and growing. When  $\kappa\lambda$  is negative, the negative feedback exceeds the positive feedback. The coupled system becomes damping. Comparing Fig.4 with Fig.3, we find that for the oscillatory growing modes the two roots have the same growth rate and frequency. However, for the nonoscillatory growing modes the growth rate relating to the eigenvalue  $\sigma_2$  is much larger than that relating to  $\sigma_1$ .

4. For the oscillatory growing modes, the growth rate  $\sigma_1$  has the order of  $0.5 \times 10^{-3} s^{-1}$ , and  $|\sigma_1|$  has the order of  $10^{-3} s^{-1}$ . The corresponding period for the oscillation is

$$T = \frac{2\pi}{|\sigma_1|} = 2 \text{ yr} \quad (34)$$

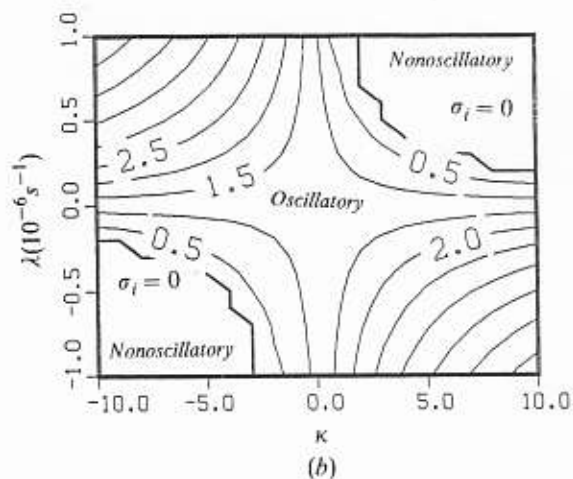
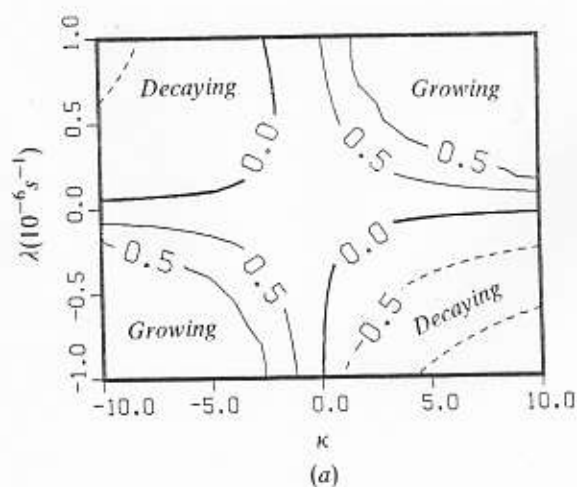
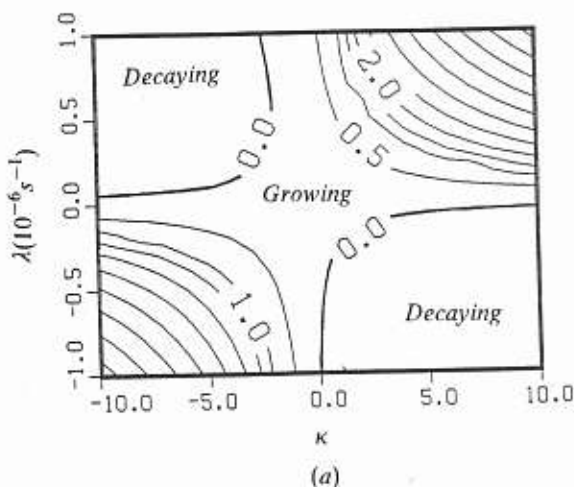


Fig.3 Distribution of eigenvalue  $\sigma_1$  in the  $(\kappa, \lambda)$  plane for standard case (unit in  $10^{-3} s^{-1}$ ): (a)  $Re(\sigma_1)$ , (b)  $|Im(\sigma_1)|$ .



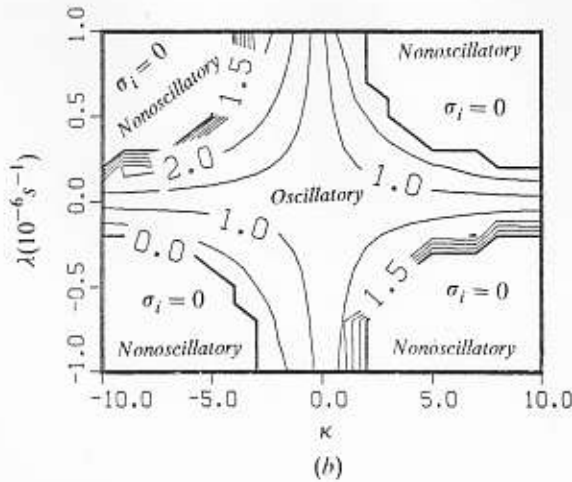


Fig.4 Distribution of eigenvalue  $\sigma_2$  in the  $(\kappa, \lambda)$  plane for standard case (unit in  $10^{-7} s^{-1}$ ): (a)  $Re(\sigma_2)$ , (b)  $Im(\sigma_2)$ .

### 7. Sensitivity of $\sigma_r, |\sigma_r|$ to $\lambda$ and $\kappa$

In order to investigate the dependence of  $\sigma_r$  and  $|\sigma_r|$  on the parameters  $\kappa$  and  $\lambda$ , we plot  $\sigma_{1r}, \sigma_{2r}, |\sigma_{1r}|, |\sigma_{2r}|$  versus  $\lambda$  at five different values of  $\kappa$  ( $\kappa = 1, 5, 10, -5, -10$ ), and taking the same values for the parameters as in Table 1. The results are listed below.

1. Fig.5 represents the case for  $\kappa = 1$ , i.e., the case with no salinity jump at the mixed layer base. The identity of  $(\sigma_{1r}, |\sigma_{1r}|)$  to  $(\sigma_{2r}, |\sigma_{2r}|)$  means the two eigenvalues are complex conjugates. Fig.5 shows that the growth rate  $\sigma_r$  is a linearly increasing function of  $\lambda$  from  $\sigma_r = -0.5 \times 10^{-7} s^{-1}$  (damping mode) for  $\lambda = -10^{-6} s^{-1}$  to  $\sigma_r = 0.5 \times 10^{-7} s^{-1}$  (growing mode) for  $\lambda = 0.5 \times 10^{-6} s^{-1}$ . The frequency  $|\sigma_r|$  decreases almost linearly with  $\lambda$  from  $|\sigma_r| = 1.7 \times 10^{-7} s^{-1}$  (1.2 year period) for  $\lambda = -10^{-6} s^{-1}$  to  $|\sigma_r| = 0.86 \times 10^{-7} s^{-1}$  (2.3 year period) for  $\lambda = 0.5 \times 10^{-6} s^{-1}$ .
2. The dependence of growth rates  $\sigma_{1r}, \sigma_{2r}$  and frequencies  $|\sigma_{1r}|, |\sigma_{2r}|$  on  $\lambda$  for  $\kappa = 5$  and  $\kappa = 10$  is depicted in Fig.6. The value of  $\sigma_{2r}$  monotonically increases with  $\lambda$ , whereas  $\sigma_{1r}$  has a single peak which is around  $0.5 \times 10^{-7} s^{-1}$ , and asymptotically tends to zero as  $\lambda \rightarrow \infty$ . The necessary condition for the growing oscillation is

$$0 < \lambda < \lambda_c \quad (35)$$

where  $\lambda_c = \frac{A}{\kappa}$ , is a critical value for  $\lambda$ .

### 8. Sensitivity of $\sigma_r, |\sigma_r|$ to $\bar{w}_k$

To understand the dependence of  $\sigma_r$  and  $|\sigma_r|$  on the mean vertical velocity at the mixed layer base,  $\bar{w}_k$ , we compute the roots of (26) by using the same values for the parameters as previous two sections except for  $\bar{w}_k$ , which is changed to two alternate values:  $\bar{w}_k = 0.2m/day$  (weak upwelling case) and  $\bar{w}_k = 2m/day$  (strong upwelling case).

The main results are that the growth rate and the frequency of the coupled system are strongly affected by the vertical advection, and the larger the  $\bar{w}_k$ , the bigger the value of  $\sigma_r$ , and the higher the frequency,  $|\sigma_r|$ .

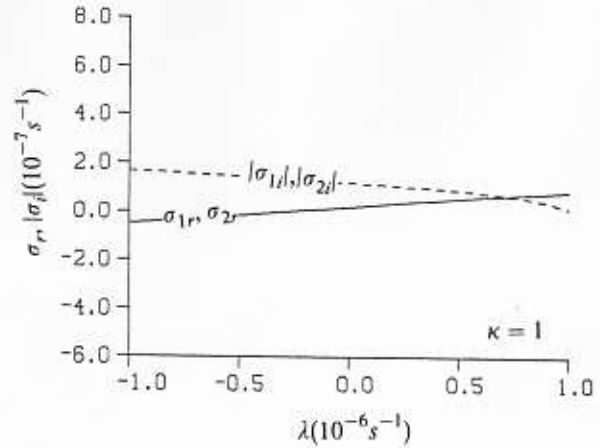


Fig.5 Dependence of  $(\sigma_{1r}, |\sigma_{1r}|)$  and  $(\sigma_{2r}, |\sigma_{2r}|)$  on  $\lambda$  for the case without salinity jump at the mixed layer base.

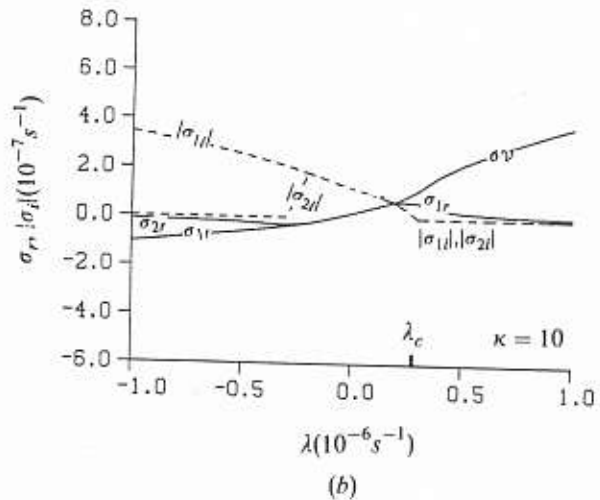
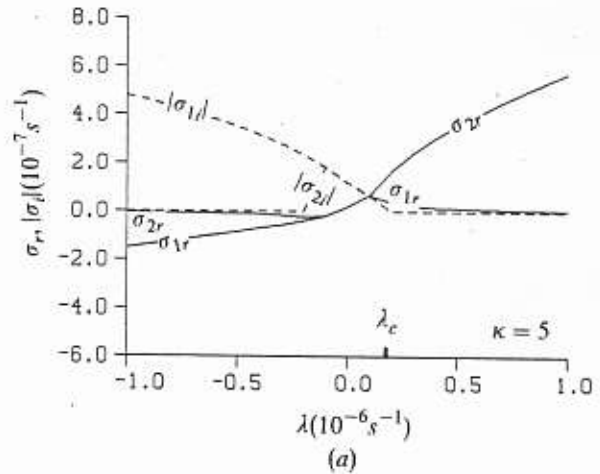


Fig.6 Dependence of  $(\sigma_{1r}, |\sigma_{1r}|)$  and  $(\sigma_{2r}, |\sigma_{2r}|)$  on  $\lambda$  for (a)  $\kappa = 5$ , (b)  $\kappa = 10$ .

## 9. Conclusions

A coupled cloud-OPBL thermodynamic model has been presented. This model demonstrates the positive/negative feedback mechanisms between clouds and ocean mixed layer, including salinity effects. The theory predicts the generation of biennial growing oscillations at typical ranges of initial states in the tropical ocean and atmosphere, which may provide a new explanation for the low frequency oscillation in the atmosphere and oceans.

## Acknowledgements.

This research was supported jointly by the National Science Foundation (NSF) under NSF Grant OCE85-15400, and by the Naval Postgraduate School (NPS) through Research Foundation Fund.

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